

CDBG-R SUBMISSION CHECKLIST

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated [SF-424](#).

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): City of Rockford, Illinois 425 East State Street Rockford, Illinois 61104 Jurisdiction Web Address: www.rockfordil.gov <ul style="list-style-type: none">• <i>(URL where CDBG-R Substantial Amendment materials are posted)</i>	CDBG-R Contact Person: Vicki Manson Address: 425 East State Street Telephone: Rockford, Illinois 61104 Fax: 815.967.6933 Email: Vicki.manson@rockfordil.gov
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ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD’s recovery website at <http://www.hud.gov/recovery>.

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

FMS Investment Corp.
Special Economic Development Activity – Provision of Jobs

- (2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

This project consists of the creation of a new data center, owned & operated by FMS Investment Corporation, not currently located in the City of Rockford. The City currently suffers from an unemployment rate of over 14%. This data center will open in August 2009 and an environmental review has been completed. As a result, a contract can be awarded within 120 days of funds being made available to the City. The funds will also be expended prior to January 1, 2011. The data center was to create a total of 350 Full-Time Equivalent permanent jobs. That number is being reduced to 200. Since this business is new to Rockford, it is anticipated that many of these jobs will be filled by City of Rockford residents who are currently unemployed. The project will generate an investment of \$1,126,358 in tenant improvements, personal property, computer systems, and office equipment. CDBG funds in the amount of \$350,000 originally allocated to this project will be reduced to \$200,000 and will continue to be eligible under the Special Economic Development Activity. The project will meet the national objective of Low and Moderate Income Jobs benefit. The funds will be used to purchase needed personal property and/or office equipment. This, along with the rehabilitation activity budget will bring the LMI benefit to 73%, exceeding the 70% requirement.

- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

200 Full-Time Equivalent Permanent Jobs will be created over the life of this project.

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

The project promotes energy conservation by utilizing an underutilized office building in one of Rockford's Tax Increment Financing (TIF) Districts.

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

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B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Demolition of Buildings 10 and 19 at the City-owned Barber Colman Complex to reestablish Montague Road access to this former industrial site.

- (2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

The demolition of 2 buildings at the Barber Coleman Complex is a major step and one of the final steps leading to the redevelopment of this 15 acre former industrial site to a mixed-use community providing both employment opportunities and residences. This redevelopment will include retail stores, retail and public services, residences, offices and public park and recreation land. Seven of the remaining structures totaling over 500,000 feet of floor space will be adaptively reused to provide these uses. It is estimated that 200 to 300 jobs as well as up to 75 residences will be provided when this redevelopment is complete.

This activity will assist those most impacted by the recession. It is located in the near South and Southwest side of Rockford, which has been demonstrated to be the most economically distressed neighborhood of the city. This neighborhood has an estimated unemployment rate of 30% while the estimate for the City of Rockford as a whole is 14.7%.

This activity provides investment needed to increase economic efficiency. It provides public street access into this redevelopment site which had previously been a closed, isolated industrial site. This activity also removes two deteriorated industrial structures which have no potential for adaptive reuse but currently provide a barrier to redevelop this site.

Investing in transportation, environmental protection, or other infrastructure will provide long-term economic benefits. This activity enables the reestablishment of Montague Road which had been vacated due to the expansion of the previous industry. This activity will enable this redevelopment site to be integrated back into the surrounding neighborhood, removing this barrier to community reestablishment. The structures have been prepared for demolition including the removal of the asbestos from these structures. The Barber Colman site as a whole has been enrolled in the Illinois Site Remediation Program, and the USEPA has provided a set of Cleanup Grants totaling \$600,000 to remove asbestos from each of the buildings (nearing completion) and to cleanup the groundwater contamination on the site (scheduled for the second half of 2009). The entire site is expected to be cleaned and ready for redevelopment by early 2010.

This activity is an important step in the redevelopment of the site which will, over time, provide essential public services and retail services to the new community as well as the surrounding community.

The demolition of two structures in the Barber Colman Complex will enable the redevelopment to move forward, which will, over time, provide a sustainable community where households can live, work and play and have essential services nearby and in the Downtown, only one mile away and served by Rockford Mass Transit.

- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs).

This activity will create 5 jobs for a duration of two months. However, because this activity is a critical step in the redevelopment of this large site, the future creation of 200 to 300 jobs at this site is directly tied to this activity.

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

This activity is a critical step in the redevelopment of this site into a sustainable mixed-use community. The City has made major investments in this site to prepare this site for redevelopment. The adaptive reuse of over 500,000 square feet of existing space will include green building technologies, and this redevelopment will include several Smart Growth initiatives consistent with the City's Comprehensive Plan including the following; 1) reduction in motorized transportation due to the creation of a live, work, play environment; 2) reduction in motorized transportation and pollution emissions by providing a public pathway connecting this redevelopment site to Downtown; 3) reduced private motorized transportation and emissions by the location of this redevelopment site on the South Main Street Rockford Mass Transit District route to and from Downtown, only 1 mile to the north; and 4) redevelopment of this challenging Brownfield Site close to Downtown instead of encouraging development on Greenfield sites.

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

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425 East State Street
Rockford, Illinois 61104
Office: (815) 987-5636
wayne.dust@rockfordil.gov

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Planning and Administrative Costs

- (2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

CDBG-R funds will be used for the payment of reasonable administrative costs and carrying charges related to the planning and execution of community development activities assisted in whole or in part with funds provided under the CDBG-R program. No more than 10% or \$56,255 will be spent on administration and planning. The budget proposed is \$52,555.

- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Rockford does not anticipate that any jobs will be created as a result of this activity. It will help ensure that at least 1 job is retained.

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

N/A to this activity.

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Reid Montgomery, Director
Department of Community and Economic Development
425 East State Street
Rockford, Illinois 61104

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Rehabilitation of privately owned buildings and improvement for residential purposes

- (2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

Rehabilitation assistance will be provided to **one single family owner occupied** existing unit for persons of low-moderate income located within the City's Community Development Block Grant area (page 15). CDBG-R funds will be used to finance hard development rehabilitation activities, and related costs through the use of grants for labor, materials, and other costs associated with the rehabilitation of properties, including deferred maintenance, replacement of principal high efficiency fixtures and components of existing structures, use of Energy Star appliances, installation of security devices such as smoke detectors and dead bolt locks, lead paint interim controls and asbestos removal. This activity was reduced slightly with a renewed budget of \$23,514.92. **This activity, along with the renewed FMS Investment Corp. budget, NCO Customer Management, and the new activity Saffhire Restaurant, we will meet the LMI 70% benefit requirement.**

Priority will be given to persons recently unemployed as a result of the recession. This activity will stimulate the economy by improving the housing stock, improve energy efficiency & reduce energy costs, and help those that need improvements to their homes that have been most impacted by the recession.

The City of Rockford currently has waiting lists of persons interested in participating in our rehabilitation programs. Therefore, projects will be assisted in which contracts based on bids can be awarded within 120 calendar days from the date the CDBG-R funds are made available.

- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs).

Contractors will bid and complete all the projects under this activity. This trade has been hit hard by the economic recession and resulting decline in remodeling projects. Additional employees may be

needed on existing crews of our current qualified contractor list. It is estimated that as many as 2 full-time positions will be created or retained by this CDBG-R activity.

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Improvements to increase the efficient use of energy in structures through the installation of storm windows and doors, siding, wall and attic insulation, and replacement of energy efficient heating and cooling equipment will be allowable costs. Also improvements may include the increase the efficient use of water through such means as water saving faucets and shower heads and the repair of water leaks.

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information.)

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B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Demolition of the Lorden Building and the Depot Building at the South Main Rail Yards

- (2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

The demolition of two buildings at the South Main Rail Yards is a major step and one of the final steps leading to the redevelopment of this 5 acre former industrial, rail freight and passenger rail site to a new Amtrak station, supporting services and an important public greenway corridor connecting the Rock River pathway system at Davis Park with the Pecatonica Prairie Path to the west. The City currently owns 7 acres of the 25 acres within the South Main Rail Yards. Additional rail consolidation is underway. When completed, another 10 acres will be assembled for redevelopment. Future phases of this redevelopment will reclaim additional unused or underutilized rail yard and former industrial land to include retail stores, retail and public services, residences, offices and public park and recreation land. Some currently vacant buildings will be renovated as commercial activity and residential uses are re-established in this area. It is estimated that 100 to 200 jobs as well as up to 55 residences will be provided when this redevelopment of the South Main Rail Yards is complete.

- This activity will assist those most impacted by the recession. It is located in the near South and Southwest side of Rockford in Census Tract 28, which has been demonstrated to be the most economically distressed neighborhood of the city with 82.6% low to moderate income. This neighborhood has an estimated unemployment rate of 30% while the estimate for the City of Rockford as a whole is 14.7%.
- This activity provides investment needed to prepare this site for the new Amtrak station providing passenger rail service to Chicago. When this service is reestablished during 2012, this will be the first direct passenger service between Rockford and Chicago for 30 years. This activity also removes two deteriorated structures, one abandoned in 1982 when passenger service was discontinued and one abandoned around 1975. These two structures have no potential for adaptive reuse but currently provide a barrier to redevelop this site. They also contribute to the slums and blight of the neighborhood.

- Investing in transportation, environmental protection, and other infrastructure will provide long-term economic benefits. This activity enables the reestablishment of Amtrak service which had been suspended during the recession of the early 1980's. It also removes a dilapidated industrial structure, abandoned in 1975, which had been the site of a fatal fire. A homeless woman died in a fire during March of 2010 when the CN Railroad still owned this property. This activity will also be an important step in the establishment of an important link in the greenway corridor for pedestrians and other non- motorized transportation along the Kent Creek to the Pecatonica Prairie Path further west. The removal of these buildings will contribute to the removal of slums and blight and the revitalization of the neighborhood.
- This activity will enable this site to be integrated back into the surrounding neighborhood, removing this barrier to community reestablishment. The structures will be prepared for demolition including the removal of the asbestos from these structures. The South Main Rail Yards site as a whole has been enrolled in the Illinois Site Remediation Program, and the USEPA has provided a Site Specific Assessment Grant totaling \$350,000 to perform environmental assessments in the South Main Rail Yards. The USEPA has also agreed to the use of this assessment grant to demolish these two structures to enable the soil testing for environmental concerns under the building sites. (The USEPA grant will fund approximately 95% of the demolition costs.) The City has also applied for Cleanup Grants from the USEPA for this site to complete the environmental cleanup of the site prior to the construction of the new Amtrak station.
- This activity is an important step in the redevelopment of the site which will, over time, provide essential public transportation services and retail services to the new community as well as the surrounding community.
- The demolition of two dilapidated structures in the South Main Rail Yards will remove blight and enable the redevelopment of the property to move forward, which will, over time, provide a sustainable community where households can live, work and play and have transportation directly to Chicago and essential services nearby and in the Downtown, only one/ half mile away and be served by Rockford Mass Transit District.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs).

This activity will create 3 jobs for a duration of two months. However, because this activity is a critical step in the redevelopment of this large site, the future creation of 100 to 200 jobs at this site is directly tied to this activity.

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Energy Conservation and reduced emissions: This activity is an important step in the reestablishment of Amtrak passenger rail service to Chicago. When established, this will be the most energy efficient form of transportation from Rockford to Chicago. The station will be served by regular fixed route transit service provided by Rockford Mass Transit, providing access to Amtrak throughout Rockford and the surrounding communities. In addition, this site will become an important link in our pathway system providing safe access from the southwest side to Downtown Rockford via the Davis-Pec Pathway.

Smart Growth: Providing Amtrak service at this location only one half mile from Downtown Rockford will promote inner-city development in this area, particularly the rail yard area. Replacing the former industrial sites and rail spurs with infill development is smart growth.

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information.)

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B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

(1) Activity Name:

Sapphire Restaurant, also known as District
National Objective – Low and Moderate Income Benefit Job Creation
Eligible Activity- Special ED Activity/Purchase of Equipment

(2) Activity Narrative:

This project consists of the development of a restaurant, which is not currently located in the City of Rockford. The City's unemployment rate is 13.9%. The funds will be expended prior to September 30, 2012. The restaurant opening in this area will create 30 full time equivalent jobs. Since this business is new to Rockford, it is anticipated that many of these jobs will be filled by City of Rockford residents who are currently unemployed. The project will generate an investment of \$400,000 Private funds for tenant improvements. Public funds consisting of CDBG-R funds in the amount of \$50,000 will be eligible under Special Economic Development Activity for purchase of equipment. The project will meet the national objective of Low and Moderate Income Jobs benefit. Also, \$17.55 will be used pay a small portion of the project delivery costs on this project.

The funds will be used to purchase needed personal property and/or equipment. The assisted business is located in an eligible census tract and the jobs will be located within the same census tract. This activity will also be undertaken in a block group with 20% or greater poverty rate. Therefore per HUD guidelines, it can be presumed that the jobs created are low to moderate income jobs.

According to HUD Census 2000 Low and Moderate Income Summary Data (LMISD)
Census Tract: 29
Block Group 1: 81% Poverty Rate
Block Group 2: 93.3% Poverty Rate

(3) Jobs Created:

25 Full-Time Equivalent Permanent Jobs will be created over the life of this project.

(4) Additional Activity Information:

The project promotes energy conservation by utilizing a vacant space in one of Rockford's Tax increment Financing (TIF) Districts and the local River's Edge Development Zone area.

(5) Responsible Organization:

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Jovita Donahue, Development Specialist
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Marvin Keys, Attorney

Downtown Rockford Restaurant, L.L.C.
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Rockford, Illinois 61114
Office: (815) 229-3000
Fax: (815) 229-3001

C. PUBLIC COMMENT

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

Response:

First Substantial Amendment May, 2009: The Draft CDBG-R Substantial Amendment to Rockford's 2008 Action Plan was made available to the public for review and comment during a 7 day comment period from May 26, 2009 through June 2, 2009. A legal notice regarding the comment period was published in the *Rockford Register Star* on May 26, 2009. Additionally, the draft substantial amendment was posted on the City of Rockford's Website (see pages 16-17). We also hand delivered or e-mailed copies to several agencies that deliver services to non-English speaking persons and persons with disabilities to help ensure that they have access to copies of our substantial amendment.

Although no written comments were made directly to the Department of Community and Economic Development regarding any originally proposed activities, the activity of Emergency Relief Funds through the provision of mortgage payments/rent due to job loss was removed from the proposed list of activities due to feedback received from the FEMA Board and Rockford's Human Services Department. It was determined that adequate funds were being made available toward this activity from other resources. Also, rehabilitation was changed to include a budget of \$25,000 in order to meet the 70% LMI benefit requirement and address additional unmet needs. Also an activity listed as an "alternative" project was removed from the Activity Data Spreadsheet because of the lack of readiness to proceed.

Second Amendment March, 2011: The Draft CDBG-R Amendment to CDBG-R Substantial Amendment was made available to the public for review and comment during a 7 day comment period from March 10, 2011 through March 16, 2011. A legal notice regarding the comment period was published in the *Rockford Register Star* on March 10, 2011. Additionally, the draft amendment was posted on the City of Rockford's Website. We also hand delivered or e-mailed copies to several agencies that deliver services to non-English speaking persons and persons with disabilities to help ensure that they have access to copies of the amendment to our Substantial Amendment. There were no written comments and no additional changes were made.

Third Amendment May, 2012: The Draft CDBG-R Amendment to CDBG-R Substantial Amendment was made available to the public for review and comment during a 7 day comment period from May, 2012 through May __, 2012. A legal notice regarding the comment period was published in the *Rockford Register Star* on May __, 2012. Additionally, the draft amendment was posted on the City of Rockford's Website. We also hand delivered or e-mailed copies to several agencies that deliver services to non-English speaking persons and persons with disabilities to help ensure that they have access to copies of the amendment to our Substantial Amendment. There were no written comments and no additional changes were made.

CDBG-R Substantial Amendment Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): Rockford, Illinois Lead Agency: Department of Community and Economic Development Jurisdiction Web Address: www.rockfordil.gov <i>(URL where CDBG-R Substantial Amendment materials are posted)</i>	CDBG-R Contact Person: Vicki Manson Address: 425 East State Street, Rockford, Illinois 61104 Telephone: 815.967.6759 Fax: 815.967.6933 Email: Vicki.manson@rockfordil.gov
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The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes No Verification found on page **19**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes No Date Spreadsheet was emailed: **6/04/09 with amendment date of e-mail being 3/21/11 and then again on May , 2012, to local HUD office.**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
 Yes No Verification found on page(s) **19**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
 Yes No Verification found on page(s) **19**

- the CDBG national objective citation,
Yes No Verification found on page(s) **19**

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
Yes No Verification found on page(s) **3, 5, 6, 8, 11, & 13 (as amended)**
- projected number of jobs created for each activity,
Yes No Verification found on page(s) **3, 6, 7, 8, 11 & 13 (as amended)**
- whether an activity will promote energy efficiency and conservation,
Yes No Verification found on page(s) **3, 6, 7, 9, 11, 12 and 13 (as amended)**
- the name, location, and contact information for the entity that will carry out the activity,
Yes No Verification found on page(s) **4, 6, 7, 9, 12, 13 & 14 (as amended)**
- evidence that no more than 10% of the grant amount will be spent on administration and planning,
Yes No Verification found on page (s) **7 & 19 (no change on amendment)**
- evidence that no more than 15% of the grant amount will be spent on public services,
Yes No Verification found on page (s) **N/A (no change on amendment)**
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
Yes No Verification found on page (s) **3, 8, and 13, and 19 (as amended)**

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction’s usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes No . Verification found on page(s) **20 (To Come)**

Is there a summary of citizen comments included in the final amendment?

Yes No Verification found on page(s) **15 (TBD)**

D. CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- | | | |
|--|---|--|
| (5) Authority of jurisdiction | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (9) Following a plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (13) Lead-based paint procedures | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with laws | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (15) Compliance with ARRA | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (16) Project selection | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (17) Timeliness of infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (18) Buy American provision | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (19) Appropriate use of funds for infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (20) 70% of CDBG-R for LMI | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| Optional Certification | | |
| (21) Urgent Need | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

- | | | |
|--|------------------------------|--|
| (1) Affirmatively furthering fair housing | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Drug-free Workplace | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (4) Anti-lobbying | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (5) Authority of State | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (6) Consistency with plan | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (7) Section 3 | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (8) Community development plan | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (9) Consultation with Local Governments | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (10) Use of Funds | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (11) Excessive Force | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (12) Compliance with anti-discrimination laws | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (13) Compliance with laws | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (14) Compliance with ARRA | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (15) Project selection | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (16) Timeliness of infrastructure investments | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (17) Buy American provision | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (18) Appropriate use of funds for infrastructure investments | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (19) 70% of CDBG-R for LMI | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| Optional Certification | | |
| (20) Urgent Need | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |