

Mayor's Office

Mission Statement

It is the mission of the Mayor's Office to provide the leadership, initiative, and direction that is necessary to provide quality services to the citizens of Rockford.

Primary Function → The primary function of the Mayor's Office is to provide administrative and policy making functions, as well as to oversee the day-to-day operations for the City of Rockford.

2012 Accomplishments →

- Finished 2011 with a positive fund balance. Aggressive monetary management was highlighted by 2012 Moody's report, stating: "the city's favorable financial performance recovery was largely due to the implementation of budgetary controls and conservative revenue budgeting practice following four consecutive years of operating deficits."
- Refinanced old debt service to save approximately \$400,000.
- Successfully recruited a new Finance Director for the City.
- Successfully implemented components of the Outsourcing Subcommittee recommendations on outsourcing and process improvement, including outsourcing street sweeping, consolidating the customer service center, establishing the vehicle leasing program, and consolidating code enforcement and property standards functions.
- Entered into an Agreement between the City and the State of Illinois Comptroller's office for a local debt recovery agreement to collect past due debts owed to the City through State income tax returns, payable in 2013.
- Successfully implemented the alternate service delivery of EMS calls with the Quick Response Vehicle deployment at Stations 1 and 2, dramatically reducing depreciation and overuse of fire apparatus and increasing response times to customers by approximately one minute.
- Rockford Police Department were again re-accredited by the Commission on Law Enforcement Accreditation, an achievement only 4% of police departments in the U.S. achieve.
- Passed voter referendum on municipal electric aggregation to save residential homeowners and small business over \$20 million in electricity costs, one of the cheapest rates achieved in the State of Illinois. Worked cooperatively with the City of Loves Park and Village of Pecatonica to aggregate customers.
- Worked with the Rockford Public Schools administration to establish a Neighborhood Elementary School "Empowerment Zone" to encourage all children within these zones to get enrolled in early childhood education. Also continued the partnership with the School District on the Career Academy concept.
- Successfully negotiated a multi-year agreement between the City and the RAVE authority to manage Davis Park, BMO Harris Center, the Coronado Theatre, and special events. The consolidation of operations of the facilities under one management umbrella (RAVE) establishes an average annual net public operating support of \$800,000 per year, which is \$200,000 less than what was called for in the 2009 City-MetroCentre report and approximately \$552,000 per year less than what was projected by the City without consolidation occurring.

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This will result in an estimated savings of over \$6 million to the City over the life of the proposed Intel Governmental Agreement (IGA).

- Successfully entered into an IGA with RAVE and Winnebago County to build a 80-space parking lot north of the BMO Harris Center to reduce blight and increase parking opportunities for downtown businesses and customers.
- Entered into a Development Agreement to redevelop a vacant downtown storefront into a nightclub, creating 40 jobs in the downtown area.
- Entered into a Development Agreement to develop a retail strip center in downtown on a blighted, abandoned parking lot, the first retail center built in downtown in the last 30 years.
- Entered into a Development Agreement to redevelop a six-story, 82,000 square foot brewery into a mixed-use development along the Rock River.
- Entered into a Development Agreement with B/E Aerospace on a new 40,000 square foot building in Logistics Park.
- Secured state and federal funding to demolish the blighted Tapco building in downtown Rockford, opening up development opportunities adjacent to Davis Festival Park.
- Secured funding for the design and construction of a new Amtrak train station in downtown Rockford.
- Began construction on the \$8.2 million Phase 1 of *Jane's Nobel Village*, a vital component of rebuilding the neighborhood adjacent to the Morgan Street Bridge Project.
- Entered into a Development Agreement to house Swedish American's regional Cancer Care Center.
- Created a coordinated, geographic-based economic development strategy called the EDEEN Network, which is designed to: (1) market and promote older commercial and retail districts; (2) program special events, activities, and festivals within these districts; (3) civic planning, design, beautification, and capital investment for public infrastructure within these districts; (4) sales and leasing of commercial properties within these districts; (5) development and financing of private commercial properties within these districts; (6) business entrepreneurship, development and consulting; (7) workforce talent and skills development; and (8) administration, reporting, planning, and coordination of EDEEN Network partners whose work involves these districts.
- Secured funding from the EDA, State of Illinois, Greater Rockford Airport Authority, and Winnebago County to improve the eastern entry to the Airport, the reconstruction of Falcon Road, and the rebuild of the intersection of Airport Drive and Kishwaukee Street to dramatically improve the entry and aesthetics into Rockford Global TradePark and the Chicago-Rockford International Airport.
- Successfully completed the multi-year Water System Improvement Project. By securing state and federal funding, we were able to save approximately \$24 million dollars over the life of the bonds.

2013 Goals and Objectives →

- Continue efforts to cut operational costs through organizational efficiencies to limit need for short-term borrowing.

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- Aggressively pursue development opportunities to grow Rockford economy.
- Develop multi-year parking system plan to improve condition and operational effectiveness.
- Implement \$8 million in River Edge grants in the downtown area.
- Continue health and wellness initiatives for our City employees and community at large through the creation of the “Healthy Rockford Network.”
- Partner with local educational institutions and civic and economic development groups on developing coordinated networks to grow major industry clusters, including healthcare, aerospace, the arts, and the tourism and hospitality industries, arts professionals, and arts supporters to determine how we can leverage our resources to build a world class arts education network.
- Engage and support citizen involvement in City planning and activities.
- Implement the Rockford Area Prisoner Re-entry Network.
- Establish a Multi-family Crime Free Initiative to reduce crime and improve property standards.
- Build and support intergovernmental relationships, collaboration, and advocacy.
- Continue work in establishing opportunities for international trade and economic growth.
- Develop a new and improved solid waste and recycling collection contract for Rockford residents.
- Vacate Public Safety Building to establish geographic-based police facilities City-wide.
- Consolidate public-sector housing programs under one-stop shop for better outcomes and customer service.
- Continue to pursue state legislative agenda focusing on pension reform, collective bargaining reform, protection of state-disbursed municipal revenues, other pro municipal government legislation, and a new casino for Rockford.

Mayor's Office

Budget Summary

MAYOR'S OFFICE BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$511,917	\$432,388	\$463,645	\$452,176	(11,469)
CONTRACTUAL	144,194	127,422	141,045	151,645	10,600
SUPPLIES	11,772	6,855	12,350	12,350	0
OTHER	0	0	0	0	0
CAPITAL	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$667,883</u>	<u>\$566,665</u>	<u>\$617,040</u>	<u>\$616,171</u>	(\$869)
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STAFFING REVIEW	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
TOTAL	<u>6.00</u>	<u>5.00</u>	<u>4.00</u>	<u>4.00</u>	0.00
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FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
FRINGE BENEFIT REIMBURSEMEN	\$86,457	\$93,323	\$65,079	\$68,725	\$3,646
GENERAL REVENUES	<u>718,166</u>	<u>473,342</u>	<u>551,961</u>	<u>547,446</u>	(\$4,515)
TOTAL	<u>\$804,623</u>	<u>\$473,342</u>	<u>\$617,040</u>	<u>\$616,171</u>	(\$869)

Budget Analysis

- A 2% wage increase is budgeted for all department staff for a total of \$4,308.
- Health insurance decreased \$29,380, primarily due to changes in coverage.
- Contractual expenses increase due to increases in purchase of services.

Capital Equipment

There are no capital items budgeted for the Mayor's Office in 2013.

Mayor's Office

Personnel Review

MAYOR'S OFFICE				
BENEFITS AND SALARIES				
SALARY		2012	2013	INCREASE/ (DECREASE)
		BUDGET	BUDGET	
PERMANENT		\$304,024	\$310,383	\$6,359
TEMPORARY		\$0	\$4,000	\$4,000
SALARY ADJUSTMENT		\$0	\$4,308	\$4,308
TOTAL SALARIES		<u>\$304,024</u>	<u>\$318,691</u>	<u>\$14,667</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$63,328	\$67,092	3,764
UNEMPLOYMENT TAX		900	720	(180)
WORKMEN'S COMPENSATION		851	913	62
HEALTH INSURANCE		91,572	62,192	(29,380)
LIFE INSURANCE		390	312	(78)
PARKING BENEFITS		<u>2,580</u>	<u>2,256</u>	<u>(324)</u>
TOTAL BENEFITS		<u>\$159,621</u>	<u>\$133,485</u>	<u>(26,136)</u>
TOTAL COMPENSATION		<u>\$463,645</u>	<u>\$452,176</u>	<u>(11,469)</u>
POSITION TITLE	POSTION RANGE	2012	2013	INCREASE/ (DECREASE)
		EMPLOYEES	EMPLOYEES	
MAYOR	ELECTED	1.00	1.00	0.00
CITY ADMINISTRATOR	E-16	1.00	1.00	0.00
ASSISTANT CITY ADMINISTRATOR	E-10	0.00	0.00	0.00
EXECUTIVE COORDINATOR TO THE MAYOR	E-8	1.00	1.00	0.00
SR. ADMINISTRATIVE ASSISTANT	E-6	0.00	0.00	0.00
ADMINISTRATIVE ASSISTANT	E-5	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
TOTAL PERSONNEL		<u>4.00</u>	<u>4.00</u>	<u>0.00</u>

**MAYOR'S OFFICE
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
71112 Permanent	306,014	304,024	179,952	310,383	6,359
71113 Temporary	2,850	-	5,166	4,000	4,000
71129 Salary Adjustments	-	-	-	4,308	4,308
71251 IMRF	60,820	63,328	37,662	67,092	3,764
71253 Unemployment	621	900	523	720	(180)
71262 Worker's Comp	766	851	566	913	62
71263 Health Insurance	58,610	91,572	35,816	62,192	(29,380)
71263 Life Insurance	307	390	179	312	(78)
71271 Parking	2,400	2,580	1,505	2,256	(324)
TOTAL PERSONNEL	432,388	463,645	261,369	452,176	(11,469)
72211 Printing & Publication	110	1,500	242	500	(1,000)
72212 Postage	-	500	-	100	(400)
72213 Telephone	8,937	5,680	2,189	5,680	-
72203 Wireless	-	4,100	1,255	7,500	3,400
72214 Travel	3,662	3,000	2,167	3,000	-
72215 Dues	12,180	8,565	3,425	14,000	5,435
72216 Subscriptions	607	600	258	600	-
72218 Service Contracts	3,588	23,900	2,806	18,625	(5,275)
72263 Microcomputer	35,930	27,230	15,884	28,390	1,160
72264 Vehicle Repair	3,981	1,950	992	3,000	1,050
72265 Fuel	1,750	1,540	714	2,050	510
72266 Vehicle Vendor Service	-	100	-	100	-
72267 Risk Management	8,700	5,030	2,934	6,080	1,050
72271 Rental Equipment	3,721	2,760	2,084	4,000	1,240
72272 Rental Building	42,140	51,940	30,298	55,370	3,430
72290 Education & Training	2,116	2,650	1,275	2,650	-
TOTAL CONTRACTUAL	127,422	141,045	66,523	151,645	10,600
75520 Small Equipment and Tools	-	1,000	125	350	(650)
75525 Food	1,592	1,500	1,610	2,000	500
75560 Office General	3,765	6,500	2,053	6,000	(500)
75569 Miscellaneous Supplies	992	3,350	1,688	2,500	(850)
75570 Computer Noncapital	506	-	1,293	1,500	1,500
TOTAL SUPPLIES	6,855	12,350	6,769	12,350	-
TOTAL MAYOR'S OFFICE	566,665	617,040	334,661	616,171	(869)

City Council

Mission Statement

It is the mission of the City Council, in conjunction with the Mayor, to serve as the legislative and policymaking body of the City of Rockford.

Primary Functions → The primary function of the City Council is to act as the legislative body for the City of Rockford.

2012 Accomplishments →

- Approved 2012 budget.
- Approved 2012-2016 Capital Improvement Plan.
- Approved a number of development agreements to grow and expand the City's economic base.

2013 Goals and Objectives →

- Use the City-level strategies - create a livable community, engage citizens in improving education and reducing crime, investing in infrastructure, and becoming a more customer-focused, productive organization - to work towards achieving the Council's five community objectives: increase economic activity, reduce crime, increase living wage jobs, create a qualified, educated workforce, and create vibrant neighborhoods.

Budget Summary

CITY COUNCIL BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$370,170	\$355,446	\$372,937	\$374,342	\$1,405
CONTRACTUAL	124,051	158,382	198,950	201,460	2,510
SUPPLIES	750	1,151	900	900	0
OTHER	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$494,971</u>	<u>\$514,979</u>	<u>\$572,787</u>	<u>\$576,702</u>	<u>\$3,915</u>
STAFFING REVIEW	2010 <u>14.00</u>	2011 <u>14.00</u>	2012 <u>14.00</u>	2013 <u>14.00</u>	INCREASE (DECREASE) <u>0.00</u>
ELECTED					
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
FRINGE BENEFIT REIM	\$32,769	\$33,222	\$33,883	\$34,664	\$781
GENERAL REVENUES	501,229	549,748	538,904	542,038	\$3,134
TOTAL	<u>\$533,998</u>	<u>\$582,970</u>	<u>\$572,787</u>	<u>\$576,702</u>	<u>\$3,915</u>

City Council

Budget Analysis

- Budget increases occurred due to changes in fringe benefit rates and internal purchase of service changes.

Personnel Review

CITY COUNCIL				
BENEFITS AND SALARIES		2012	2013	INCREASE/
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERMANENT		<u>\$168,000</u>	<u>\$168,000</u>	<u>\$0</u>
TOTAL SALARIES		<u>\$168,000</u>	<u>\$168,000</u>	<u>\$0</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		33,413	\$34,177	\$764
WORKER'S COMPENSATION		470	487	17
HEALTH INSURANCE		163,254	163,254	0
LIFE INSURANCE		1,092	1,092	0
PARKING BENEFITS		<u>6,708</u>	<u>7,332</u>	<u>624</u>
TOTAL BENEFITS		<u>\$204,937</u>	<u>\$206,342</u>	<u>\$1,405</u>
TOTAL COMPENSATION		<u>\$372,937</u>	<u>\$374,342</u>	<u>\$1,405</u>
		2012	2013	INCREASE/
		<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	<u>(DECREASE)</u>
POSITION TITLE	POSTION RANGE			
	ELECTED	<u>14.00</u>	<u>14.00</u>	<u>0.00</u>
TOTAL PERSONNEL		<u>14.00</u>	<u>14.00</u>	<u>0.00</u>

**CITY COUNCIL
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
71112 Permanent	164,459	168,000	96,207	168,000	-
71251 IMRF	28,936	33,413	17,315	34,177	764
71262 Worker's Comp	-	470	-	487	17
71263 Health Insurance	154,609	163,254	93,848	163,254	-
71264 Life Insurance	1,086	1,092	630	1,092	-
71271 Parking	6,356	6,708	3,913	7,332	624
TOTAL PERSONNEL	355,446	372,937	211,913	374,342	1,405
72211 Printing & Publication	155	200	172	-	(200)
72213 Telephone	422	440	108	440	-
72214 Travel	3,220	100	309	500	400
72218 Service Contracts	127,500	170,000	67,169	170,000	-
72263 Microcomputer	2,330	2,390	1,394	2,490	100
72267 Risk Management	2,790	1,240	723	1,540	300
72272 Building Rental	19,780	24,380	14,222	25,990	1,610
72290 Education	2,185	200	1,820	-	(200)
72299 Miscellaneous	-	-	-	500	500
TOTAL CONTRACTUAL	158,382	198,950	85,917	201,460	2,510
75525 Food	290	-	-	-	-
75560 Office Supplies	166	300	-	-	(300)
75569 Miscellaneous	695	600	375	900	300
TOTAL SUPPLIES	1,151	900	375	900	-
TOTAL CITY COUNCIL	514,979	572,787	298,205	576,702	3,915

Legal Department

Mission Statement

It is the mission of the Legal Department to act as the legal representative for the City of Rockford, its officers, and its employees.

Primary Function → The primary function of the Legal Department is to provide a variety of legal services for administrative issues, legislative issues, land acquisition programs, and support the City's EEO and diversity procurement functions.

2012 Accomplishments →

- Transitioned City to municipal liability insurance with Travelers with a \$500,000 self-insured retention. Performed all aspects of major litigation and obtained a directed verdict in a jury trial that exonerated the City's conduct in an employee's claim of race and gender discrimination. Obtained summary judgment and/or dismissals in the following major litigation matters: Beach [excessive force], Auto Owners [SWAT property damage], Curtis [excessive force], Gordon [excessive force], Secrets Apparel [zoning], Wiggins [failure to protect] and an employee's claim of race discrimination. Obtained desirable settlements in three additional employment cases.
- Supervised the City-wide system for Freedom of Information Requests in compliance with the revised FOIA statute of 2010 using SharePoint. The City-wide system processed nearly 2,500 requests during 2011 and may exceed 3,000 in 2012. Researched and drafted responses to Requests for Review to Public Access Counselor.
- Provided staffing and administrative support for the hearing process for towing and impoundment of vehicles resulting in increased public safety and additional revenue.
- Continuing implementation of "Leads Online" for the recovery of stolen property from pawnbrokers and secondhand goods dealers.
- Completed revision of the General Order for the Evidence Division of the Rockford Police Department. Trained all evidence support staff in the new General Order.
- Processed in-house over 100 liability claims against the City. After November 2011, transitioned claims to the Travelers TPA. Added online claim initiation through the City website.
- Served in a risk management capacity for all departments. Assisted in selection of new Worker's Compensation Counsel and Third Party Administrator.
- Supervised the police subpoena process and provided court representation when issues arose in the subpoena process.
- Served as conflicts counsel for the State's Attorney's Office in DUI and major traffic cases.
- Prosecuted municipal ordinance violations in Circuit Court.
- On a daily interactive basis, performed function as City-wide Freedom Of Information Act officer, Open Meetings Act Officer and Local Records Act Officer.
- Advised all departments in Abortion Clinic/Protest/First Amendment matters. Facilitated issues surrounding the mobile ultrasound unit with the Traffic Commission and other day to day clinic/free speech matters.

Legal Department

- Representation of the City disciplinary and contract grievances, interest arbitration and continuing labor relations matters with all City bargaining units.
- Continuing participation in the 17th Judicial Circuit Court's Truancy Advisory Board.
- Assisted the State's acquisitions for the Main Street and Auburn intersection project.
- Drafted and assisted in the implementation of new outdoor design guidelines and festival zone vendors.
- Drafted and obtained several administrative search warrants to allow inspectors to secure dangerous properties and abate nuisances.
- Staffed the executive committees of each Weed and Seed site.
- Co-chaired Rockford Alliance Against Sexual Exploitation aimed at reducing prostitution and the demand for purchased sex in our community.
- Participated in crime free multi housing training of land lords.
- Developed ordinance modifications and administrative support for the licensing of video gaming machines.

2013 Goals and Objectives →

- Increase economic activity by supporting development projects.
- Finalize right of way acquisition for North Main, Churchill Park storm water management and other infrastructure improvement projects.
- Support Community Development Department through effective drafting and review of development and incentive agreements.
- Reduce crime by effective ordinance adoption and enforcement and support of Weed and Seed initiatives.
- Support transition to geographic policing.
- Increase living wage jobs by continuing expansion of Minority Procurement Policy.
- Create a qualified and educated workforce by supporting Community Education Partnership Initiatives.
- Create vibrant neighborhoods by supporting Code Enforcement, Weed and Seed Programs and Neighborhood Associations.
- Continue annual negotiation of animal control services intergovernmental agreement and reduction of City costs for animal services.
- Maintain efficient services and control costs through support of labor negotiations.
- Explore outsourcing opportunities within the Legal Department and support outsourcing activities throughout the organization.
- Implementation of the Elected and Appointed Officials OMA Training.
- Implement new Westlaw Next software West KM, Case Notebook and West Document Drafting Software.

Legal Department

Budget Summary

LEGAL DEPARTMENT BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$1,623,498	\$1,362,464	\$1,220,965	\$1,273,526	\$52,561
CONTRACTUAL	198,609	197,916	364,220	309,350	(54,870)
SUPPLIES	16,293	14,777	23,700	23,700	0
OTHER	0	0	0	0	0
CAPITAL	0	0	0	0	0
TOTAL	<u>\$1,838,400</u>	<u>\$1,575,157</u>	<u>\$1,608,885</u>	<u>\$1,606,576</u>	<u>(\$2,309)</u>

STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
TOTAL	17.00	12.00	12.00	12.00	0.00

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
FRINGE BENEFIT REIMBURSEMENTS	\$229,451	\$179,846	\$169,365	\$184,370	\$15,005
MAGISTRATE FINES	650,000	665,000	665,000	650,000	(\$15,000)
PURCHASE OF SERVICES	497,100	534,100	666,100	701,700	\$35,600
GENERAL REVENUES	<u>461,849</u>	<u>252,229</u>	<u>108,420</u>	<u>70,506</u>	<u>(\$37,914)</u>
TOTAL	<u>\$1,838,400</u>	<u>\$1,631,175</u>	<u>\$1,608,885</u>	<u>\$1,606,576</u>	<u>\$1,608,888</u>

Budget Analysis

- A 2% wage increase is budgeted for all department staff for a total of \$16,533.
- Overtime increased \$6,000 as a result of council meetings attended by staff.
- Health Insurance decreased \$15,550 primarily due to changes in coverage.
- Contractual expenses decreased \$59,470 in risk management purchase of service.

Capital Equipment

There are no capital items budgeted for 2013.

Legal Department

Personnel Review

LEGAL DEPARTMENT				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	
PERMANENT		\$792,064	\$820,643	\$28,579
TEMPORARY		0	0	0
SEVERANCE		0	0	0
OVERTIME		0	6,000	6,000
MERIT PAY		0	0	0
SALARY ADJUSTMENT		<u>0</u>	<u>16,533</u>	<u>16,533</u>
TOTAL SALARIES		<u>\$792,064</u>	<u>\$843,176</u>	<u>\$51,112</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$164,987	\$179,765	\$14,778
UNEMPLOYMENT TAX		2,160	2,160	0
WORKER'S COMPENSATION		2,218	2,445	227
HEALTH INSURANCE		231,504	215,956	(15,548)
LIFE INSURANCE		936	936	0
RETIREE HEALTH INSURANCE		16,848	16,848	0
PARKING BENEFITS		10,248	12,240	1,992
TOTAL BENEFITS		<u>\$428,901</u>	<u>\$430,350</u>	<u>\$1,449</u>
TOTAL COMPENSATION		<u>\$1,220,965</u>	<u>\$1,273,526</u>	<u>\$52,561</u>
	POSITION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	<u>RANGE</u>	<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	
LEGAL DIRECTOR	E-14	1.00	1.00	0.00
CITY ATTORNEY	E-11	3.00	3.00	0.00
LAND TRANSACTIONS OFFICER	E-7	1.00	1.00	0.00
ASSISTANT CITY ATTORNEY I	E-8	1.00	1.00	0.00
DIVERSITY PROCUREMENT OFFICER	E-8	1.00	1.00	0.00
SR. ADMINISTRATIVE ASSISTANT	E-6	0.00	0.00	0.00
ADMINISTRATIVE ASSISTANT	E-5	4.00	4.00	0.00
OFFICE ASSISTANT	E-2	1.00	1.00	0.00
PERSONNEL ADJUSTMENT		0.00	0.00	0.00
TOTAL PERSONNEL		<u>12.00</u>	<u>12.00</u>	<u>0.00</u>

**LEGAL DEPARTMENT
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
71112 Permanent	926,889	792,064	481,948	820,643	28,579
71113 Temporary	-	-	-	6,000	6,000
71122 Sal OT Perm	1,828	-	3,416	-	-
71129 Salary Adjustment	-	-	-	16,533	16,533
71251 IMRF	185,751	164,987	99,788	179,765	14,778
71253 Unemployment	4,202	2,160	1,811	2,160	-
71262 Worker's Comp	2,145	2,218	1,359	2,445	227
71263 Health Insurance	227,085	231,504	125,406	215,956	(15,548)
71264 Life Insurance	1,004	936	541	936	-
71265 Retiree Health Insurance	-	16,848	9,828	16,848	-
71271 Parking	13,560	10,248	5,978	12,240	1,992
TOTAL PERSONNEL	1,362,464	1,220,965	730,075	1,273,526	52,561
72211 Printing & Publication	4,963	8,450	1,865	6,800	(1,650)
72212 Postage	793	2,000	211	2,000	-
72213 Telephone	12,206	9,940	3,732	9,940	-
72203 Wireless	-	1,200	359	1,200	-
72214 Travel	5,435	5,700	877	5,700	-
72215 Dues	6,584	9,160	4,202	9,160	-
72216 Subscriptions	5,165	1,680	49	1,680	-
72217 Advertising	614	2,500	-	2,500	-
72218 Service Contracts	27,772	41,620	12,951	44,620	3,000
72241 Insurance	100	100	-	100	-
72255 Maintenance-Office & Furn	210	730	150	730	-
72263 Microcomputer	60,700	59,680	34,813	62,200	2,520
72267 Risk Management	8,650	64,820	37,812	5,350	(59,470)
72271 Rental Equipment	1,818	-	150	1,800	1,800
72272 Building Rental	42,140	51,940	30,298	55,370	3,430
72281 Professional Fee-Legal	17,999	91,700	9,214	87,200	(4,500)
72290 Education & Training	2,767	13,000	195	13,000	-
TOTAL CONTRACTUAL	197,916	364,220	136,878	309,350	(54,870)
75509 Books	10,080	7,500	6,185	7,500	-
75520 Small Equipment	536	750	69	750	-
75525 Food	196	500	12	500	-
75560 Office General Supply	3,867	12,000	2,508	12,000	-
75570 Computer, Non-Capital	98	2,950	2,562	2,950	-
TOTAL SUPPLIES	14,777	23,700	11,336	23,700	-
TOTAL LEGAL DEPARMENT	1,575,157	1,608,885	878,289	1,606,576	(2,309)

Finance Department

Mission Statement

It is the mission of the Finance Department to account for all municipal resources and to apply such resources in a manner that is most beneficial to the citizens of Rockford.

Primary Functions → There are four primary operating functions within the Finance Department.

- **Administration** → The Administration division is responsible for the management of the financial affairs of the city and the supervision of personnel operations within the Finance Department.
- **Central Services** → The Central Services division is responsible for financial planning, risk management, centralized purchasing, and mail/printing services for the City.
- **Accounting** → The purpose of the Accounting division is to provide financial reporting, payroll processing, accounts payable and receivable, fixed asset reporting, special tax collections, billing, and auditing functions.
- **Revenue** → The purpose of the Revenue Division is to manage the police and fire pension funds, ensure payment to retirees is processed, and invest idle City funds.
- **Customer Service Center** → The purpose of the Customer Service Division is to collect various revenues, perform meter reading services, and handle customer calls for City Departments.

2012 Accomplishments →

- Received the Distinguished Budget Presentation Award for the 28th consecutive year and the Certificate of Achievement for Excellence in Financial Reporting for the 32nd consecutive year from the Government Finance Officer's Association.
- Implemented a new Customer Service Center that consolidated Revenue, Billing, and Water Customer Service into one area.
- Completed three Munis projects: Utility Billing CIS upgrade, System Version 9.3 upgrade, and implement Contract Management.
- Provided purchasing training to all appropriate City staff.
- Implemented applicable GASB statements in order to stay in compliance with Generally Accepted Accounting Practices.
- Continued working with Public Works on the parking ticket system process improvement plan which includes installing new parking ticket software.
- Continued cross training of Finance staff and process improvements with the Department.
- Completed refund of six outstanding bond series issued in 2002 and 2003 resulting in a \$545,700 reduction in future debt service.
- Completed 2011 Pension Fund Audit and transmitted the audited information to the Actuary by May 25th.

Finance Department

- Continued implementation of the Outsourcing Subcommittee recommendations on outsourcing and process improvement.

2013 Goals and Objectives →

- Achieving the Distinguished Budget Presentation Award for the 29th consecutive year and the Certificate of Achievement for Excellence in Financial Reporting for the 33rd consecutive year from the Government Finance Officer's Association.
- Implement applicable GASB statements in order to stay in compliance with Generally Accepted Accounting Practices.
- Install a payment kiosk that provides 24-hour payment capability for water bills and look for other ways to reroute lobby traffic during peak periods.
- Implement a single log in to access multiple billing accounts with the same owner and make one payment for all accounts.
- Complete 2012 Pension Fund Audit and transmit the audited information to the Actuary by April 30th.
- Begin the process of bringing Police, Fire, and Human Services Departments, and the Library on to the NOVATime timekeeping system.

Budget Summary

FINANCE DEPARTMENT BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$2,583,360	\$2,461,514	\$2,579,554	\$2,975,548	\$395,994
CONTRACTUAL	1,097,760	963,435	1,198,485	1,186,150	(12,335)
SUPPLIES	20,341	16,945	25,869	30,244	4,375
OTHER	2,423,525	4,377,423	3,200,380	2,812,267	(388,113)
CAPITAL	0	0	0	0	0
ENCUMBRANCE	0	0	0	0	0
TOTAL	<u>\$6,124,986</u>	<u>\$7,819,317</u>	<u>\$7,004,288</u>	<u>\$7,004,209</u>	<u>(\$79)</u>
STAFFING REVIEW	2010 <u> </u>	2011 <u> </u>	2012 <u> </u>	2013 <u> </u>	INCREASE (DECREASE)
TOTAL	<u>34.00</u>	<u>34.00</u>	<u>32.00</u>	<u>36.00</u>	4.00
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
FRINGE BENEFIT REIMBURSEME	\$236,608	\$240,843	\$240,660	\$266,316	\$25,656
PURCHASE OF SERVICES	2,153,600	2,149,800	2,492,200	2,860,492	368,292
FROM OTHER GOVERNMENTS	250,000	250,000	250,000	0	(250,000)
GENERAL REVENUES	<u>4,299,922</u>	<u>5,329,079</u>	<u>4,021,428</u>	<u>3,877,401</u>	<u>(144,027)</u>
	<u>\$6,940,130</u>	<u>\$7,969,722</u>	<u>\$7,004,288</u>	<u>\$7,004,209</u>	<u>(\$79)</u>

Finance Department

Budget Analysis

- Salaries increase \$396,000 due to general step and longevity increases, staff movements due to consolidation of four positions from Water, and a 3% wage increase for non-union employees.
- A 2% wage increase is budgeted for all department staff for a total cost of \$38,200.
- IMRF rates increased \$70,100.
- Health Insurance rates increased \$29,400.
- Internal purchase of service charges increase with staff shift from water.
- Credit card fees increased \$15,000.
- Sales Tax rebate decreased \$100,000 as a result of reduction in Pella rebate agreement.
- Debt Services decreased \$207,700 as a result of credit for accrued interest.
- Other expenses decreased \$88,400 due to the elimination of RMAP payment that was transferred to CIP.
- Of the 36 staff assigned to Finance Department, 24.9 are direct reimbursements.

Capital Equipment

There are no capital items budgeted for 2013.

Finance Department

Personnel Review

FINANCE DEPARTMENT					
BENEFITS AND SALARIES	2012	2013	INCREASE/ (DECREASE)		
SALARY	<u>BUDGET</u>	<u>BUDGET</u>			
PERMANENT	\$1,657,101	\$1,906,655	\$249,554		
OVERTIME	0	3,000	\$3,000		
SALARY ADJUSTMENT	<u>0</u>	<u>38,133</u>	<u>38,133</u>		
TOTAL SALARIES	<u>\$1,657,101</u>	<u>\$1,947,788</u>	<u>\$290,687</u>		
BENEFITS					
ILLINOIS MUNICIPAL RETIREMENT	\$345,175	\$415,268	\$70,093		
UNEMPLOYMENT TAX	5,760	6,480	720		
WORKER'S COMPENSATION	4,638	5,648	1,010		
HEALTH INSURANCE	531,024	560,404	29,380		
RETIREE HEALTH INSURANCE	2,496	16,848	14,352		
LIFE INSURANCE	16,848	2,808	(14,040)		
PARKING BENEFITS	<u>16,512</u>	<u>20,304</u>	<u>3,792</u>		
TOTAL BENEFITS	<u>\$922,453</u>	<u>\$1,027,760</u>	<u>\$105,307</u>		
TOTAL COMPENSATION	<u>\$2,579,554</u>	<u>\$2,975,548</u>	<u>\$395,994</u>		
POSITION TITLE	POSTION <u>RANGE</u>	2012 <u>EMPLOYEES</u>	2013 <u>EMPLOYEES</u>	INCREASE/ (DECREASE)	
FINANCE DIRECTOR	E-14	1.00	1.00	0.00	
MANAGER	E-11	3.00	3.00	0.00	
FINANCIAL ANALYST	E-8	2.00	3.00	1.00	
SENIOR ACCOUNTANT	E-8	3.00	2.00	(1.00)	
CUSTOMER SERVICE COORD	E-8	0.00	1.00	1.00	
ACCOUNTANT	E-7	4.00	3.00	(1.00)	
SENIOR ADMIN. ASSISTANT	E-6	1.00	1.00	0.00	
ACCOUNTING TECHNICIAN	E-5	1.00	1.00	0.00	
PURCHASING TECHNICIAN	A-23	2.00	2.00	0.00	
SENIOR ACCOUNT CLERK	A-21	6.00	4.00	(2.00)	
CSC TEAM LEAD	A-21	0.00	4.00	4.00	
CUSTOMER SERVICE REP	A-20	0.00	8.00	8.00	
METER READER	A-19	0.00	2.00	2.00	
ACCOUNT CLERK	A-19	<u>9.00</u>	<u>1.00</u>	<u>(8.00)</u>	
TOTAL PERSONNEL		<u>32.00</u>	<u>36.00</u>	<u>4.00</u>	

Performance Measures

	2010 Actual	2011 Actual	2012 Projected	2013 Estimate
Purchase Orders issued	10,364	8,607	8,900	9,000
Bids/RFP's issued	141	134	143	145
Consecutive Years receiving GFOA Budget Award	26	27	28	29
Consecutive Years receiving GFOA Financial Reporting Award	31	32	33	34
Bond Issues	1	0	1	0
Investment Earnings	1,275,955	1,107,897	1,200,000	1,300,000

**FINANCE DEPARTMENT
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
71112 Sal Permanent	1,630,721	1,657,101	1,060,340	1,906,655	249,554
71122 Overtime	1,773	-	2,240	3,000	3,000
71129 Sal Adjustments	-	-	-	38,133	38,133
71251 IMRF	325,256	345,175	213,667	415,268	70,093
71253 Unemploy Tax	5,646	5,760	5,189	6,480	720
71262 Worker's Comp	3,769	4,638	5,048	5,648	1,010
71263 Health Insurance	476,673	531,024	313,800	560,404	29,380
71264 Life Insurance	2,316	2,496	1,530	2,808	312
71265 Retiree Health	-	16,848	9,828	16,848	-
71271 Parking Benefits	15,360	16,512	9,632	20,304	3,792
TOTAL PERSONNEL	2,461,514	2,579,554	1,621,274	2,975,548	395,994
72211 Printing	6,723	25,725	474	30,850	5,125
72212 Postage	168,967	272,750	18,101	273,700	950
72203 Wireless	-	-	703	1,570	1,570
72213 Telephone	29,013	29,940	20,182	32,690	2,750
72214 Travel	1,196	-	669	-	-
72215 Dues	2,098	3,560	2,004	3,655	95
72216 Subscriptions	1,251	2,685	1,408	2,170	(515)
72217 Advertising	14,541	9,130	5,112	14,350	5,220
72218 Service Contracts	123,012	166,435	64,455	186,405	19,970
72231 Utilities	-	150	-	150	-
72263 Microcomputer	121,410	116,970	68,233	148,370	31,400
72267 Risk Management	38,460	36,260	21,152	50,370	14,110
72270 Credit Card Collections	82,145	70,000	45,042	85,000	15,000
72271 Equipment Rental	29,175	8,890	17,558	15,070	6,180
72272 Building Rental	82,990	242,440	141,423	109,050	(133,390)
72282 Prof. Fee - Auditing	32,605	32,500	18,958	32,700	200
72290 Education	8,637	5,000	2,953	10,000	5,000
72292 Consulting Fees	52,180	8,000	8,000	8,000	-
72299 Miscellaneous	169,032	168,050	150,980	182,050	14,000
TOTAL CONTRACTUAL	963,435	1,198,485	587,407	1,186,150	(12,335)
75520 Small Tools	53	1,800	146	2,500	700
75525 Food	-	2,000	-	2,000	-
75527 Linens and Laundry	-	-	254	900	900
75560 General Office	13,934	22,069	6,323	14,444	(7,625)
75569 Miscellaneous	-	-	-	400	400
75570 Computer Non-Capital	2,958	-	329	10,000	10,000
TOTAL SUPPLIES	16,945	25,869	7,052	30,244	4,375
76790 Miscellaneous	403,455	481,648	-	387,000	(94,648)
76794 Sales Tax Rebate	288,451	385,900	131,077	285,900	(100,000)
77721 Transfer-Debt Serv	899,417	908,367	529,881	700,667	(207,700)
77722 Transfers	70,000	-	-	-	-
77723 Transfer-RMAP	85,800	88,400	51,567	-	(88,400)
77729 Transfer-CIP	2,400,000	1,200,000	700,000	1,200,000	-
77733 Transfer - Bldg Maint	143,150	136,065	79,371	150,200	14,135
78838 Interest - LOC	87,150	-	-	88,500	88,500
TOTAL OTHER EXPENSES	4,377,423	3,200,380	1,491,896	2,812,267	(388,113)
TOTAL FINANCE DEPARTMENT	7,819,317	7,004,288	3,707,629	7,004,209	(79)

Information Technology Department

Mission Statement

The Mission of Information Technology is to support the objectives of the Mayor, City Council, and Department Heads by providing technical leadership in Information Technology planning, implementation, and support.

Primary Functions →

- Provide technical service and support to City employees, enabling them to work efficiently and effectively.
- Ensure the safety and integrity of the City's data and network.
- Provide technical leadership and direction for projects requiring Information Technology support.

2012 Accomplishments→

- Upgraded City's financial system to latest production release.
- Upgraded City's time keeping system to latest production release.
- Upgraded Police Records Management system to latest production release.
- Implemented new records management system for Legal Department
- Upgraded Hansen Planning / Permitting / Code Enforcement / on-line permitting systems.
- Implemented Hansen Asset Management system.
- Implemented new Employee Self Service system.
- Upgraded Email server to 2010 version.
- Added new Map Gallery feature to the City's web site.
- Continued implementation of nine-county ARRA Broadband project.
- Continued data center consolidation efforts.
- Supported the reporting needs for RockStat.

2013 Goals and Objectives→

- Implement new electronic agenda system.
- Continue implementation of nine-county ARRA Broadband project.
- Implement new Parking Ticket system.
- Implement new False Alarm system.
- Implement new Munis modules as recommended by Baker-Tilly.
- Replace City's multiple phone systems with a single system.

Information Technology Department

Budget Summary

INFORMATION TECHNOLOGY FUND					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$573,660	\$570,011	\$585,144	\$435,118	(150,026)
CONTRACTUAL	1,368,803	1,528,441	1,570,783	1,731,030	160,247
SUPPLIES	49,743	47,078	36,500	36,200	(300)
OTHER	<u>522,205</u>	<u>462,430</u>	<u>455,960</u>	<u>504,073</u>	48,113
TOTAL	<u>\$2,514,411</u>	<u>\$2,607,960</u>	<u>\$2,648,387</u>	<u>\$2,706,421</u>	<u>\$58,034</u>

STAFFING REVIEW	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
	8.00	6.00	6.00	4.00	(2.00)

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
INFORMATION TECHNOLOGY CHARGES					
GENERAL FUND	\$1,995,978	\$1,967,310	\$2,047,300	\$2,140,940	\$93,640
OTHER FUNDS	<u>651,670</u>	<u>655,840</u>	<u>793,760</u>	<u>801,190</u>	<u>7,430</u>
TOTAL	<u>\$2,647,648</u>	<u>\$2,623,150</u>	<u>\$2,841,060</u>	<u>\$2,942,130</u>	<u>\$101,070</u>

Budget Analysis

- Salaries decrease \$102,800 as a result of the elimination of two Senior IT Specialists.
- Fringe benefits decreased \$53,121 due to the reduction in salaries.
- A 2% wage increase is budgeted for all department staff for a total cost of \$5,900.
- Consulting fees increase \$167,432 due to increases in ATS contract fees.
- Depreciation increased \$48,113, due to planned fixed asset purchases.

Five Year Financial Forecast

The 2014-2018 forecast assumes operations will continue as they are programmed for 2012 and that costs will increase annually. Budgets are developed so funds are annually available for fixed assets. Since this is an internal service fund, charges will recover expenditures.

Information Technology Internal Service Fund 2014-2018 Financial Forecast (In 000s)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$3,060	\$3,182	\$3,309	\$3,441	\$3,579
Expenditures	<u>2,815</u>	<u>2,928</u>	<u>3,045</u>	<u>3,167</u>	<u>3,294</u>
Excess (Deficit)	<u>245</u>	<u>254</u>	<u>264</u>	<u>274</u>	<u>285</u>
Beginning Balance	<u>0</u>	<u>245</u>	<u>499</u>	<u>763</u>	<u>1,037</u>
Ending Balance	<u>\$245</u>	<u>\$499</u>	<u>\$763</u>	<u>\$1,037</u>	<u>\$1,322</u>

Information Technology Department

Fixed Assets

The 2013 budget of \$500,000 for fixed assets includes the Network Upgrades, Document Retention Site, and set up of paperless process.

FIXED ASSETS INFORMATION TECHNOLOGY DEPARTMENT 2013 BUDGET		
DESCRIPTION	ACCOUNT	AMOUNT
DR Site	79928	400,000
Paperless Agenda	79928	100,000
TOTAL CURRENT FIXED ASSETS		\$500,000

Personnel Review

INFORMATION TECHNOLOGY DEPARTMENT				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	
PERMANENT		\$397,759	\$294,954	(\$102,805)
SALARY ADJUSTMENT		<u>0</u>	<u>5,900</u>	<u>5,900</u>
TOTAL SALARIES		<u>\$397,759</u>	<u>\$300,854</u>	<u>(\$96,905)</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$82,853	\$64,142	(\$18,711)
UNEMPLOYMENT TAX		1,080	720	(360)
WORKER'S COMPENSATION		1,114	872	(242)
HEALTH INSURANCE		98,774	65,962	(32,812)
LIFE INSURANCE		468	312	(156)
PARKING BENEFITS		<u>3,096</u>	<u>2,256</u>	<u>(840)</u>
TOTAL BENEFITS		<u>187,385</u>	<u>134,264</u>	<u>(53,121)</u>
TOTAL COMPENSATION		<u>\$585,144</u>	<u>\$435,118</u>	<u>(\$150,026)</u>
	POSITION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	RANGE	EMPLOYEES	EMPLOYEES	
IT DIRECTOR	E-14	1.00	1.00	0.00
SENIOR IT SPECIALIST	E-9	4.00	2.00	(2.00)
IT SPECIALIST	E-8	1.00	1.00	0.00
COMPUTER TECHNICIAN	A-21	<u>0.00</u>	<u>0.00</u>	<u>0.00</u>
TOTAL PERSONNEL		<u>6.00</u>	<u>4.00</u>	<u>(2.00)</u>

**INFORMATION TECHNOLOGY FUND
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGETED CHANGE 12-13
71112 Sal Perm	391,714	397,759	192,546	294,954	(102,805)
71129 Sal Adjustments	-	-	-	5,900	5,900
71251 IMRF	79,242	82,853	38,887	64,142	(18,711)
71253 Unemploy Tax	1,056	1,080	771	720	(360)
71262 Worker's Comp.	909	1,114	539	872	(242)
71263 Health Insurance	93,748	98,774	42,453	65,962	(32,812)
71264 Life Insurance	462	468	186	312	(156)
71271 Parking Benefits	2,880	3,096	1,806	2,256	(840)
TOTAL PERSONNEL	570,011	585,144	277,188	435,118	(150,026)
72212 Postage	221	100	523	100	-
72213 Telephone	14,333	13,740	7,141	13,740	-
72203 Wireless	-	4,000	3,150	4,000	-
72214 Travel	287	-	58	-	-
72215 Dues	2,560	500	2,375	2,575	2,075
72218 Service Contracts	154,780	142,440	118,449	138,040	(4,400)
72255 Maint - Office Equip	664,194	630,765	465,601	622,885	(7,880)
72264 Vehicle Repairs	179	600	137	300	(300)
72265 Fuel	236	610	31	610	-
72267 Risk Management	6,460	6,910	4,031	7,850	940
72271 Equipment Rental	842	-	678	1,150	1,150
72272 Building Rental	15,050	18,550	10,821	19,780	1,230
72290 Education	69	-	-	-	-
72292 Consulting Fees	669,230	752,568	443,211	920,000	167,432
72299 Miscellaneous	-	-	-	-	-
TOTAL CONTRACTUAL	1,528,441	1,570,783	1,056,206	1,731,030	160,247
75520 Small Tools	-	300	-	-	(300)
75560 General Office	313	1,200	1,348	1,200	-
75570 Computer Non-Cap	46,765	35,000	43,827	35,000	-
TOTAL SUPPLIES	47,078	36,500	45,175	36,200	(300)
76780 Depreciation Expense	414,407	421,460	245,852	471,473	50,013
77725 Purchase Serv-Gnrl	34,500	34,500	20,125	32,600	(1,900)
78837 Installment Note Interest	13,523	-	-	-	-
TOTAL OTHER	462,430	455,960	265,977	504,073	48,113
79928 Computer Hardware	-	-	209,396	-	-
TOTAL CAPITAL	-	-	209,396	-	-
TOTAL INFORMATION SERVICES	2,607,960	2,648,387	1,853,942	2,706,421	58,034

Human Resources Department

Mission Statement

The mission of the Human Resources Department is to support the goals and challenges of The City of Rockford by providing services which promote a work environment that is characterized by fair treatment of employees, open communications, personal accountability, trust and mutual respect. We will seek and provide solutions to workplace issues that support and optimize the operating principles of The City of Rockford.

Primary Functions → The primary function of the Human Resources Department is to proactively manage employee relations, to work cooperatively with management and staff to develop a strong leadership team, administer the City's benefit program, recruit and interview job applicants, develop and implement employee training programs, coordinate employee activities and maintain personnel files.

2012 Accomplishments →

- Partnered with City management in contract negotiations for all three AFSCME groups, PB & PA, and IAFF.
- Handled all AFSCME Step III responses in a timely, efficient, and fair manner.
- Partnered with the Legal Department in arbitration and employee relation hearings.
- Developed a more effective response pattern for Illinois Department of Employment Security claims which has allowed the city to reduce costs in unemployment insurance.
- Conducted the annual Employee Blood Drive, United Way program, Chili Shoot Out and the Employee Award ceremony.
- Completed Rules and Regulations update to reflect current laws, practices, and policies.
- Integrate City's core values and organizational philosophy into daily operations, creating a culture of excellence.
- Conducted Supervisor/Manager Training for more than 200 managers and supervisors on topics such as Reasonable Cause, EAP Referral, Leave Management and Harassment.
- Began initial analysis for the review and update of dated compensation practices.
- Hired a new Third Party Administrator (TPA) and two new law firms as the foundation for a more effective worker's compensation program.
- Streamlined the reporting and treatment process for Worker's Compensation.
- Developed new Return to Work Program which focuses on work hardening and short-term changes to duties to allow employees the opportunity to minimize time lost to injuries.
- Developed comprehensive performance measurements for Worker's Compensation.
- Monitored the reporting capabilities of the City's third party administrator for Family Medical Leave Act and timekeepers to ensure accurate tracking of leave hours.
- Developed clearer policy and communication regarding protected leaves and use of sick time.
- Met record hiring needs with a timely, focused hiring process that allowed managers to secure the top candidate.

Human Resources Department

- Continued the review of existing employment tests to insure that instruments are valid and reliable.
- Developed stronger partnerships with City departments through onsite training, work observation, and customer-focused hiring practices.
- Continued work with health insurance broker to right-size the City's health insurance fund, reversing a course from a deficit in the health insurance fund of \$2.815 million to a surplus of \$2.850 million.
- Acted as advocate for employees for health benefit concerns allowing for more comprehensive care and the resolution of billing concerns.
- Reviewed pharmacy and dental benefits third party administrators to insure best practices, pricing, and customer service standards are continuously met.
- Implemented a new pharmacy benefit manager resulting in a 10% savings due to pricing improvement from new contract.
- Added the Roth provision to the City's 457 plan allowing employees to contribute on an after-tax basis to their account.
- Continued pro-active management of employee benefit program which will allow for integration of changes required by the Health Care Reform Act.
- Explored the potential savings by developing a public entity network for purchase of prescriptions by employees.
- Initiated the first round of voluntary benefit enrollment for City employees resulting in 177 employees purchasing life insurance, long term care, critical illness and/or cancer coverage.
- Completed the third full year of the employee-based wellness initiative "COR Wellness".
 - Opened the city's second Wellness Center at the Yards
 - Held Lunch & Learn and evening events which included healthy food choices and a speaker focused on wellness initiatives.
 - Sponsored 2nd Annual Biggest Loser Contest for city employees
 - Held 3rd Annual Wellness Fair with approximately 300 employees in attendance and over 25 vendors participating.
 - Sponsored a trip to Milwaukee Zoo for employees and their families. 162 joined us for the day.

2013 Goals and Objectives →

- Modernize compensation policy to reflect a total compensation package that rewards for high performance and remains competitive with the external market.
- Continue to systematize IDES responses to allow for lower unemployment insurance premiums.
- Work collectively with the AFSCME unions to resolve labor issues before we reach arbitration.

Human Resources Department

- Implement new employee orientation to foster a better understanding of organizational values and goals.
- Continue to partner with the Legal Department in the proactive management of personnel legal issues.
- Resurrect HR training program to bring City managers and supervisors the skills needed to effectively manage our workforce.
- Update employee signature sheets for workplace policies.
- Implement an electronic employee self-service system for better management of the hiring process, employee records, and benefit registration.
- Develop concrete performance measurement as it pertains to the expectations for the successful management of all leave and worker's compensation programs.
- Implement the Return to Work program, enabling the City departments to better manage their workforce.
- Develop and implement quarterly reviews for each department of attendance, leaves, and worker's compensation issues.
- Train managers, supervisors, and timekeepers on the skills required to successfully manage their leave programs.
- Develop a more comprehensive approach to the pre-employment screening process including updating the process for background checks and reviewing skill based testing.
- Develop a recruitment process which will encourage more minority and woman applicants.
- Analyze best practices in the hiring arena to insure that we are delivering the most effective and efficient level of customer service to our managers.
- Continue to responsibly manage benefit costs to allow for continued positive cash balances in health insurance accounts.
- Partner with regional partners in the public sector for better pricing on health benefit products such as prescription costs.
- Develop and implement a robust Wellness Clinic which will afford City employees and their families an opportunity to manage their whole health and wellness.
- Proactively manage the changes that may be driven as a result of federal and state mandates as it relates to health insurance.
- Grow employee-based wellness program to allow for more opportunities for participation.

Human Resources Department

Budget Summary

HUMAN RESOURCES DEPARTMENT BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$593,372	\$525,692	\$518,203	\$560,672	\$42,469
CONTRACTUAL	128,948	113,358	134,525	141,875	7,350
SUPPLIES	<u>4,349</u>	<u>3,502</u>	<u>6,800</u>	<u>6,800</u>	<u>0</u>
TOTAL	<u>\$726,669</u>	<u>\$642,552</u>	<u>\$659,528</u>	<u>\$709,347</u>	<u>\$49,819</u>
<hr/>					
STAFFING REVIEW	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
TOTAL	6.00	5.00	5.00	5.00	0.00
<hr/>					
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
FRINGE BENEFIT REIMBURSEMENT	\$86,548	\$72,485	\$73,942	\$81,345	\$7,403
TRANSFER FROM HEALTH FUND	188,400	194,200	152,726	165,980	13,254
TRANSFER FROM WC FUND	0	0	83,600	92,400	8,800
GENERAL REVENUES	<u>451,721</u>	<u>375,867</u>	<u>349,260</u>	<u>369,622</u>	<u>20,362</u>
TOTAL	<u>\$726,669</u>	<u>\$642,552</u>	<u>\$659,528</u>	<u>\$709,347</u>	<u>\$49,819</u>

Budget Analysis

- Salaries increased \$18,950 as a result of reclassification of job titles.
- A 2% wage increase is budgeted for all department staff for a total cost of \$7,300
- Advertising increased \$3,000 to cover additional expenses for job advertisement.

Capital Equipment

There are no capital items budgeted for 2013.

Human Resources Department

Personnel Review

HUMAN RESOURCES DEPARTMENT				
BENEFITS AND SALARIES		2012	2013	INCREASE/
SALARY		BUDGET	BUDGET	(DECREASE)
PERMANENT		\$346,003	\$364,956	\$18,953
TEMPORARY		\$2,000	\$2,800	\$800
SALARY ADJUSTMENT		\$0	\$7,299	\$7,299
TOTAL SALARIES		\$348,003	\$375,055	\$27,052
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$72,072	\$79,365	\$7,293
UNEMPLOYMENT TAX		900	900	0
WORKER'S COMPENSATION		970	1,080	110
HEALTH INSURANCE		93,288	101,062	7,774
LIFE INSURANCE		390	390	0
PARKING BENEFITS		2,580	2,820	240
TOTAL BENEFITS		\$170,200	\$185,617	\$15,417
TOTAL COMPENSATION		\$518,203	\$560,672	\$42,469
	POSITION	2012	2013	INCREASE/
POSITION TITLE	RANGE	EMPLOYEES	EMPLOYEES	(DECREASE)
HUMAN RESOURCES DIRECTOR	E-14	1.00	1.00	0.00
ASSOCIATE DIRECTOR	E-10	0.00	1.00	1.00
COMPENSATION & BENEFITS MGR.	E-10	1.00	0.00	(1.00)
PERSONNEL GENERALIST	E-8	0.00	1.00	1.00
HUMAN RESOURCES SPECIALIST	E-7	2.00	0.00	(2.00)
PERSONNEL SPECIALIST	E-7	0.00	1.00	1.00
PERSONNEL COORDINATOR	E-7	0.00	1.00	1.00
ADMINISTRATIVE ASSISTANT	E-5	1.00	0.00	(1.00)
TOTAL PERSONNEL		5.00	5.00	0.00

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimate	2013 Projected
Applications	2,547	1,870	3,000	2,500
Vacancies Filled	50	51	65	55
Worker's Comp Claims	259	233	210	150
Worker's Comp Lost Days	1,676	1,380	814	500
Training Sessions	30	22	10	25
Health Insurance Participants	1,240	1,200	1,155	1,175
Flex Spending Participants	384	338	310	320

**HUMAN RESOURCES DEPARTMENT
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGETED CHANGE 12-13
71112 Permanent	348,258	346,003	212,864	364,956	18,953
71113 Salaries Temporary	2,850	2,000	3,191	2,800	800
71129 Salary Adjustment	-	-	-	7,299	7,299
71251 IMRF	69,915	72,072	44,257	79,365	7,293
71253 Unemployment	1,528	900	831	900	-
71262 Worker's Comp	1,085	970	810	1,080	110
71263 Health Insurance	99,266	93,288	58,305	101,062	7,774
71264 Life Insurance	390	390	225	390	-
71271 Parking	2,400	2,580	1,505	2,820	240
TOTAL PERSONNEL	525,692	518,203	321,988	560,672	42,469
72211 Printing & Publication	1,868	5,000	-	3,420	(1,580)
72212 Postage	2,053	300	10	300	-
72213 Telephone	5,555	4,810	1,552	4,810	-
72203 Wireless	-	1,000	479	1,000	-
72215 Dues	375	290	-	290	-
72216 Subscriptions	9	-	-	-	-
72217 Advertising	7,172	4,000	2,679	7,000	3,000
72218 Service Contracts	19,686	48,000	16,826	48,000	-
72263 Microcomputer	23,350	26,260	15,318	27,370	1,110
72267 Risk Management	2,750	2,580	1,505	2,230	(350)
72271 Equipment Rental	909	-	1,366	2,700	2,700
72272 Building Rental	18,060	22,260	12,985	23,730	1,470
72284 Prof Fee-Medical	12,932	6,000	4,516	6,500	500
72285 Negotiations	4,889	1,500	-	2,000	500
72290 Education & Training	12,628	10,000	4,079	10,000	-
72299 Miscellaneous	1,122	2,525	105	2,525	-
TOTAL CONTRACTUAL	113,358	134,525	61,420	141,875	7,350
75525 Food	957	1,500	8	1,500	-
75560 General Office	2,545	5,100	887	5,100	-
75561 Photos-Reproduction	-	200	-	200	-
TOTAL SUPPLIES	3,502	6,800	895	6,800	-
TOTAL HUMAN RESOURCES DEPAI	642,552	659,528	384,303	709,347	49,819

Board of Election Commissioners

Mission Statement

It is the mission of the Board of Election Commissioners to conduct elections and voter registration in the most efficient and accessible manner possible to the public.

Primary Functions → The primary function of the Board of Election Commissioners is to conduct all elections held within the City of Rockford, to provide registration opportunities for City residents, and to maintain a system of permanent registration of voters.

	FEBRUARY/ MARCH PRIMARIES	APRIL CONSOLI- DATED	GENERAL/ NON- PARTISAN		FEBRUARY/ MARCH PRIMARIES	APRIL CONSOLI- DATED	GENERAL/ NON- PARTISAN
2005	84,374 7,092 8.41%	88,781 33,716 37.98%	88,781 33,716 37.98%	2011		86,110 12,536 14.56%	
2006	80,359 21,122 26.28%		82,058 38,224 46.58%	2012	89,005 20,244 22.74%		
2007		83,070 22,142 26.65%					
2008	76,371 29,478 38.60%		85,871 59,609 69.42%				
2009	86,954 3,530 4.06%	87,357 22,408 25.65%					
2010	87,357 12,508 14.32%						

2012 Accomplishments →

- Successfully conducted the General Primary Election in February.
- Conducted the General Election in November.
- Performed training for deputy registrars as appointed.
- Printed and mailed 88,000 voter identification cards within the City per law.

2013 Goals and Objectives →

- Conduct a Consolidated General Election in November.
- Perform training and deputy registrars as appointment.

Board of Election Commissioners

Budget Summary

BOARD OF ELECTION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$364,822	\$253,797	452,218	\$561,011	\$108,793
CONTRACTUAL	556,325	356,758	376,110	343,869	(32,241)
SUPPLIES	0	0	37,784	51,400	13,616
CAPITAL	0	0	25,000	25,000	0
OTHER	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$921,147</u>	<u>\$610,555</u>	<u>\$891,112</u>	<u>\$981,280</u>	<u>\$90,168</u>

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
COUNTY PROPERTY TAX TRANSFER	<u>921,147</u>	<u>610,555</u>	<u>891,112</u>	<u>981,280</u>	<u>90,168</u>
TOTAL	<u>\$921,147</u>	<u>\$610,555</u>	<u>\$891,112</u>	<u>\$981,280</u>	<u>\$90,168</u>

Budget Analysis

- Permanent staff increased \$109,310 as a result of two positions added that were left out in 2012 budget.
- IMRF rates increased \$24,750
- Contractual and supply budgets adjust to reflect one election in 2013, down from two in 2012.

Capital Equipment

For 2013, the Board of Election Commissioners have budgeted \$25,000 for election equipment.

CAPITAL EQUIPMENT BOARD OF ELECTIONS 2012 BUDGET	
<u>DESCRIPTION</u>	<u>AMOUNT</u>
ELECTION EQUIPMENT FUND	<u>\$25,000</u>
TOTAL CAPITAL	<u>\$25,000</u>

**BOARD OF ELECTIONS COMMISSIONERS
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO YTD	2013 BUDGET	BUDGET CHANGE 12-13
71111 Salaries Administration	-	11,218		11,218	-
71112 Salaries Permanent	145,001	119,902	81,112	229,214	109,312
71113 Temporary	-	134,228	-	134,228	-
71122 Overtime	3,474	21,250	5,246	21,250	-
71123 Overtime Temporary	-	5,400	-	5,400	-
71180 Employee Agency Wages	-	17,118	-	17,118	-
71251 IMRF	29,584	32,690	17,195	57,443	24,753
71253 Unemployment	1,073	900	1,035	900	-
71263 Health Insurance	74,665	109,512	43,092	84,240	(25,272)
TOTAL PERSONNEL	253,797	452,218	147,680	561,011	108,793
72211 Printing & Publication	-	101,035	-	80,950	(20,085)
72212 Postage	-	35,150	-	37,030	1,880
72213 Telephone	6,173	6,314	1,584	4,975	(1,339)
72214 Travel	-	5,500	-	3,510	(1,990)
72215 Dues	-	1,610	-	1,490	(120)
72216 Subscriptions	-	850	-	1,250	400
72217 Advertising	-	35,994	-	27,826	(8,168)
72231 Building Utilities	585	4,195	-	4,820	625
72241 Insurance Expense	-	31,317	-	32,000	683
72251 Maint-Building	-	3,225	-	6,550	3,325
72255 Maint-Office & Furn	-	3,735	-	2,850	(885)
72259 Contracted Janitorial	-	4,540	-	4,345	(195)
72263 Microcomputer	-	100,261	-	55,126	(45,135)
72266 Vehicle Vendor Services	-	2,610	-	2,551	(59)
72271 Rental Equipment	-	1,302	-	2,020	718
72273 Rental Building	-	2,070	-	1,875	(195)
72281 Prof Fee-Legal	-	7,500	-	5,500	(2,000)
72282 Prof Fee-Auditing	-	5,200	-	3,300	(1,900)
72290 Education & Training	-	1,950	-	-	(1,950)
72295 Recycling Expense	-	1,472	-	2,925	1,453
72297 Garbage Expense	-	465	-	795	330
72299 Miscellaneous	350,000	19,815	200,000	62,181	42,366
TOTAL CONTRACTUAL	356,758	376,110	201,584	343,869	(32,241)
75520 Small Tools	-	5,500	-	5,500	-
75560 General Office	-	10,250	-	29,300	19,050
75570 Computer, Non Capital	-	22,034	-	16,600	(5,434)
TOTAL SUPPLIES	-	37,784	-	51,400	13,616
79920 Office Equip & Furn	-	25,000	-	25,000	-
TOTAL CAPITAL	-	25,000	-	25,000	-
TOTAL BOARD OF ELECTIONS	610,555	891,112	349,264	981,280	90,168

Community and Economic Development Administration

Mission Statement

It is the mission of Community and Economic Development Administration to provide leadership, foster partnerships, and provide balanced growth to enhance life in all neighborhoods.

Primary Functions → The primary function of the Administration Division is to provide direction and administrative support to the Department of Community and Economic Development.

2012 Accomplishments →

- Continued process improvements to enhance department performance.
- Continued management of the City's stimulus dollars.
- Continued to attract and retain jobs.
- Recommended solution to cut costs while maintaining service levels.

2013 Goals and Objectives →

- Continue process improvements to enhance department performance.
- Continue the City's pursuit of grant dollars.
- Continue to attract and retain jobs.
- Continue to encourage investment in City of Rockford.
- Encourage the use of State & Federal historic tax credits in City of Rockford.

Budget Summary

CD ADMINISTRATION DIVISION BUDGET SUMMARY					
APPROPRIATION	<u>2010 ACTUAL</u>	<u>2011 ACTUAL</u>	<u>2012 BUDGET</u>	<u>2013 BUDGET</u>	<u>INCREASE (DECREASE)</u>
PERSONNEL	\$153,098	\$193,507	\$196,352	\$212,067	\$15,715
CONTRACTUAL	25,433	27,466	27,200	28,430	1,230
SUPPLIES	1,414	1,568	2,020	2,020	0
TOTAL	<u>\$179,945</u>	<u>\$222,541</u>	<u>\$225,572</u>	<u>\$242,517</u>	<u>\$16,945</u>
STAFFING REVIEW					
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>INCREASE (DECREASE)</u>
	1.50	1.50	1.50	1.50	0.00
FUNDING SOURCE					
	<u>2010 ACTUAL</u>	<u>2011 ACTUAL</u>	<u>2012 BUDGET</u>	<u>2013 BUDGET</u>	<u>INCREASE (DECREASE)</u>
PROPERTY TAXES					
REIMBURSEMENTS	\$31,286	\$31,916	\$31,705	\$33,700	\$1,995
GENERAL REVENUES	148,659	190,625	193,867	208,817	14,950
TOTAL	<u>\$179,945</u>	<u>\$222,541</u>	<u>\$225,572</u>	<u>\$242,517</u>	<u>\$16,945</u>

Community and Economic Development Administration

Budget Analysis

- Permanent salaries increased \$2,910 as a result of a 3% wage increase in 2012.
- A 2% wage increase is budgeted for all department staff for a total of \$2,961.

Capital Equipment

There are no capital items budgeted for 2013.

Personnel Review

COMMUNITY DEVELOPMENT ADMINISTRATION DIVISION				
BENEFITS AND SALARIES				
SALARY		2012	2013	INCREASE/ (DECREASE)
		BUDGET	BUDGET	
PERMANENT		\$145,121	\$148,034	\$2,913
SALARY ADJUSTMENT		0	2,961	2,961
TOTAL SALARIES		\$145,121	\$150,995	\$5,874
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$30,229	\$32,192	\$1,963
UNEMPLOYMENT TAX		270	270	0
WORKMEN'S COMPENSATION		406	438	32
HEALTH INSURANCE		19,435	27,209	7,774
LIFE INSURANCE		117	117	0
PARKING BENEFITS		774	846	72
TOTAL BENEFITS		\$51,231	\$61,072	9,841
TOTAL COMPENSATION		\$196,352	\$212,067	\$15,715
PERSONNEL				
POSITION TITLE	POSTION RANGE	2012 EMPLOYEES	2013 EMPLOYEES	INCREASE/ (DECREASE)
DIRECTOR	E-14	1.00	1.00	0.00
SENIOR ADMIN ASSISTANT	E-6	0.50	0.50	0.00
TOTAL PERSONNEL		1.50	1.50	0.00

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
COMMUNITY DEVELOPMENT ADMINISTRATION**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	12-13 CHANGE
71112 Permanent	145,091	145,121	85,613	148,034	2,913
71129 Salary Adjustment	-	-	-	2,961	2,961
71251 IMRF	28,519	30,229	16,875	32,192	1,963
71253 Unemployment Tax	270	270	214	270	-
71262 Worker's Comp	335	406	228	438	32
71263 Health Insurance	18,455	19,435	10,340	27,209	7,774
71264 Life Insurance	117	117	60	117	-
71271 Parking	720	774	452	846	72
TOTAL PERSONNEL	193,507	196,352	113,782	212,067	15,715
72211 Printing	171	300	-	300	-
72212 Postage	450	700	71	700	-
72203 Wireless	-	-	-	720	720
72213 Telephone	3,177	3,940	631	3,940	-
72214 Travel	280	1,250	-	1,250	-
72215 Dues	1,512	1,500	1,265	1,500	-
72216 Subscriptions	50	200	297	100	(100)
72218 Service Contracts	3,141	2,500	-	1,780	(720)
72263 Microcomputer	9,280	7,650	4,463	7,970	320
72264 Vehicle Repair	771	700	600	800	100
72265 Fuel	522	650	356	650	-
72267 Risk Management	1,620	800	467	800	-
72271 Equipment Rental	1,505	350	594	1,400	1,050
72272 Building Rental	4,730	5,830	3,401	6,220	390
72290 Education	92	300	-	-	(300)
72299 Miscellaneous	165	530	403	300	(230)
TOTAL CONTRACTUAL	27,466	27,200	12,548	28,430	1,230
75525 Food	132	300	-	300	-
75560 General Office	668	1,000	13	1,000	-
75569 Misc Supplies	768	600	-	300	(300)
75592 Equip Noncapital	-	120	75	420	300
TOTAL SUPPLIES	1,568	2,020	88	2,020	-
TOTAL CD ADMIN	222,541	225,572	126,418	242,517	16,945

Planning Division

Mission Statement

It is the mission of the Planning Division to encourage and guide balanced growth and development and enhance the quality of life in all neighborhoods by providing quality planning, development, and neighborhood services.

Primary Functions → The primary functions of the Planning Division are administering environmental assessment, cleanup and redevelopment of City-owned Brownfield sites, and developing various long-range planning programs which include comprehensive growth management/fiscal impact analysis, the River Edge initiative, focus area/neighborhood plans, annexation strategies, the implementation of the recommendations of the 20/20 Plan, Historic Preservation, Intergovernmental Annexation Boundary Agreement, and numerous other programs. The Planning Division also manages, coordinates, or assists with numerous major projects occurring within the City of Rockford, such as the Federal Courthouse project and the redevelopment of older commercial and industrial sites.

2012 Accomplishments →

- Grant application was prepared for \$1.7 million in new Brownfield funds. Awarded three cleanup grants totaling \$600,000.
- Prepared the Action Memo Community Relations Plan, loan documents, and bid specifications for the demolition of Tapco Building.
- Continued to assist the Historic Preservation Commission.
- Prepared the RFP and Marketing Plan for the Amerock (Ziock) Building.
- Provided grant administration and prepared quarterly report for the "Energy Efficiency and Conservation Block Grant".
- Completed the Phase I Environmental Assessment work in the West State Corridor, Phase I of the IDOT project.
- Completed the Phase I and Phase II Environmental Assessment of the South Main Rail Yard Site.

2013 Goals and Objectives →

- Prepare grant applications for the Illinois River Edge Grant, USEPA Grant Applications for Assessment Grants.
- Assist in the removal and or reuse of blighted and underutilized properties.
- Prepare a progress report for the Kishwaukee Corridor Study Action Plan.
- Provide demographics from the 2010 Census Data for clients and colleagues.
- Implement the USEPA & IEPA Cleanup Grants for the South Main Rail Yard.
- Continue to prepare rail site for the Amtrak Station.
- Prepare quarterly reports for the USEPA Grants and IEPA River Edge Grant.
- Complete the major evaluation and revisions to the 2020 Plan to develop the 2030 Plan.
- Complete and closeout the Cleanup Grant for the Ingersoll Project.

Planning Division

Budget Summary

CD PLANNING DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$507,793	\$422,847	\$429,454	\$452,412	\$22,958
CONTRACTUAL	93,179	86,575	74,050	77,635	3,585
SUPPLIES	2,735	972	1,970	1,160	(810)
OTHER	38,470	13,037	0	0	0
CAPITAL	0	0	0	0	0
ENCUMBRANCES	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$642,177</u>	<u>\$523,431</u>	<u>\$505,474</u>	<u>\$531,207</u>	<u>\$25,733</u>

STAFFING REVIEW	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
	5.30	4.30	4.30	4.30	0.00

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
REIMBURSEMENTS	\$86,376	\$72,923	\$64,128	\$67,523	\$3,395
CDBG FUND	134,200	136,880	117,300	120,510	3,210
TIF FUNDS	209,300	210,300	211,400	217,200	5,800
ZONING FEES	145,743	121,270	121,270	120,000	(1,270)
OTHER GOVERNMENTS	15,000	15,000	15,000	10,000	(5,000)
GENERAL REVENUES	<u>51,558</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$642,177</u>	<u>\$556,373</u>	<u>\$548,678</u>	<u>\$535,233</u>	<u>\$6,135</u>

Budget Analysis

- Salaries increased \$6,378 due to a 3% wage increase.
- A 2% wage increase is budgeted for all department staff for a total cost of \$5,900.
- Contractual expenses increase due to purchase of service increases.

Capital Equipment

No capital equipment is planned for 2013.

Planning Division

Personnel Review

COMMUNITY DEVELOPMENT PLANNING DIVISION				
BENEFITS AND SALARIES		2012	2013	INCREASE/
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERMANENT		\$288,504	\$294,882	\$6,378
TEMPORARY		0	0	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		0	5,898	5,898
TOTAL SALARIES		<u>\$288,504</u>	<u>\$300,780</u>	<u>\$12,276</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$60,096	\$64,127	\$4,031
UNEMPLOYMENT TAX		774	774	0
WORKMEN'S COMPENSATION		808	872	64
HEALTH INSURANCE		68,294	74,675	6,381
RETIREE INSURANCE		8,424	8,424	0
LIFE INSURANCE		335	335	0
PARKING BENEFITS		2,219	2,425	206
TOTAL BENEFITS		<u>\$140,950</u>	<u>\$151,632</u>	<u>\$10,682</u>
TOTAL COMPENSATION		<u>\$429,454</u>	<u>\$452,412</u>	<u>\$22,958</u>
	POSTION	2012	2013	INCREASE/
POSITION TITLE	<u>RANGE</u>	<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	<u>(DECREASE)</u>
PLAN & ZONING PROGRAMS MANAGER	E-11	1.00	1.00	0.00
INDUSTRIAL DEVELOPMENT MANAGER	E-10	1.00	1.00	0.00
PLANNER II	E-8	1.00	1.00	0.00
DEVELOPMENT SPECIALIST	E-8	1.00	1.00	0.00
SR ADMINISTRATIVE ASSISTANT	E-6	0.30	0.30	0.00
TOTAL PERSONNEL		<u>4.30</u>	<u>4.30</u>	<u>0.00</u>

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
COMMUNITY DEVELOPMENT PLANNING**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	12-13 CHANGE
71112 Permanent	281,142	288,504	148,135	294,882	6,378
71113 Salaries Temporary	12,698	-	-	-	-
71129 Salary Adjustments	-	-	-	5,898	5,898
71251 IMRF	57,943	60,096	30,468	64,127	4,031
71253 Unemployment Tax	891	774	660	774	-
71262 Worker's Comp	681	808	415	872	64
71263 Health Insurance	67,100	68,294	38,655	74,675	6,381
71264 Life Insurance	328	335	157	335	-
71265 Retiree Insurance	-	8,424	4,914	8,424	-
71271 Parking	2,064	2,219	1,294	2,425	206
TOTAL PERSONNEL	422,847	429,454	224,698	452,412	22,958
72211 Printing	1,154	800	141	400	(400)
72212 Postage	263	250	101	150	(100)
72213 Telephone	5,068	4,250	2,479	4,250	-
72203 Wireless	0	1,000	-	1,000	-
72214 Travel	946	500	387	500	-
72215 Dues	845	1,065	875	650	(415)
72216 Subscriptions	556	565	576	1,105	540
72217 Advertising	-	400	32	200	(200)
72218 Service Contracts	2,850	3,000	-	4,000	1,000
72263 Microcomputer	40,310	35,410	20,656	37,020	1,610
72264 Vehicle Repair	714	900	1,110	1,000	100
72265 Fuel	670	1,090	248	1,090	-
72267 Risk Management	11,310	2,450	1,429	1,750	(700)
72271 Equipment Rental	6,979	4,350	3,069	5,310	960
72272 Building Rental	14,620	18,020	10,512	19,210	1,190
72290 Education & Training	290	-	525	-	-
TOTAL CONTRACTUAL	86,575	74,050	42,140	77,635	3,585
75523 Drafting	-	-	-	110	110
75560 General Office	972	1,970	492	1,050	(920)
TOTAL SUPPLIES	972	1,970	492	1,160	(810)
76709 Loans and Grants	13,037	-	-	-	-
TOTAL OTHER	13,037	-	-	-	-
TOTAL CD PLANNING	523,431	505,474	267,330	531,207	25,733

Construction & Development Services Division

Mission Statement

It is the mission of the Construction and Development Services Division to provide consolidated services to our customers while promoting economic development and protecting the public health, safety, and welfare of the citizens of Rockford through balanced growth initiatives, the review of land use, subdivisions, issuance of permits, performance of inspections, and the enforcement of various codes and ordinances.

Primary Functions → The primary function of the Construction and Development Services Division is to provide building, mechanical, and electrical inspections for all existing and new construction, as well as administering land use planning and zoning policies and ordinances.

2012 Accomplishments →

- Completed the Advanced Energy Conservation Code Program grant for 2012, including meeting all grant requirements and fully expending the dollars inclusive of plan review, inspection and documentation of the 2009 International Energy Code.
- Maintained cost recovery for the Division expenses through collection of permit fees in 2011 and on track for 2012.
- Integrated cross training of inspectors to allow for greater efficiency and satisfy benchmarks including a total of 4 additional International Code Council certifications in 2012.
- Restructured clerical tasks to improve efficiency and customer service.
- Provided planning, zoning, building and subdivision services for \$100 million of community investment through permit activity in 2011 and estimated \$110 million in 2012.
- Facilitated the listing of Kenneth and Phyllis Laurent House and Greenwood Cemetery Chapel on the list of National Historic Places.
- Evaluated, advised and completed draft revisions in the zoning ordinance and licensing requirements for a variety of uses including tobacco shops and title loan companies for City Council consideration.
- Continued to improve Code Enforcement templates used in Rockstat presentations.
- Participated in the Summer Work Camp program in cooperation with Rockford Urban Ministries, Weed and Seed East and West and Human Services.
- Partnered with Human Services in facilitating project SWEEP including methods to better market project and utilize staff.
- Ongoing improvements for facilitating solid waste contract. Assemble ideas and provisions for improving solid waste contract in anticipation of 2013 bidding.
- Completed Project Facelift II with partners.
- Ongoing re-designate inspection zones to reflect further staff reductions and gained efficiencies with personnel.
- Completed successful 2012 Summer Weeds Program.

Construction & Development Services Division

2013 Goals and Objectives →

- Continue to streamline the development process by facilitating one stop permitting.
- Manage and implement cost recovery for Building and Planning Section of Construction and Development Services Division.
- Facilitate development activities through positive customer service.
- Incorporate the Property Standards Section and Neighborhood Standards Section into the Construction and Development Services Division.
- Fully integrate Property Standards into the Rockstat reporting and accountability process.
- Evaluate and modify as necessary the business and reporting practices of Neighborhood Standards and Property Standards.
- Complete proactive sweeps of neighborhoods in support of Neighborhood Development and other activities.
- Develop permit process for Property Standard complaints to help recover costs associated with inspection protocol.
- Maintain and improve advanced energy code program through documentation, education, and enforcement including implementation of the 2012 Energy Code upon adoption by the State.
- Modify and advance online permitting system to make customer friendly improvements and integrate with work process.
- Improve and implement neighborhood standards, property standards and building code policies and procedures.
- Increase proactive public education including press releases, brochures and through Construction and Development Service website.

Construction & Development Services Division

Budget Summary

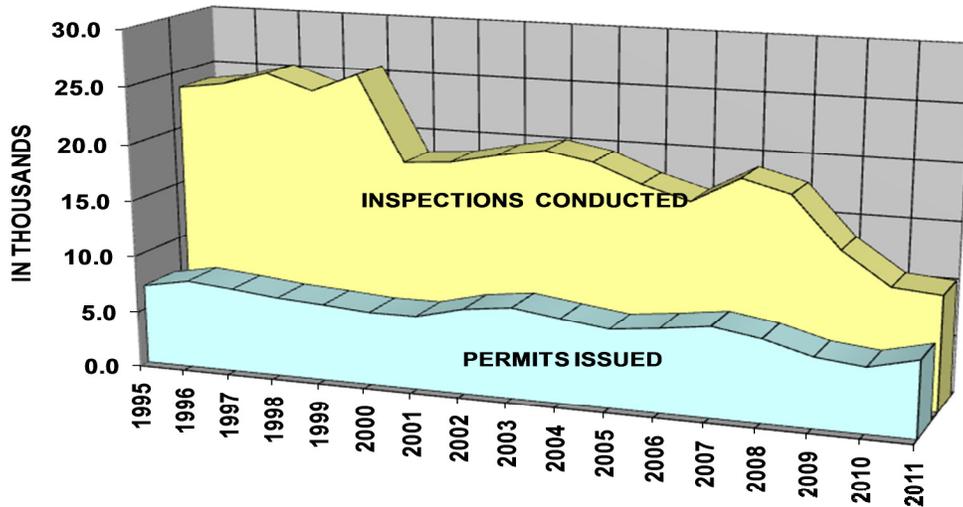
CONSTRUCTION & DEVELOPMENT SERVICES DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$1,472,954	\$1,189,726	\$1,361,472	\$2,210,644	\$849,172
CONTRACTUAL	274,628	252,332	318,105	827,700	509,595
SUPPLIES	6,531	7,722	5,730	9,755	4,025
CAPITAL	<u>0</u>	<u>0</u>	<u>0</u>	<u>19,120</u>	19,120
TOTAL	<u>\$1,754,113</u>	<u>\$1,449,780</u>	<u>\$1,685,307</u>	<u>\$3,067,219</u>	<u>\$1,381,912</u>
STAFFING REVIEW					
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
	18.00	15.00	15.00	25.20	10.20
FUNDING SOURCE					
	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES	<u>\$240,044</u>	<u>\$180,265</u>	<u>\$219,004</u>	<u>\$353,761</u>	<u>\$134,757</u>
FRINGE BENEFIT REIMB FEES					
BUILDING	636,836	1,061,360	654,400	732,000	77,600
ELECTRICAL	46,362	49,138	50,000	75,000	25,000
PLUMBING/HEATING	143,904	226,490	150,000	175,000	25,000
CODE VIOLATION FEES	0	0	0	20,000	20,000
ALL OTHER	<u>182,979</u>	<u>265,887</u>	<u>240,800</u>	<u>240,600</u>	(200)
TOTAL FEES	<u>1,010,081</u>	<u>1,602,875</u>	<u>1,095,200</u>	<u>1,242,600</u>	<u>147,400</u>
CDBG FUND REIMB	0	0	0	570,260	570,260
SANITATION REIMB	0	0	0	293,782	293,782
GENERAL REVENUES	<u>503,988</u>	<u>(333,360)</u>	<u>371,103</u>	<u>606,816</u>	<u>235,713</u>
TOTAL	<u>\$1,754,113</u>	<u>\$1,449,780</u>	<u>\$1,685,307</u>	<u>\$3,067,219</u>	<u>\$1,381,912</u>

Budget Analysis

- Personnel expenses increased \$849,170 primarily as a result of the transfer of 10.2 positions from Code Enforcement due to restructuring of Division.
- A 2% wage increase is budgeted for all department staff for a total cost of \$26,670.
- Internal service allocations for telephone, microcomputers, risk management, and building rent increased \$270,400 due to the transfer of expenses to cover Code Enforcement expenses.
- Cleanups and Demolition for Code Enforcement increased contractual by \$113,020.
- \$19,120 in capital was budgeted for the lease of vehicles.

Construction & Development Services Division

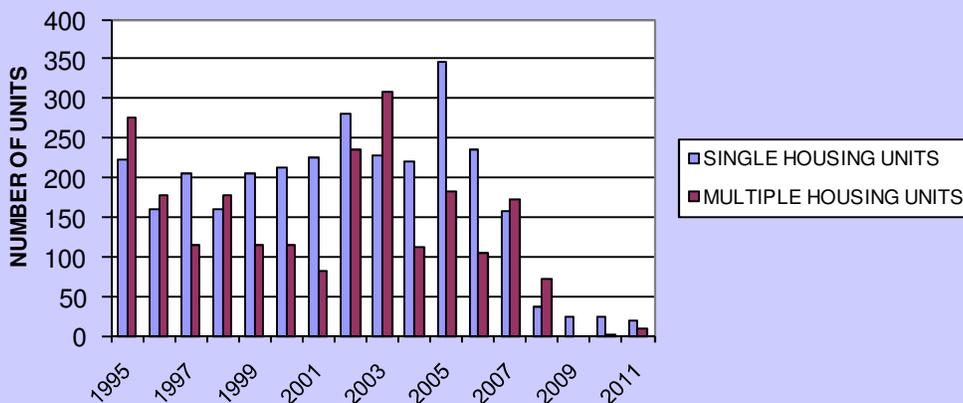
CITY OF ROCKFORD, ILLINOIS BUILDING PERMITS AND INSPECTIONS YEARS FROM 1995-2011



SOURCE: CONSTRUCTION & DEVELOPMENT SERVICES DIVISION

During the past seventeen years, total permits issued by the Construction & Development Services Division have been relatively flat. Permits issued in 1994 were 7,090 while 2011 was 7,058.

CITY OF ROCKFORD HISTORY OF HOUSING CONSTRUCTION ACTIVITY-UNITS YEARS FROM 1995-2011



Housing construction for single and multifamily units in 2010 was 24 and 2 for a total of 26. 2011 had similar levels of activity, with 20 single family units and 8 multifamily.

Construction & Development Services Division

Capital Equipment

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Passenger Cars	2	15,000	30,000
Pickup Truck	<u>1</u>	20,000	<u>20,000</u>
Total	3		\$ 50,000

Personnel Review

CONSTRUCTION & DEVELOPMENT SERVICES DIVISION				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERMANENT		\$875,824	\$1,333,579	\$457,755
TEMPORARY		0	20,000	20,000
OVERTIME		4,500	13,712	9,212
SALARY CERTIFICATION		9,230	9,130	(100)
SALARY ADJUSTMENT		0	26,672	26,672
ZONING BOARD OF APPEALS		<u>2,100</u>	<u>2,100</u>	<u>0</u>
TOTAL SALARIES		<u>\$891,654</u>	<u>\$1,405,193</u>	<u>\$513,539</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$185,732	\$296,853	\$111,121
UNEMPLOYMENT TAX		2,700	4,536	1,836
WORKMEN'S COMPENSATION		30,572	52,372	21,800
HEALTH INSURANCE		241,904	435,510	193,606
LIFE INSURANCE		1,170	1,966	796
PARKING BENEFITS		<u>7,740</u>	<u>14,214</u>	<u>6,474</u>
TOTAL BENEFITS		<u>\$469,818</u>	<u>\$805,451</u>	<u>\$335,633</u>
TOTAL COMPENSATION		<u>\$1,361,472</u>	<u>\$2,210,644</u>	<u>\$849,172</u>
	POSTION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	<u>RANGE</u>	<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	<u>(DECREASE)</u>
DEPUTY DIRECTOR - CONST SERVICES	E-13	1.00	1.00	0.00
MANAGER BUILDING CODE SECTION	E-10	1.00	1.00	0.00
BUILDING PLANS EXAMINER	E-9	0.00	0.00	0.00
PROP IMPROVEMENT PROGRAM MGR	E-9	0.00	1.00	1.00
PLANNER II	E-8	0.00	1.00	1.00
NHOOD ZONE COORDINATOR	E-7	0.00	1.00	1.00
SENIOR ADMINISTRATIVE ASSISTANT	E-6	0.00	1.20	1.20
ADMINISTRATIVE ASSISTANT	E-5	0.00	1.00	1.00
SENIOR INSPECTION OFFICER	CD-28	0.00	3.00	3.00
INSPECTION OFFICER	CD-26	0.00	4.00	4.00
LAND USE PLANNER	CD-16	0.00	2.00	2.00
NHOOD ENFORCEMENT SPECIALIST	CD-15	0.00	5.00	5.00
SENIOR CLERK	A-19	<u>1.00</u>	<u>4.00</u>	<u>3.00</u>
TOTAL PERSONNEL		<u>15.00</u>	<u>25.20</u>	<u>10.20</u>

Construction & Development Services Division

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimate	2013 Projected
Total Acres Annexed	3	1,460	30	40
Assessed Valuation in Annexed Areas	\$97,879	\$482,942	\$130,000	\$150,000
Dwelling Units in Annexed Areas	3	7	10	10
Zoning Applications/Liquor Apps	48	54	85	100
Zoning Clearances	1,729	2,294	1,750	2,800
Residential Permits	2,160	4,011	3,282	2,800
Commercial Permits	315	392	416	400
Demolitions	127	142	40	50
Plan reviews	448	400	300	300
Total inspections	10,157	11,446	12,000	12,000
Average inspections per day	40	45	49	49
Projects over \$1 million/total value	15/\$34M	14/\$32M	20/\$110M	25/\$110M
Weed Complaints	3,530	3,200	3,400	3,300
Vehicle Tows	18	23	25	27

CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
CONSTRUCTION & DEVELOPMENT SERVICES DIVISION

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGETED 12-13 CHANGE
71112 Permanent	780,361	877,924	469,457	1,335,679	457,755
71113 Temporary	4,905	-	-	20,000	20,000
71122 Overtime	1,376	4,500	901	13,712	9,212
71129 Salary Adjustment	-	-	-	26,672	26,672
71129 Certification Pay	-	9,230	-	9,130	(100)
71251 IMRF	157,007	185,732	96,221	296,853	111,121
71253 Unemployment Tax	2,698	2,700	2,258	4,536	1,836
71262 Worker's Comp	20,560	30,572	14,563	52,372	21,800
71263 Health Insurance	214,574	241,904	129,711	427,086	185,182
71264 Life Insurance	1,045	1,170	683	1,966	796
71265 Retiree Health	-	-	-	8,424	8,424
71271 Parking Benefits	7,200	7,740	4,515	14,214	6,474
TOTAL PERSONNEL	1,189,726	1,361,472	718,309	2,210,644	849,172
72211 Printing	2,677	2,915	1,785	3,265	350
72212 Postage	179	500	120	17,070	16,570
72213 Telephone	17,280	12,180	4,255	16,950	4,770
72203 Wireless	-	5,370	1,629	16,030	10,660
72214 Travel	501	1,000	201	1,000	-
72215 Dues	1,735	2,115	1,411	2,280	165
72216 Subscriptions	706	1,385	730	1,445	60
72217 Advertising	3,862	3,000	1,879	3,000	-
72218 Service Contracts	-	500	385	73,000	72,500
72255 Office Equip Maint	950	160	-	-	(160)
72257 Communications Maint	-	140	-	140	-
72260 Clean Ups	-	-	-	40,000	40,000
72261 Demolition	-	-	-	73,020	73,020
72263 Microcomputer	110,790	158,490	92,453	352,600	194,110
72264 Vehicle Repair	20,537	26,700	5,382	41,000	14,300
72265 Fuel	12,342	14,990	6,059	25,150	10,160
72266 Vehicle Vendor Serv	-	-	-	2,000	2,000
72267 Risk Management	8,820	8,930	5,209	14,770	5,840
72271 Equipment Rental	7,948	6,000	7,319	15,400	9,400
72272 Building Rent	58,050	71,550	41,738	116,400	44,850
72281 Legal	2,966	500	666	11,500	11,000
72290 Education	2,989	1,680	200	1,680	-
TOTAL CONTRACTUAL	252,332	318,105	171,421	827,700	509,595
75509 Books	4,009	-	709	-	-
75520 Small Tools	587	1,580	730	1,280	(300)
75560 General Office	3,126	4,150	832	7,000	2,850
75561 Photos	-	-	-	550	550
75590 Building Noncapital	-	-	-	925	925
TOTAL SUPPLIES	7,722	5,730	2,271	9,755	4,025
79922 Capital - Vehicles	-	-	-	19,120	19,120
TOTAL CAPITAL	-	-	-	19,120	19,120
TOTAL CONST & DEVELOP SERVICES	1,449,780	1,685,307	892,001	3,067,219	1,381,912

Community Development Business Group

Mission Statement

It is the mission of the Community Development Business Group to formulate and implement programs designed to improve the quality of the City's neighborhoods, to create and retain jobs, and to expand and protect the tax base.

Primary Functions → The services provided in this Division are organized around four different areas of focus:

- **CDBG Administration** → Staff perform day to day activities, including planning, formulating applications, reviewing performance, accounting, acquiring land, and conducting environmental assessments. In addition, staff provides assistance to various groups including the Citizen Participation Committee, the Historical Preservation Commission, the Homestead Board, and non-profit development corporations.
- **Economic Development** → Provide technical and financial assistance to the City's industrial and commercial businesses.
- **Neighborhood Development** → Administer all City housing rehabilitation and new construction programs, including housing acquisitions, demolitions, homeless programs, and community assistance program projects and coordinate the efforts of others to bring about economic, physical, and social improvements in selected neighborhoods.
- **Home Program** → Annual Federal housing grant program designed to fund operating and project expenses for Community Housing Development Organizations (CHDO) that rehabilitate housing, offer homesteading opportunities for low-income homebuyers either through new construction or rehabilitation of residential properties, and fund housing rehabilitation for existing low-income homeowners.

2012 Accomplishments →

- The Healthy Neighborhoods program made available grants to organizations providing services to lower income households and neighborhoods.
- Continued existing owner occupied housing rehabilitation programs to focus area residents. The program gives priority to the physically disabled, elderly, persons with children, and extremely low income persons. Program was made possible by the receipt of a grant through the Federal Home Loan Bank's Affordable Housing program.
- Code Enforcement provided needed services to the CDBG area. A number of sweeps were completed.

Community Development Business Group

- Homebuyer assistance was provided to income eligible purchasers through a grant with the Rockford Area Affordable Housing Coalition and Neighborhood Housing Services of Freeport. The City continued to provide technical assistance to the grant recipients.
- Continued to work towards the build out and sale of Thatcher Blake Riverwalk units, Garrison Lofts/Townhomes, and the sale of scattered site new construction projects.
- Reviewed and awarded funds to one Community Housing Development Organization(s) for their redevelopment project. This was a partnership between two organizations; one home was newly constructed.
- Staff developed policies and procedure to address new HOME CHDO projects requirements. Training was also offered to inform existing CHDOs of new requirements and encourage new organizations to participate.
- Monitored for-profit and non-profit new construction and rehabilitation activities.
- Continued partnerships with the Winnebago County Health Department and the Human Services Department in order to address lead based paint hazards while leveraging the City's entitlement funds.
- Demolished a number of blighted properties.
- Continued to support partnerships, among those include the Rockford Area Affordable Housing Coalition and the Rockford Housing Authority.
- Continued to administer and report on the accomplishments of the CDBG-R grant and the Neighborhood Stabilization Program¹ grant which were granted under the American Recovery and Reinvestment Act of 2009 and HERA of 2008. Officially closed out the CDBG-R program.
- Completed the 2013 Annual Plan.
- Completed the Community Annual Performance and Evaluation Report (CAPER) for 2011.
- Assembled a list of CDBG properties and detail on each. Determined which were excess property and began the process of disposing of the property.
- Developed a program to provide assistance to persons that buy, redevelop and occupy foreclosed and vacant properties in cooperation with a local lender.

2013 Goals and Objectives →

- Administer the Healthy Neighborhoods program and other renewed or new public service and public facilities and improvements projects such as Discovery Center and its 21st Century After School Program.
- Continue existing housing owner occupied rehab and new construction programs. One rental rehabilitation project will be completed.
- Code Enforcement will continue to provide needed services to CDBG areas.
- Homebuyer assistance will be provided to purchasers through the Tax Incentive Program, Homebuyer Advantage Program will be offered to new eligible buyers, a 203(k) type acquisition and rehab program, as well as home buyer assistance reserved for redeveloped projects.
- Continue work towards the build out of the subdivision at Springfield Corners, Thatcher Blake River walk, 21xx Reed Avenue, Garrison Lofts/Townhomes and redevelopment within the Jackson School TIF by Swedish American Foundation.

Community Development Business Group

- Plan, develop, and administer programs according to CDBG and HOME Federal requirements and monitor sub-recipient activity.
- Seek proposals for Community Housing Development Organizations (CHDO) operating funds and administer funds if the HOME level of funding increases. Also, after review, provide funds to eligible CHDO rehabilitation and new construction projects.
- Provide grant assistance to the Rockford Area Affordable Housing Coalition and their administration of the Homebuyer Advantage program.
- Continue partnerships with the Winnebago County Health Department and the Human Services Department in order to address lead based paint hazards leveraging our federal funds when feasible.
- Continue to administer the Federal Home Loan Bank's Affordable Housing Program grant. It is anticipated that the grant will be closed out in 2013.
- Demolish blighted properties through the fast track demolition process.
- Continue to seek proposals for the redevelopment of Church School and 21xx Reed Avenue.
- Apply for funds through the Illinois Housing Development Authority for housing activity, if made available.
- Continue to lend our support to various committees throughout the community.
- Continue to administer and report on the accomplishments of the CDBG-R grant and Neighborhood Stabilization Program¹ grant under the American Recovery and Reinvestment Act of 2009 and HERA of 2008.
- Continue to sell excess properties acquired with Community Development Block Grant funds.
- Complete the NSP¹ program, including HUD closeout requirements.

Economic Development

The Economic Development Program, budgeted at \$357,945, is funded by the Community Development Block Grant. The whole amount is allocated for program costs, with a transfer for economic development staff, housed in the Planning Division, budgeted at \$117,300.

Economic Development funding includes the following:

- \$185,645 for the Rehab and Development Assistance program designed to help create development opportunity in the City of Rockford and future projects providing a wage above the threshold median hourly wage to numerous low/moderate income City residents. Also, the loan/grant will be used for projects that have the potential to fill a long-vacant building or site, preferably in one of the City's TIF districts, the City's State certified Enterprise Zone, or one of the previously described strategic areas.
- \$55,000 for the Self-Employment Training Program that will provide basic entrepreneurial training to low to moderate-income persons in conjunction with Rock Valley College's Small Business Development Center. Projected training is for 100 participants.

Community Development Business Group

Neighborhood Development

Housing programs, budgeted at \$2,974,515, are funded from three grant programs: the Community Development Block Grant, \$1,495,713; the Home Program, \$1,196,823; Emergency Shelter Grants, \$166,572; and program income, \$116,500.

Neighborhood Development funding includes the following:

- \$1,341,905 to assist existing owner occupants and new homebuyers. The funding will be used to make exterior improvements, interior health and safety code items and work needed to remove lead hazards. The City anticipates assisting approximately 74 low-income households once funds are leveraged with HOME funds.
- \$570,260 is to fund the code enforcement program, which will address approximately 3,400 self-initiated and neighborhood standards complaints.
- \$145,340 for continuation of the Demolition Program for demolishing abandoned, vacant, or boarded up properties primarily identified by the Focus Area Action Plan and deteriorated properties located near schools, on major thoroughfares, and strategically located scattered sites. The City anticipates the demolition of 23 units/structures.
- \$50,000 to the Discovery Center for an after school program.
- \$50,000 in funds will be awarded to neighborhood groups and/or other non-profits to do special projects that serve lower-income individuals/neighborhoods. Projected activity is three units.
- \$15,000 for the Ramp Program to build ramps for low-income persons with mobility disabilities. Projected activity is 12 units to be administered by the Rockford Area Mobilization Project.
- \$36,500 is to be used by CHDO's for operating expenses. Funding level is for two CHDO's.
- \$166,572 is for the Emergency Shelter Grant program, administered by the Human Services Department.

In addition, \$426,356 is budgeted for Block Grant general administrative costs, which includes \$75,568 for Section 108 debt payment if necessary. Funding is from Block Grant, \$273,524, the Home Program \$125,900, NSP funds, \$75,568, and program income, \$21,500.

Community Development Business Group

Budget Analysis

CD DEVELOPMENT DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$523,840	\$603,556	\$691,707	\$649,988	(\$41,719)
CONTRACTUAL	448,358	702,751	333,896	171,440	(162,456)
SUPPLIES	5,973	2,707	5,050	5,050	0
OTHER	<u>3,609,705</u>	<u>2,989,112</u>	<u>3,001,227</u>	<u>2,858,370</u>	(142,857)
TOTAL	<u>\$4,587,876</u>	<u>\$4,298,126</u>	<u>\$4,031,880</u>	<u>\$3,684,848</u>	(\$347,032)
STAFFING REVIEW					
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
	7.50	7.00	8.00	7.00	(1.00)
FUNDING SOURCE					
	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2013 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CURRENT FUNDS					
COMMUNITY DEVELOPMENT GR/	\$1,997,829	\$1,997,830	\$1,997,830	\$1,999,811	\$1,981
HOME PROGRAM	903,029	903,029	903,029	691,632	(211,397)
OTHER FEDERAL/STATE	92,558	92,558	92,558	166,572	74,014
PROGRAM INCOME	<u>123,500</u>	<u>123,500</u>	<u>123,500</u>	<u>116,500</u>	(7,000)
	3,116,917	3,116,917	3,116,917	2,974,515	(142,402)
REPROGRAMMED FUNDS-PRIOR YEARS					
COMM DEV GRANT	410,418	410,418	410,418	213,225	(197,193)
HOME PROGRAM	<u>445,026</u>	<u>445,026</u>	<u>445,026</u>	<u>505,191</u>	60,165
	<u>855,444</u>	<u>855,444</u>	<u>855,444</u>	<u>718,416</u>	(137,028)
TOTAL	<u>\$3,972,360</u>	<u>\$3,972,361</u>	<u>\$3,972,361</u>	<u>\$3,692,931</u>	(\$274,056)

- Permanent Salaries decreased \$16,111 as a result of the elimination of one rehab specialist offset by a 3% wage increase.
- A 2% wage adjustment is budgeted for all department staff for a total of \$8,230.
- Consultant fees decreased by \$166,861 based on actual expenses.

Capital Equipment

For 2013, the Division will not have any capital purchases.

Community Development Business Group

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes that both Federal and local funding sources will stagnate and expenditures will not exceed revenue limits. It is further assumed that the Division will spend its annual budget. No assumptions are made for new programs.

COMMUNITY DEVELOPMENT BLOCK GRANT FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)					
	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$3,609	\$3,717	\$3,829	\$3,944	\$4,062
Expenditures	<u>3,609</u>	<u>3,717</u>	<u>3,829</u>	<u>3,944</u>	<u>4,062</u>
Excess(Deficit)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Beginning Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

Personnel Review

COMMUNITY DEVELOPMENT BLOCK GRANT DIVISION				
		<u>2012</u>	<u>2013</u>	<u>INCREASE/ (DECREASE)</u>
BENEFITS AND SALARIES				
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	
PERMANENT		\$427,720	\$411,609	(\$16,111)
SALARY ADJUSTMENT		0	8,232	8,232
TOTAL SALARIES		<u>\$427,720</u>	<u>\$419,841</u>	<u>(\$7,879)</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$89,094	\$89,510	\$416
UNEMPLOYMENT TAX		1,440	1,260	(180)
WORKMEN'S COMPENSATION		7,163	4,441	(2,722)
HEALTH INSURANCE		161,538	130,442	(31,096)
LIFE INSURANCE		624	546	(78)
PARKING BENEFITS		4,128	3,948	(180)
TOTAL BENEFITS		<u>\$263,987</u>	<u>\$230,147</u>	<u>(\$33,840)</u>
TOTAL COMPENSATION		<u>\$691,707</u>	<u>\$649,988</u>	<u>(\$41,719)</u>
	POSITION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	RANGE	EMPLOYEES	EMPLOYEES	
DEVELOPMENT PROGRAMS MANAGER	E-10	1.00	1.00	0.00
GRANTS COMPLIANCE SPECIALIST II	E-7	1.00	1.00	0.00
GRANTS COMPLIANCE SPECIALIST I	E-6	1.00	1.00	0.00
HOUSING REHAB SPECIALIST II	E-6	1.00	1.00	0.00
HOUSING REHAB SPECIALIST I	E-5	1.00	1.00	0.00
REHAB CONST SPECIALIST I	CD-15	2.00	1.00	(1.00)
SENIOR ADMINISTRATIVE ASSISTANT	E-6	1.00	1.00	0.00
TOTAL PERSONNEL		<u>8.00</u>	<u>7.00</u>	<u>(1.00)</u>

Community Development Business Group

Performance Measurements

	2010	2011	2012	2013
	<u>Actual</u>	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>
Housing rehabilitation	96	124	45	6
Housing projects (non-profits)	1	7	3	3
Homeless programs	4	5	5	5
New construction units	2	27	0	0
Demolitions	44	25	23	14
Public services/facilities, organizations	7	8	4	4
Special community projects	3	3	3	3
Acquisitions	5	2	0	0
Homebuyer Assistance	13	8	8	39
RAMP (construction of ramps)	12	12	6	6
Get the Lead Out match	15	15	0	0
Water hook up program	11	11	11	10
Tax incentive program	0	3	3	3

CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
COMMUNITY DEVELOPMENT CDBG

		2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
71112	Permanent	393,409	427,720	226,283	411,609	(16,111)
71129	Salary Adjustment	-	-	0	8,232	8,232
71251	IMRF	78,970	89,094	46,133	89,510	416
71253	Unemployment Tax	1,322	1,440	1,182	1,260	(180)
71262	Worker's Comp	5,773	7,163	3,371	4,441	(2,722)
71263	Health Insurance	119,690	161,538	70,315	130,442	(31,096)
71264	Life Insurance	552	624	306	546	(78)
71265	Retiree Health Insurance	-	-	-	-	-
71271	Parking	3,840	4,128	2,408	3,948	(180)
TOTAL PERSONNEL		603,556	691,707	349,998	649,988	(41,719)
72211	Printing	2,103	4,075	886	4,075	-
72212	Postage	1,755	3,110	1,448	3,110	-
72213	Telephone	8,178	6,620	627	6,620	-
72203	Wirelss	-	1,600	2,162	1,600	-
72214	Travel	1,575	2,172	-	4,840	2,668
72215	Dues	2,775	3,408	975	3,450	42
72216	Subscriptions	16	100	33	100	-
72217	Advertising	-	2,650	-	3,650	1,000
72218	Service Contracts	591,914	7,050	165,136	5,670	(1,380)
72255	Office Equip Maint	-	200	-	100	(100)
72263	Microcomputer	40,960	54,410	31,739	54,610	200
72264	Vehicle Repair	2,590	5,600	625	3,000	(2,600)
72265	Fuel	2,005	2,070	810	1,320	(750)
72267	Risk Management	16,980	28,570	16,666	28,830	260
72271	Equipment Rental	5,633	4,525	2,079	4,525	-
72272	Building Rental	17,630	21,730	12,676	23,170	1,440
72282	Audit	7,972	4,450	-	8,000	3,550
72290	Education/Training	650	1,350	450	1,575	225
72292	Consultants	-	179,556	-	12,695	(166,861)
72299	Miscellaneous	15	650	-	500	(150)
TOTAL CONTRACTUAL		702,751	333,896	236,312	171,440	(162,456)
75501	Books	-	50	-	50	-
75560	General Office	2,707	5,000	810	5,000	-
75570	Computer Non Capital	-	-	-	-	-
TOTAL SUPPLIES		2,707	5,050	810	5,050	-
76601	Developer Assistance	-	206,597	-	185,645	(20,952)
76629	Downpayment Assist	-	50,000	-	280,000	230,000
76634	Demolitions	312,844	257,919	53,314	145,340	(112,579)
76635	Ramps	-	15,000	-	15,000	-
76702	Comm Assist-Neighborhood	135,117	105,000	37,329	100,000	(5,000)
76709	Rehab Loans/Grants	1,144,122	885,684	599,209	576,360	(309,324)
76762	Property Acquisition	2,575	-	-	-	-
76790	Miscellaneous	-	-	-	-	-
76802	Essential Services	-	27,767	-	20,000	(7,767)
76831	RAAHC Credit Counselor	-	25,000	-	-	(25,000)
76840	ESG Administration	-	4,627	-	82,495	77,868
76841	ESG Operating	-	33,164	-	7,400	(25,764)
76842	Prevention	-	27,000	-	56,680	29,680
76851	Sec 108	79,492	-	58,858	-	-
76871	SET-Self Employment Training	-	30,000	-	55,000	25,000
76881	CHDO Operating	30,736	36,500	-	36,500	-
76882	CHDO Affordable Housing Proje	-	300,569	-	318,970	18,401
77723	Transfer to HRD	-	26,000	15,167	-	(26,000)
77725	Purchase of Services - Gen Fun	972,963	970,400	566,067	978,980	8,580
77727	Purchase of Services - Other	91,802	-	18,858	-	-
77737	Residual Equity	44,257	-	28,185	-	-
78787	Install Note Principal	100,000	-	-	-	-
78837	Install Note Int	75,204	-	240,416	-	-
TOTAL OTHER		2,989,112	3,001,227	1,617,403	2,858,370	(142,857)
TOTAL COMMUNITY DEVELOPMENT		4,298,126	4,031,880	2,204,523	3,684,848	(347,032)

Redevelopment Fund

Mission Statement

It is the mission of the Community Development Redevelopment Fund to finance Metro Center Authority operating deficits, provide funds for redevelopment of the central city, and generate economic development.

Primary Functions → The fund is financed by a one-percent tax adopted in 1978 for a period of 20 years on motel and room charges, restaurant, lounge charges for food and liquor, and package liquor sales. This was renewed for additional ten-year periods in 1990, 1999, and 2007 with the tax to end in 2028. In addition to financing a portion of the Metro Center Authority's deficits, the Fund provides the necessary capital for development opportunities, public improvements, and economic development efforts.

2012 Accomplishments

- The Redevelopment Fund financed the Metro Center Authority operating deficits, provided funds for redevelopment activities, and assisted in financing economic development efforts.

2013 Goals and Objectives

- The Redevelopment Fund will finance the Metro Center Authority operating deficits, provide funds for redevelopment activities, and assist in financing economic development efforts.

Budget Summary

COMMUNITY DEVELOPMENT REDEVELOPMENT FUND					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$3,230	\$3,936	\$850	\$880	\$30
OTHER	<u>3,060,086</u>	<u>3,208,333</u>	<u>3,139,943</u>	<u>3,922,159</u>	<u>782,216</u>
TOTAL	<u>\$3,063,316</u>	<u>\$3,212,269</u>	<u>\$3,140,793</u>	<u>\$3,923,039</u>	<u>\$782,246</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
REDEVELOPMENT SALES TAX					
MOTEL	\$308,266	\$377,969	\$311,300	\$320,600	9,300
PACKAGE	492,768	526,815	525,200	540,000	14,800
RESTAURANT	<u>2,756,254</u>	<u>2,997,842</u>	<u>2,815,000</u>	<u>2,850,000</u>	<u>35,000</u>
SUBTOTAL	<u>\$3,557,288</u>	<u>\$3,902,626</u>	<u>\$3,651,500</u>	<u>3,710,600</u>	59,100
OTHER	0	0	0	<u>939,200</u>	<u>939,200</u>
TOTAL	<u>\$3,557,288</u>	<u>\$3,902,626</u>	<u>\$3,651,500</u>	<u>\$4,649,800</u>	998,300

Redevelopment Fund

Budget Analysis

- The budgeted subsidy for RAVE is \$1,345,000. With approval of a new operating agreement with RAVE in 2012, the City’s public support for the BMO Harris Center, Coronado, and Davis Park decreases \$410,100. Tourism Fund dollars totaling \$514,200, which were previously provided to RAVE, are now transferred to the Redevelopment Fund to provide financial support for debt service payments. RAVE will repay previous loans for operating support to the Coronado and BMO Harris Center, which are recognized as revenue in the Redevelopment Fund.
- Debt service payments total \$1,582,100, an increase of \$113,700 from the prior year’s budget. Debt service is budgeted for four bond issues. Bonds issued in 2000 associated with the construction of a 33,000 square foot supermarket in the South Rockford Tax Increment Finance District are budgeted at \$214,300. For the Coronado Theatre restoration, debt service is \$800,800. City support for the debt service for remodeling the BMO Harris Center and acquiring an AHL franchise totals \$5,800 for 2013, with much of the total payment of \$1.84 million payment being funded by a taxable refunding bond issue and Winnebago County. Finally, \$441,900 is budgeted for the 2009 \$8.065 million BMO Harris Center taxable refunding issue.
- The budgeted amount for the Rockford Area Economic Development Council (RAEDC) is \$200,000, the same as the prior year.
- The \$150,000 budgeted last year for Coronado Performing Art’s Center operating assistance has been eliminated since RAVE operates the facility.
- The amount transferred to the Public Works Property Division for staff services and other building related expenses declines \$326,800 to \$274,400. The purchase of service charge declines for the Coronado (\$343,000) and Festival Park (\$53,500) since RAVE operates these facilities.
- Tax revenue is budgeted at \$3,710,600 for 2013, an increase of 1.6% from the prior year’s budget.
- Other revenue includes a \$514,200 transfer from the Tourism Fund to support debt service payments and \$425,000 in repayments for structured debt from RAVE.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes growth in taxes – one percent for motels, three percent for packaged liquor, and two percent for restaurants – reflecting the past history. The refinancing and the structural changes made in 2009 and the change in the operating agreement with RAVE should insure the long term viability of this fund.

REDEVELOPMENT FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>
Revenues	\$4,650	\$4,743	\$4,838	\$4,935	\$5,034
Expenses	<u>3,923</u>	\$4,201	\$4,285	\$4,371	\$4,458
Excess (Deficit)	<u>727</u>	<u>542</u>	<u>728</u>	<u>564</u>	<u>576</u>
Beginning Balance	<u>(646)</u>	<u>34</u>	<u>576</u>	<u>1,304</u>	<u>1,868</u>
Ending Balance	<u>\$81</u>	<u>\$576</u>	<u>\$1,304</u>	<u>\$1,868</u>	<u>\$2,444</u>

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
REDEVELOPMENT FUND**

	2011 ACTUAL	2012 BUDGET	6 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72212 Postage	2,952	-	1,268	-	-
72282 Auditing	984	850	-	880	30
72292 Consulting	-	-	-	-	-
TOTAL CONTRACTUAL	3,936	850	1,268	880	30
76709 Loans and Grants	425,000	150,000	99,500	100,000	(50,000)
76740 Transfers-Metro	356,445	450,000	116,666	1,345,000	895,000
76754 Comm Dev Projects	(1,304)	200,000	-	200,000	-
76790 Transfer to RACVB	-	100,000	-	-	(100,000)
77721 Transfer-Debt	1,302,760	1,462,773	731,387	1,582,061	119,288
77722 Transfer-Other Funds	19,571	23,500	11,750	24,210	710
77725 Transfer-GF	102,300	107,200	53,600	116,488	9,288
77733 Transfer Bldg Maint	780,767	685,780	342,890	274,400	(411,380)
78837 Installment Loan Interest	183,337	-	-	180,000	180,000
78787 Installment Loan Principal	39,457	-	-	40,000	40,000
78833 Interest Expense	-	45,320	-	60,000	14,680
TOTAL OTHER	3,208,333	3,224,573	1,355,793	3,922,159	697,586
TOTAL CD REDEVELOPMENT	3,212,269	3,225,423	1,357,061	3,923,039	697,616

Tourism Promotion Fund

Mission Statement

It is the mission of the Community Development Tourism Promotion Fund to finance tourism promotion for the City.

Primary Functions → The primary function of the Tourism Promotion Fund is to provide funding to the Rockford Area Convention & Visitor's Bureau (RACVB). The City currently has a five- percent tax on motel and hotel room receipts. This tax, along with a similar one enacted by Winnebago County, is used to fund the Rockford Area Convention & Visitor's Bureau with which the City has a contract for tourism promotion efforts.

2012 Accomplishments →

- The Bureau continues to collaborate with important Rockford attractions, events, hotels and restaurants to attract visitors.
- The sales team secured bookings for meetings, tournaments and events that took place at Rockford hotels and facilities. These bookings filled hotel rooms resulting in additional spending in the region.
- Rockin' Summer and Winter Rocks leisure marketing campaigns, which RACVB is a partner, have continued to drive awareness of Rockford's tourism and quality of life assets among local residents and potential visitors.

2013 Goals and Objectives →

- Increase non-local visitation to top sites and attractions.
- Increase RACVB influenced group sales bookings (number of meetings, conventions, and tournaments).
- Increase RACVB influenced off-season (October-April) business room night (actual rooms sold at hotels).

Tourism Promotion Fund

Budget Summary

COMMUNITY DEVELOPMENT TOURISM PROMOTION FUND					
	2010	2011	2012	2013	INCREASE
APPROPRIATION	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
TRANSFER TO RACVB	\$1,054,449	\$1,235,223	\$943,260	\$1,274,000	\$330,740
TRANSFER FOR DEBT SERV.	350,000	450,000	627,040	514,200	(112,840)
PURCHASE OF SERVICES	119,200	1,800	1,800	1,800	0
TOTAL	<u>\$1,523,649</u>	<u>\$1,687,023</u>	<u>\$1,572,100</u>	<u>\$1,790,000</u>	<u>\$217,900</u>
FUNDING SOURCE	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>AMOUNT</u>	<u>AMOUNT</u>	<u>(DECREASE)</u>
TOURISM PROMOTION SALES TAX	\$1,541,578	\$1,889,174	\$1,572,100	\$1,790,000	\$217,900
TOTAL	<u>\$1,541,578</u>	<u>\$1,889,174</u>	<u>\$1,572,100</u>	<u>\$1,790,000</u>	<u>\$217,900</u>

Budget Analysis

- Tax revenue is budgeted at \$1,790,000, an increase of \$217,900 (13.9%) from the 2012 budget. Total revenues for 2011 were \$1,889,200.
- The transfer to the Rockford Area Convention & Visitor's Bureau increases \$330,740 to \$1,274,000. This consists of revenue equal to 60% of the Tourism Promotion Sales Tax proceeds (\$1,074,000) and funding from the 1% challenge grant (\$200,000).
- Funds transferred to the Redevelopment Fund to support debt service payments for BMO Harris Bank Center are budgeted at \$514,200, a reduction of \$112,840 from 2012. Less dollars are available for the transfer since the RACVB has been able to obtain funds under the 1% challenge grant.

Five Year Financial Forecast

The 2014-2018 five year forecast assumes motel revenue growth at two percent annually and appropriation of all income to the Visitors Bureau except for City reimbursements.

TOURISM PROMOTION FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$1,790	\$1,808	\$1,826	\$1,844	\$1,636
Expenses	<u>1,790</u>	<u>1,808</u>	<u>1,826</u>	<u>1,844</u>	<u>1,636</u>
Excess (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Beginning Balance	<u>76</u>	<u>76</u>	<u>76</u>	<u>76</u>	<u>76</u>
Ending Balance	<u>\$76</u>	<u>\$76</u>	<u>\$76</u>	<u>\$76</u>	<u>\$76</u>

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
TOURISM PROMOTION FUND**

	2011 ACTUAL	2012 BUDGET	6 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72219 Other Contract	1,235,223	943,260	512,195	1,181,120	237,860
TOTAL CONTRACTUAL	1,235,223	943,260	512,195	1,181,120	237,860
76740 Transfers-Metro	450,000	627,040	150,000	452,280	(174,760)
77725 POS General Fund	1,800	1,800	900	1,800	-
TOTAL OTHER	451,800	628,840	150,900	454,080	(174,760)
TOTAL TOURISM	1,687,023	1,572,100	663,095	1,635,200	63,100

Retail Tax Increment Financing Districts

Mission Statement

It is the mission of the Community Development Tax Increment Financing District to conserve or improve areas, especially sections of the City that are neglected, through economic investment from both private and public sectors.

Primary Functions → The primary function of the tax increment financing (TIF) district is to develop/redevelop the area in the TIF District in the City and to make the area more viable again. Such improvements (upon meeting qualifications) can be financed through TIF district revenues. Revenue for the districts is generated by the collection of property taxes each year at an increment based on the increase in assessed valuation of properties within the area since the districts are created. The excess tax revenue collected can only be used to fund projects located within the TIF District.

There are 17 Retail TIF Districts, East Side, East River, West Side #1 and #2, River North, South Rockford, Assisted Living, State and Kilburn, State and Central, Springfield Corners, North Main, Main and Auburn, Main and Whitman, Seventh Street, Midtown, Broadway, and State and Alpine.

2012 Accomplishments →

- Continued coordination with Midtown Organization for 7th Street improvement plans.
- Continued coordination with Miracle Mile Organization for East State Street improvement plans.
- Continued coordination with River District Organization for downtown improvement plan.
- Continued completion of Annual Tax Increment Financing reporting.
- Submitted RFP for Amerock building development.
- Completed agreement with Belmont Sayre for development of Comprehensive Plan for Barber Colman site on South Main.
- Downtown Rockford Restaurant, LLC created new restaurant located in 75,000 square feet of vacant space at 205 West State Street, created 25 jobs and invest \$400,000.
- On the Rocks Bar & Grill created new restaurant located in 35,000 square feet of vacant space at 3457 Merchandise Drive, created 15 new jobs and invest \$291,000.
- Dollar Tree constructed a new discount store 15,400 square feet of retail space at 526 East Jefferson, created 15 new jobs and invested \$1.84 million.
- Negotiating the redevelopment of the vacant property located at 206 North Main Street and attraction of a bakery and coffee shop creating 4 new jobs.
- Chiquita Food Mart constructed new grocery store located 34,800 square feet of vacant space at 1414 South Main Street, created 40 new jobs and invested \$2 million.
- Prairie St Brewhouse rehabilitation of 82,000 square feet of vacant industrial building into mixed commercial/residential use creating 50 new jobs and invested \$12.3 million.
- Provided \$25,000 grant assistance for interior development and equipment purchase to low-income business owner at Zamutto's located at 725 Kent Street.
- Assisted a low-income business owner, Dreamline Tattoo & Piercing, to relocate to 4,700 square feet at 1234 Broadway, created 4 new and 2 retained jobs, and invested \$50,000.

Retail Tax Increment Financing Districts

- Grant assistance for minority owned business, Las Palmas, to meet code requirements at 1118 S Main Street and invest \$239,000.
- Worked with CJ's Lounge, East State, and Morgan & Main (custom cabinet business), South Main to complete a façade improvement program on a 50/50 grant assistance bases.
- Assist an IL State relocation project involving a low-income business owner, CJ's Custom Cuts, with additional assistance to meet City code requirements and invest \$158,000.
- Provided assistance to Brew House; Collins and Stone Funeral Home; Aim Distribution; Baum Realty; DeKalb Implement; 11th Street Plaza.

2013 Goals and Objectives →

- Continue coordination with Midtown Organization for 7th Street improvement plans.
- Continue coordination with Miracle Mile Organization for East State improvement plans.
- Continue coordination with River District Organization for downtown improvement plans.
- Complete Annual Tax Increment Financing reporting.
- Eliminate three sources of blight in commercial TIFs.
- Attract four new companies to commercial TIFs.
- Assist four existing companies to expand in commercial TIFs.
- Provide assistance to six existing companies in and commercial TIFs.

Budget Summary

EAST SIDE TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$20,000	\$0	\$10,000	\$10,000	\$0
OTHER	320,178	308,055	395,000	395,000	0
TOTAL	<u>\$340,178</u>	<u>\$308,055</u>	<u>\$405,000</u>	<u>\$405,000</u>	<u>\$0</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$304,042	\$354,391	\$354,379	\$350,103	(\$4,276)
INTEREST INCOME	2,033	6,227	1,800	600	(1,200)
TOTAL	<u>\$306,075</u>	<u>\$360,618</u>	<u>\$356,179</u>	<u>\$350,703</u>	<u>(\$5,476)</u>

WEST SIDE TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	643,614	661,183	735,999	743,297	7,298
TOTAL	<u>\$643,614</u>	<u>\$661,183</u>	<u>\$735,999</u>	<u>\$743,297</u>	<u>\$7,298</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$404,387	\$411,915	\$417,297	\$444,888	27,591
TRANSFER FROM ES TIF	\$248,963	\$237,150	\$300,000	\$300,000	0
INTEREST INCOME	879	5,745	400	0	(400)
TOTAL	<u>\$654,229</u>	<u>\$654,810</u>	<u>\$717,697</u>	<u>\$744,888</u>	<u>27,191</u>

Retail Tax Increment Financing Districts

SEVENTH STREET TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$425	\$0	\$10,300	\$10,300	\$0
OTHER	1,598,921	1,158,774	1,023,260	1,038,128	14,868
TOTAL	<u>\$1,599,346</u>	<u>\$1,158,774</u>	<u>\$1,033,560</u>	<u>\$1,048,428</u>	<u>\$14,868</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$1,056,660	\$1,068,082	\$1,061,593	\$986,094	(75,499)
TRANSFER FROM JACKSON	\$150,000	\$50,000	\$50,000	\$50,000	0
INTEREST INCOME	7,774	10,192	100	0	(100)
TOTAL	<u>\$1,214,434</u>	<u>\$1,128,274</u>	<u>\$1,111,693</u>	<u>\$1,036,094</u>	<u>(75,599)</u>

SOUTH ROCKFORD TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$965	\$0	\$0	\$0
OTHER	173,037	173,900	385,812	400,167	14,355
TOTAL	<u>\$173,037</u>	<u>\$174,865</u>	<u>\$385,812</u>	<u>\$400,167</u>	<u>\$14,355</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$126,948	\$132,144	\$134,633	\$133,370	(1,263)
TRANSFER FROM ERIVEF	\$0	\$0	\$100,000	\$100,000	0
INTEREST INCOME	1,071	767	0	0	0
TOTAL	<u>\$128,019</u>	<u>\$132,911</u>	<u>\$234,633</u>	<u>\$233,370</u>	<u>(1,263)</u>

ASSISTED LIVING TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	0	0	0	0	0
TOTAL	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$83	\$60	\$29	\$29	\$0
INTEREST INCOME	0	4	5	6	\$1
TOTAL	<u>\$83</u>	<u>\$64</u>	<u>\$34</u>	<u>\$35</u>	<u>\$1</u>

EAST RIVER TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	267,605	491,941	177,689	177,798	\$109
TOTAL	<u>\$267,605</u>	<u>\$491,941</u>	<u>\$177,689</u>	<u>\$177,798</u>	<u>\$109</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$45,983	\$63,500	\$90,909	\$91,820	\$911
TRANSFER FROM 7TH ST	\$250,000	\$250,000	\$150,000	\$150,000	\$0
INTEREST INCOME	376	1,599	0	0	\$0
TOTAL	<u>\$296,359</u>	<u>\$315,099</u>	<u>\$240,909</u>	<u>\$241,820</u>	<u>\$911</u>

Retail Tax Increment Financing Districts

WEST SIDE 2 TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$19	\$0	\$0	\$0
OTHER	0	0	0	0	0
TOTAL	\$0	\$19	\$0	\$0	\$0
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$9,990	\$8,926	\$3,461	\$3,500	\$39
INTEREST INCOME	0	0	37	125	87
TOTAL	\$9,990	\$8,926	\$3,498	\$3,625	\$126

RIVER NORTH TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	270,989	26,786	26,296	75,803	\$49,507
TOTAL	\$270,989	\$26,786	\$26,296	\$75,803	\$49,507
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$78,859	\$62,830	\$56,895	\$57,464	\$569
INTEREST INCOME	2,000	2,868	2,705	3,538	\$833
TOTAL	\$80,859	\$65,698	\$59,601	\$61,002	\$1,401

STATE KILBURN TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	0	0	0	0	0
TOTAL	\$0	\$0	\$0	\$0	\$0
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$21,198	\$16,621	\$14,662	\$14,800	\$138
INTEREST INCOME	0	191	376	752	376
TOTAL	\$21,198	\$16,812	\$15,038	\$15,552	\$514

STATE CENTRAL TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	250,000	251,262	202,072	200,000	(2,072)
TOTAL	\$250,000	\$251,262	\$202,072	\$200,000	(\$2,072)
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2012 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$232,458	\$199,094	\$186,632	\$188,500	\$1,869
INTEREST INCOME	0	1,421	3,735	3,442	(293)
TOTAL	\$232,458	\$200,515	\$190,366	\$191,942	\$1,576

Retail Tax Increment Financing Districts

SPRINGFIELD CORNERS TAX INCEMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
OTHER	<u>\$1,532,705</u>	<u>\$310,632</u>	<u>\$438,158</u>	<u>\$462,839</u>	<u>\$24,681</u>
TOTAL	<u>\$1,532,705</u>	<u>\$310,632</u>	<u>\$438,158</u>	<u>\$462,839</u>	<u>\$24,681</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$163,871	\$176,166	\$186,377	\$188,241	\$1,864
TRANSFER FROM CIP	\$0	\$94,181	\$0	\$0	0
TRANSFER FROM STATE	\$250,000	\$200,000	\$200,000	\$200,000	0
INTEREST INCOME	0	0	0	0	0
TOTAL	<u>\$413,871</u>	<u>\$470,347</u>	<u>\$386,377</u>	<u>\$388,241</u>	<u>\$1,864</u>

NORTH MAIN STREET TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	68,963	168,963	174,715	173,262	(1,453)
TOTAL	<u>\$68,963</u>	<u>\$168,963</u>	<u>\$174,715</u>	<u>\$173,262</u>	<u>(\$1,453)</u>
FUNDING SOURCE	2011 ACTUAL	2012 ACTUAL	2013 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$76,679	\$95,695	\$74,935	\$75,700	\$765
INTEREST INCOME	0	0	0	0	0
TOTAL	<u>\$76,679</u>	<u>\$95,695</u>	<u>\$74,935</u>	<u>\$75,700</u>	<u>\$765</u>

MAIN AUBURN TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	0	0	10,000	20,000	10,000
TOTAL	<u>\$0</u>	<u>\$0</u>	<u>\$10,000</u>	<u>\$20,000</u>	<u>\$10,000</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$20,031	\$19,671	\$18,354	\$18,540	\$18,357
INTEREST INCOME	72	1,332	1,375	1,618	1,361
TOTAL	<u>\$20,103</u>	<u>\$21,003</u>	<u>\$19,729</u>	<u>\$20,158</u>	<u>\$19,718</u>

MAIN WHITMAN TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	101,385	99,564	104,799	101,122	(\$3,677)
TOTAL	<u>\$101,385</u>	<u>\$99,564</u>	<u>\$104,799</u>	<u>\$101,122</u>	<u>(\$3,677)</u>
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$41,577	\$42,283	\$40,449	\$40,853	\$404
INTEREST INCOME	0	0	0	0	\$0
TOTAL	<u>\$41,577</u>	<u>\$42,283</u>	<u>\$40,449</u>	<u>\$40,853</u>	<u>\$404</u>

Retail Tax Increment Financing Districts

MIDTOWN TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	165,000	1,127	22	0	(\$22)
TOTAL	<u>\$165,000</u>	<u>\$1,127</u>	<u>\$22</u>	<u>\$0</u>	<u>(\$22)</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$64,480	\$46,252	\$36,392	\$36,760	\$368
INTEREST INCOME	1,248	0	0	900	900
TOTAL	<u>\$65,728</u>	<u>\$46,252</u>	<u>\$36,392</u>	<u>\$37,660</u>	<u>\$1,269</u>

BROADWAY TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	0	0	0	0	\$0
TOTAL	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$50,994	\$41,064	\$45,746	\$46,204	\$458
INTEREST INCOME	0	0	0	1,124	1,124
TOTAL	<u>\$50,994</u>	<u>\$41,064</u>	<u>\$45,746</u>	<u>\$47,328</u>	<u>\$1,581</u>

STATE ALPINE TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	300,000	170,000	285,429	285,429	0
TOTAL	<u>\$300,000</u>	<u>\$170,000</u>	<u>\$285,429</u>	<u>\$285,429</u>	<u>\$0</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	262,536	\$245,613	\$200,541	\$202,500	\$1,959
INTEREST INCOME	<u>\$2,142</u>	<u>9,420</u>	<u>9,046</u>	<u>7,150</u>	<u>(1,896)</u>
TOTAL	<u>\$264,678</u>	<u>\$255,033</u>	<u>\$209,588</u>	<u>\$209,650</u>	<u>\$63</u>

Budget Analysis

- This 17 district group collectively runs a deficit from 2018 through 2027. The deficit generators are North Main, a high deficit year (2022) of \$1,204,400 and an estimated ending deficit of \$691,200 in 2026, and Main Whitman, a high deficit year of \$790,900 (2028) and an estimated ending deficit of \$521,850 in 2031.
- \$300,000 was transferred from the State and Central district to Springfield Corners.
- \$3.2 million will need to be transferred from 2013 through 2016 for Seventh Street and East River.
- No long term financing is planned for 2013.

Retail Tax Increment Financing Districts

Five Year Financial Forecasts

The 2014-2018 five-year forecasts assume no growth for property taxes and that state and local sales tax will not be received. These funds are project, rather than service, oriented and are susceptible to considerable variance in direction and dollars. An ending balance schedule for all TIF districts for all years is also included.

EAST SIDE TIF FUND 2014-2016 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>
Revenues	\$354	\$357	\$1,061
Expenditures	<u>405</u>	<u>405</u>	<u>0</u>
Excess (Deficit)	(51)	(48)	1,061
Beginning Balance	<u>64</u>	<u>13</u>	<u>(35)</u>
Ending Balance	<u>\$13</u>	<u>(\$35)</u>	<u>\$1,026</u>

WEST SIDE TIF FUND 2014-2016 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>
Revenues	\$749	\$754	\$1,308
Expenditures	<u>745</u>	<u>746</u>	<u>1,439</u>
Excess (Deficit)	5	8	(130)
Beginning Balance	<u>118</u>	<u>122</u>	<u>130</u>
Ending Balance	<u>\$122</u>	<u>\$130</u>	<u>(\$0)</u>

7TH STREET TIF FUND 2014-2016 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>
Revenues	\$1,046	\$1,046	\$1,056
Expenditures	<u>1,045</u>	<u>1,045</u>	<u>1,159</u>
Excess (Deficit)	1	1	(103)
Beginning Balance	<u>130</u>	<u>130</u>	<u>130</u>
Ending Balance	<u>\$130</u>	<u>\$130</u>	<u>\$27</u>

SOUTH ROCKFORD TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$235	\$986	\$637	\$139	\$140
Expenditures	<u>390</u>	<u>394</u>	<u>397</u>	<u>400</u>	<u>402</u>
Excess (Deficit)	(155)	592	240	(261)	(262)
Beginning Balance	<u>(359)</u>	<u>(514)</u>	<u>78</u>	<u>318</u>	<u>57</u>
Ending Balance	<u>(\$514)</u>	<u>\$78</u>	<u>\$318</u>	<u>\$57</u>	<u>(\$205)</u>

Retail Tax Increment Financing Districts

ASSISTED LIVING TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>
Revenues	\$0	\$0	\$0	\$0	\$0
Expenditures	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Excess (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Beginning Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

EAST RIVER TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$243	\$946	\$1,297	\$99	\$100
Expenditures	<u>176</u>	<u>925</u>	<u>1,273</u>	<u>72</u>	<u>71</u>
Excess (Deficit)	<u>67</u>	<u>21</u>	<u>24</u>	<u>27</u>	<u>29</u>
Beginning Balance	<u>20</u>	<u>87</u>	<u>109</u>	<u>132</u>	<u>159</u>
Ending Balance	<u>\$87</u>	<u>\$108</u>	<u>\$133</u>	<u>\$159</u>	<u>\$188</u>

WEST SIDE 2 TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$4	\$4	\$4	\$4	\$4
Expenditures	<u>0</u>	<u>0</u>	<u>5</u>	<u>5</u>	<u>5</u>
Excess (Deficit)	<u>4</u>	<u>4</u>	<u>(1)</u>	<u>(1)</u>	<u>(1)</u>
Beginning Balance	<u>9</u>	<u>12</u>	<u>16</u>	<u>15</u>	<u>14</u>
Ending Balance	<u>\$13</u>	<u>\$16</u>	<u>\$15</u>	<u>\$14</u>	<u>\$13</u>

RIVER NORTH TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$61	\$61	\$62	\$62	\$62
Expenditures	<u>75</u>	<u>80</u>	<u>79</u>	<u>79</u>	<u>78</u>
Excess (Deficit)	<u>(14)</u>	<u>(19)</u>	<u>(17)</u>	<u>(17)</u>	<u>(16)</u>
Beginning Balance	<u>127</u>	<u>113</u>	<u>94</u>	<u>76</u>	<u>59</u>
Ending Balance	<u>\$113</u>	<u>\$94</u>	<u>\$77</u>	<u>\$59</u>	<u>\$43</u>

STATE KILBURN TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$16	\$17	\$17	\$18	\$18
Expenditures	<u>0</u>	<u>0</u>	<u>0</u>	<u>25</u>	<u>25</u>
Excess (Deficit)	<u>16</u>	<u>17</u>	<u>17</u>	<u>(7)</u>	<u>(7)</u>
Beginning Balance	<u>46</u>	<u>62</u>	<u>78</u>	<u>96</u>	<u>88</u>
Ending Balance	<u>\$62</u>	<u>\$79</u>	<u>\$95</u>	<u>\$89</u>	<u>\$81</u>

Retail Tax Increment Financing Districts

STATE CENTRAL TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$194	\$195	\$197	\$199	\$201
Expenditures	<u>200</u>	<u>200</u>	<u>200</u>	<u>200</u>	<u>200</u>
Excess (Deficit)	(6)	(5)	(3)	(1)	1
Beginning Balance	<u>130</u>	<u>123</u>	<u>119</u>	<u>116</u>	<u>115</u>
Ending Balance	<u>\$124</u>	<u>\$118</u>	<u>\$116</u>	<u>\$115</u>	<u>\$116</u>

NORTH MAIN STREET TIF FUND 2013-2017 FINANCIAL FORECAST (IN 000'S)

	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>
Revenues	\$76	\$77	\$140	\$141	\$143
Expenditures	<u>173</u>	<u>222</u>	<u>219</u>	<u>215</u>	<u>211</u>
Excess (Deficit)	(98)	(145)	(79)	(74)	(68)
Beginning Balance	(492)	(590)	(735)	(814)	(888)
Ending Balance	<u>(\$590)</u>	<u>(\$735)</u>	<u>(\$814)</u>	<u>(\$888)</u>	<u>(\$957)</u>

MAIN AUBURN TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$20	\$21	\$21	\$21	\$21
Expenditures	<u>20</u>	<u>25</u>	<u>25</u>	<u>25</u>	<u>25</u>
Excess (Deficit)	0	(4)	(4)	(4)	(4)
Beginning Balance	<u>65</u>	<u>65</u>	<u>61</u>	<u>56</u>	<u>52</u>
Ending Balance	<u>\$65</u>	<u>\$61</u>	<u>\$57</u>	<u>\$52</u>	<u>\$48</u>

MAIN WHITMAN TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$41	\$91	\$92	\$92	\$93
Expenditures	<u>100</u>	<u>119</u>	<u>117</u>	<u>114</u>	<u>112</u>
Excess (Deficit)	(59)	(28)	(25)	(22)	(19)
Beginning Balance	(408)	(467)	(495)	(520)	(542)
Ending Balance	<u>(\$467)</u>	<u>(\$495)</u>	<u>(\$520)</u>	<u>(\$542)</u>	<u>(\$561)</u>

MIDTOWN TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$39	\$39	\$39	\$39	\$39
Expenditures	<u>50</u>	<u>50</u>	<u>50</u>	<u>50</u>	<u>50</u>
Excess (Deficit)	(11)	(11)	(11)	(11)	(11)
Beginning Balance	<u>74</u>	<u>63</u>	<u>52</u>	<u>41</u>	<u>30</u>
Ending Balance	<u>\$63</u>	<u>\$52</u>	<u>\$41</u>	<u>\$30</u>	<u>\$19</u>

Retail Tax Increment Financing Districts

BROADWAY TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$49	\$49	\$50	\$50	\$51
Expenditures	<u>50</u>	<u>50</u>	<u>50</u>	<u>50</u>	<u>50</u>
Excess (Deficit)	<u>(1)</u>	<u>(1)</u>	<u>0</u>	<u>0</u>	<u>1</u>
Beginning Balance	<u>92</u>	<u>91</u>	<u>91</u>	<u>91</u>	<u>91</u>
Ending Balance	<u>\$91</u>	<u>\$90</u>	<u>\$91</u>	<u>\$91</u>	<u>\$92</u>

STATE ALPINE TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$210	\$210	\$211	\$212	\$213
Expenditures	<u>260</u>	<u>270</u>	<u>257</u>	<u>240</u>	<u>240</u>
Excess (Deficit)	<u>(50)</u>	<u>(60)</u>	<u>(46)</u>	<u>(28)</u>	<u>(27)</u>
Beginning Balance	<u>210</u>	<u>160</u>	<u>100</u>	<u>53</u>	<u>25</u>
Ending Balance	<u>\$160</u>	<u>\$100</u>	<u>\$54</u>	<u>\$25</u>	<u>(\$2)</u>

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
EAST SIDE TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72218 Service Contracts	-	5,000	20,000	5,000	-
72292 Consulting Fee	-	5,000	-	5,000	-
TOTAL CONTRACTUAL	-	10,000	20,000	10,000	-
76709 Rehab Loans/Grants	-	50,000	-	50,000	-
76754 Comm Develop Project	43,105	20,000	-	20,000	-
77721 Transfer to Debt Svc	237,150	-	-	-	-
77727 Purch/Serv Trans.	25,000	25,000	-	25,000	-
77754 Transfer to West Side TIF 1	-	300,000	-	300,000	-
78890 Interest Expense	2,800	-	-	-	-
TOTAL OTHER	308,055	395,000	-	395,000	-
TOTAL EAST SIDE TIF	308,055	405,000	20,000	405,000	-

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
WEST SIDE TIF #1 DISTRICT**

		2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754	Comm Develop Project	186,883	335,999	16,677	243,297	(92,702)
77721	Transfer to Debt Svc	474,300	400,000	-	500,000	100,000
78890	Comm Bank Interest	-	-	-	-	-
TOTAL OTHER		661,183	735,999	16,677	743,297	7,298
TOTAL WEST SIDE TIF		661,183	735,999	16,677	743,297	7,298

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
SEVENTH STREET TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72214 Travel	-	100	-	100	-
72215 Dues	-	2,000	-	2,000	-
72290 Education/Training	-	1,200	-	1,200	-
72292 Consulting Fee	-	7,000	-	7,000	-
TOTAL CONTRACTUAL	-	10,300	-	10,300	-
76754 Comm Develop Project	19,154	42,000	25,000	42,000	-
77721 Transfer to Debt Svc	864,620	871,128	-	856,260	(14,868)
77727 Purch Serv Trans	25,000	25,000	-	25,000	-
77753 Transfer to East River	250,000	100,000	-	100,000	-
TOTAL OTHER	1,158,774	1,038,128	25,000	1,023,260	(14,868)
TOTAL SEVENTH STREET TIF	1,158,774	1,048,428	25,000	1,033,560	(14,868)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
SOUTH ROCKFORD TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72214 Travel	965	-	-	-	-
TOTAL CONTRACTUAL	965	-	-	-	-
76754 Comm Develop Project	28,900	31,494	-	32,049	555
77721 Transfer to Debt Svc	145,000	354,318	-	363,318	9,000
78890 Interest Expense	-	-	-	4,800	4,800
TOTAL OTHER	173,900	385,812	-	400,167	9,555
TOTAL SOUTH ROCKFORD TIF	174,865	385,812	-	400,167	9,555

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
ASSISTED LIVING TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
77721 Transfer to Debt Service	-	-	-	-	-
78890 Interest Expense	-	-	-	-	-
TOTAL OTHER	-	-	-	-	-
TOTAL ASSISTED LIVING TIF	-	-	-	-	-

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
EAST RIVER TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Comm Dev Project	-	35,564	-	35,564	-
77755 Trans to South Rockford	474,300	100,000	-	100,000	-
77721 Transfer to Debt Service	17,641	42,125	-	41,125	(1,000)
78890 Interest	-	-	-	1,109	1,109
TOTAL OTHER	491,941	177,689	-	177,798	109
TOTAL EAST RIVER TIF	491,941	177,689	-	177,798	109

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
WEST SIDE TIF #2**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
78890 Interest Expense	19	-	-	-	-
TOTAL OTHER	19	-	-	-	-
TOTAL WEST SIDE TIF #2	19	-	-	-	-

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
RIVER NORTH TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Comm Dev Project	-	-	-	50,000	50,000
77721 Debt Service	26,786	26,296	-	25,803	(493)
TOTAL OTHER	26,786	26,296	-	75,803	49,507
TOTAL RIVER NORTH	26,786	26,296	-	75,803	49,507

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
STATE AND KILBURN TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	6 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
78890 Interest Expense	-	-	-	-	-
TOTAL OTHER	-	-	-	-	-
TOTAL STATE AND KILBURN TIF	-	-	-	-	-

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
STATE AND CENTRAL TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Comm Dev Project	250,000	200,000	-	200,000	-
78890 Interest Expense	1,262	2,072	-	-	(2,072)
TOTAL OTHER	251,262	202,072	-	200,000	(2,072)
TOTAL STATE AND CENTRAL TIF	251,262	202,072	-	200,000	(2,072)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
SPRINGFIELD CORNERS TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Comm Dev Project	-	-	-	-	-
77721 Transfer to Debt Svc	310,632	436,432	-	456,072	19,640
78890 Comm Bank Interest	-	1,726	-	6,767	5,041
TOTAL OTHER	310,632	438,158	-	462,839	24,681
TOTAL SPRINGFIELD CORNERS TIF	310,632	438,158	-	462,839	24,681

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
NORTH MAIN TIF**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
77721 Transfer to Debt Svc	168,963	164,963	-	160,963	(4,000)
79980 Comm Bank Interest	-	9,752	-	12,299	2,547
TOTAL OTHER	168,963	174,715	-	173,262	(1,453)
TOTAL NORTH MAIN TIF	168,963	174,715	-	173,262	(1,453)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
MAIN AND AUBURN TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72214 Travel	-	-	152	-	-
TOTAL CONTRACTUAL	-	-	152	-	-
76754 Other/Available	-	10,000	-	20,000	10,000
TOTAL OTHER	-	10,000	-	20,000	10,000
TOTAL MAIN AND AUBURN TIF	-	10,000	152	20,000	10,000

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
MAIN WHITMAN TIF**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
77721 Transfer to Debt Svc	99,564	97,742	-	95,910	(1,832)
79980 Comm Bank Interest	-	7,057	-	5,212	(1,845)
TOTAL OTHER	99,564	104,799	-	101,122	(3,677)
TOTAL MAIN WHITMAN TIF	99,564	104,799	-	101,122	(3,677)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
MIDTOWN TIF**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Community Dev Project	1,127	22		-	(22)
TOTAL OTHER	1,127	22	-	-	(22)
TOTAL MIDTOWN TIF	1,127	22	-	-	(22)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
BROADWAY TIF**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Community Dev Project	-	-	-	-	-
78890 Comm Bank Interest	-	-	-	-	-
TOTAL OTHER	-	-	-	-	-
TOTAL BROADWAY TIF	-	-	-	-	-

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
STATE AND ALPINE TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72214 Travel	-	-	275	-	-
72290 Education	-	-	800	-	-
TOTAL CONTRACTUAL	-	-	800	-	-
76754 Comm Dev Project	130,000	245,429	70,000	245,429	-
77727 Transfer to CD	40,000	40,000	-	40,000	-
TOTAL OTHER	170,000	285,429	70,000	285,429	-
TOTAL STATE AND ALPINE TIF	170,000	285,429	70,800	285,429	-

Industrial Tax Increment Financing Districts

Mission Statement

It is the mission of the Community Development Tax Increment Financing District to conserve or improve areas, especially sections of the City that are neglected, through economic investment from both private and public sectors.

Primary Functions → The primary function of the tax increment financing (TIF) district is to develop/redevelop the area in the TIF District and to make the area more viable. Improvements (upon meeting qualifications) can be financed through TIF district revenues. The collection of property taxes is on an increment basis and increases are based on increases in the assessed valuation of properties within the TIF District. The excess tax revenue collected can only be used to fund projects located within the TIF District.

There are six industrial TIF Districts, Kishwaukee-Harrison #1 and #2, Preston and Central, Rockford Global Trade Park #1, #2, #3.

2012 Accomplishments →

- Completed, submitted and awarded Public Works Program Grant of \$2.7million from Economic Development Agreement for Airport Drive and Airport entrance reconstruction (Anderson Packaging, Ring Container, etc).
- Continued cooperative marketing plan for industrial TIFs with RAEDC.
- Proposed creation of two new TIF Districts, Jefferson & North 3rd Street and East State & Mulford.
- Completed Annual Tax Increment Financing reporting.
- Negotiated with DeKalb Implement on the attraction of John Deere Dealership creating 17 new jobs and investing \$7.7 million.
- Worked with Ingenium Aerospace on the attraction of aerospace technology company that would create 2 new jobs, retain 11 jobs and invest \$100,000.
- Completed installation of 150 Wanxiang solar panels on Bell School Road water reservoir, in accordance with TIF development agreement.
- Completed construction of Rockford Solar Partners' 3.5MW solar farm.
- Dial Machine, Inc. committed to add 32,000 square feet, create 20 new jobs, retain 70 jobs and invested \$8.5 million.
- Rockford Register Star committed to rehab 33,000 square feet, create 60 new jobs, retain 10 jobs and invested \$410,000.
- Gothi Corporation committed to rehab 108,000 square feet, create 10 new jobs, retain 3 jobs and invested \$1.32 million.
- Brynolf Manufacturing committed to rehab 8, 000 square feet, create 2 new jobs, retain 28 jobs, and invested \$150,000.
- Gunit Corporation committed to renovate their facility, create 107 new jobs, retain 310 jobs and invested \$40 million.

Industrial Tax Increment Financing Districts

- Provided assistance to Cellusuede Products Inc; Reload/Watco; Testors; B/E Aerospace; Rockford Products: W.A. Whitney; Amtech Precision Products; Dial Machine; Gunite; Aim Distribution; Rail Construction Equipment; Barns International; Anderson Packaging; Alacran Contracting; Robert Fletcher & Assoc.; ABI Inc.; Beavermatic; Freeway Rockford; Ingersoll Machine Tool; Liebovich Brothers; Barron Enterprises; Ingenium ITS Partners; Vision Financial; Rockford Precision Machine, Inc.

2013 Goals & Objectives →

- Initiate implementation of Economic Development Agreement for Public Works Program Grant for Airport Drive and Airport entrance reconstruction (Anderson Packaging, Ring Container, etc).
- Initiate Falcon Road reconstruction (Anderson Packaging) with Economic Development Program funds from IDOT.
- Initiate Seminary Street & Blackhawk Park Ave reconstruction (Gunite) with Economic Development Program funds from IDOT
- Complete sanitary sewer and water extensions to Gensler Property.
- Initiate development plan for Global Trade Park South Redevelopment Planning Area and TIF.
- Construct new Rockford Global Trade Park sign.
- Continue cooperative marketing plan for industrial TIFs with RAEDC.
- Complete Annual Tax Increment Financing Report.
- Eliminate three sources of blight in industrial TIFs.
- Attract four new companies to industrial TIFs.
- Assist four existing companies to expand in industrial TIFs.
- Provide assistance to six existing companies in industrial TIFs.

Budget Summary

GLOBAL TRADE PARK TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$2,691	\$35,553	\$10,000	\$10,000	\$0
OTHER	<u>754,988</u>	<u>832,983</u>	<u>814,488</u>	<u>823,513</u>	<u>9,025</u>
TOTAL	<u>\$757,679</u>	<u>\$868,536</u>	<u>\$824,488</u>	<u>\$833,513</u>	<u>\$9,025</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$758,836	\$766,972	\$758,483	\$766,100	\$7,617
TRANSFER FROM TIFS	150,000	300,000	300,000	0	(300,000)
INTEREST INCOME	<u>0</u>	<u>7,079</u>	<u>3,087</u>	<u>9,014</u>	<u>5,927</u>
TOTAL	<u>\$908,836</u>	<u>\$1,074,051</u>	<u>\$1,061,569</u>	<u>\$775,114</u>	<u>(\$286,456)</u>

Industrial Tax Increment Financing Districts

GLOBAL TRADE PARK 2 TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$1,787	\$775	\$0	\$0	\$0
OTHER	<u>100,000</u>	<u>233,044</u>	<u>233,400</u>	<u>133,951</u>	(99,449)
TOTAL	<u>\$101,787</u>	<u>\$233,819</u>	<u>\$233,400</u>	<u>\$133,951</u>	(\$99,449)
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$189,432	\$188,598	\$189,829	\$191,700	\$1,871
INTEREST INCOME	<u>911</u>	<u>880</u>	<u>817</u>	<u>0</u>	(817)
TOTAL	<u>\$190,625</u>	<u>\$190,626</u>	<u>\$190,627</u>	<u>\$190,628</u>	<u>\$1,053</u>

GLOBAL TRADE PARK 3 TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	<u>50,000</u>	<u>100,000</u>	<u>100,000</u>	<u>50,000</u>	(50,000)
TOTAL	<u>\$50,000</u>	<u>\$100,000</u>	<u>\$100,000</u>	<u>\$50,000</u>	(\$50,000)
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$117,690	\$82,657	\$55,707	\$56,300	\$593
INTEREST INCOME	<u>252</u>	<u>1,656</u>	<u>1,643</u>	<u>576</u>	(1,066)
TOTAL	<u>\$117,942</u>	<u>\$84,313</u>	<u>\$57,350</u>	<u>\$56,876</u>	(\$474)

PRESTON CENTRAL TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$35,843	\$10,807	\$0	\$0	\$0
OTHER	<u>108,497</u>	<u>125,190</u>	<u>122,881</u>	<u>124,642</u>	<u>1,761</u>
TOTAL	<u>\$144,340</u>	<u>\$135,997</u>	<u>\$122,881</u>	<u>\$124,642</u>	<u>\$1,761</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$31,518	\$33,941	\$81,531	\$82,300	\$769
LIEBOVICH REIMBURSEMEI	220,103	0	0	0	0
INTEREST INCOME	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$251,621</u>	<u>\$33,941</u>	<u>\$81,531</u>	<u>\$82,300</u>	<u>\$769</u>

Industrial Tax Increment Financing Districts

KISHWAUKEE HARRISON #1 TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE <u>(DECREASE)</u>
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	<u>33,273</u>	<u>35,618</u>	<u>45,562</u>	<u>46,018</u>	<u>456</u>
TOTAL	<u>\$33,273</u>	<u>\$35,618</u>	<u>\$45,562</u>	<u>\$46,018</u>	<u>\$456</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE <u>(DECREASE)</u>
PROPERTY TAXES					
TAX INCREMENT	\$53,852	\$54,958	\$53,603	\$54,139	\$536
INTEREST INCOME	<u>142</u>	<u>1,407</u>	<u>1,220</u>	<u>1,451</u>	<u>232</u>
TOTAL	<u>\$53,994</u>	<u>\$56,365</u>	<u>\$54,823</u>	<u>\$55,590</u>	<u>\$768</u>

KISHWAUKEE HARRISON #2 TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE <u>(DECREASE)</u>
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	<u>0</u>	<u>0</u>	<u>100</u>	<u>50</u>	<u>(50)</u>
TOTAL	<u>\$0</u>	<u>\$0</u>	<u>\$100</u>	<u>\$50</u>	<u>(\$50)</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE <u>(DECREASE)</u>
PROPERTY TAXES					
TAX INCREMENT	\$249	\$215	\$103	\$104	\$1
INTEREST INCOME	<u>0</u>	<u>10</u>	<u>0</u>	<u>13</u>	<u>13</u>
TOTAL	<u>\$249</u>	<u>\$225</u>	<u>\$103</u>	<u>\$117</u>	<u>\$14</u>

Budget Analysis

- This six district group collectively runs a deficit for the entire period, a low of \$0.9 million in 2013 and a high of \$1.9 million in 2030. This is entirely due to the Preston and Central TIF District which not only had cost overruns during site preparation but also delays in the project being initiated.
- No long term financing is planned for 2013.

Industrial Tax Increment Financing Districts

Five Year Financial Forecasts

The 2014-2018 five-year forecasts assume no growth for property taxes and that state and local sales tax will not be received. These funds are project, rather than service, oriented and are susceptible to considerable variance in direction and dollars. An ending balance schedule for all TIF districts for all years is also included.

GLOBAL TRADE PARK TIF #1 FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$781	\$787	\$796	\$799	\$805
Expenditures	<u>857</u>	<u>748</u>	<u>983</u>	<u>1,018</u>	<u>643</u>
Excess (Deficit)	<u>(76)</u>	<u>39</u>	<u>(187)</u>	<u>(219)</u>	<u>162</u>
Beginning Balance	<u>302</u>	<u>227</u>	<u>266</u>	<u>78</u>	<u>(141)</u>
Ending Balance	<u>\$226</u>	<u>\$266</u>	<u>\$79</u>	<u>(\$141)</u>	<u>\$21</u>

GLOBAL TRADE PARK TIF #2 FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$195	\$198	\$201	\$203	\$205
Expenditures	<u>134</u>	<u>184</u>	<u>185</u>	<u>185</u>	<u>185</u>
Excess (Deficit)	<u>61</u>	<u>14</u>	<u>16</u>	<u>18</u>	<u>20</u>
Beginning Balance	<u>48</u>	<u>108</u>	<u>122</u>	<u>138</u>	<u>156</u>
Ending Balance	<u>\$109</u>	<u>\$122</u>	<u>\$138</u>	<u>\$156</u>	<u>\$176</u>

GLOBAL TRADE PARK TIF #3 FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$58	\$58	\$59	\$60	\$61
Expenditures	<u>50</u>	<u>50</u>	<u>50</u>	<u>50</u>	<u>50</u>
Excess (Deficit)	<u>8</u>	<u>8</u>	<u>9</u>	<u>10</u>	<u>11</u>
Beginning Balance	<u>30</u>	<u>38</u>	<u>46</u>	<u>55</u>	<u>65</u>
Ending Balance	<u>\$38</u>	<u>\$46</u>	<u>\$55</u>	<u>\$65</u>	<u>\$76</u>

PRESTON CENTRAL TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$83	\$84	\$85	\$86	\$86
Expenditures	<u>123</u>	<u>122</u>	<u>121</u>	<u>120</u>	<u>118</u>
Excess (Deficit)	<u>(40)</u>	<u>(38)</u>	<u>(36)</u>	<u>(34)</u>	<u>(32)</u>
Beginning Balance	<u>(1,354)</u>	<u>(1,394)</u>	<u>(1,432)</u>	<u>(1,468)</u>	<u>(1,502)</u>
Ending Balance	<u>(\$1,394)</u>	<u>(\$1,432)</u>	<u>(\$1,468)</u>	<u>(\$1,502)</u>	<u>(\$1,534)</u>

KISHWAUKEE HARRISON TIF #1 FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$56	\$57	\$58	\$59	\$60
Expenditures	<u>46</u>	<u>47</u>	<u>47</u>	<u>48</u>	<u>48</u>
Excess (Deficit)	<u>10</u>	<u>10</u>	<u>11</u>	<u>11</u>	<u>11</u>
Beginning Balance	<u>68</u>	<u>78</u>	<u>88</u>	<u>98</u>	<u>109</u>
Ending Balance	<u>\$78</u>	<u>\$88</u>	<u>\$98</u>	<u>\$109</u>	<u>\$120</u>

Industrial Tax Increment Financing Districts

KISHWAUKEE HARRISON TIF #2 FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$0	\$0	\$0	\$0	\$0
Expenditures	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Excess (Deficit)	<u>(0)</u>	<u>(0)</u>	<u>(0)</u>	<u>(0)</u>	<u>(0)</u>
Beginning Balance	<u>1</u>	<u>1</u>	<u>1</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$1</u>	<u>\$1</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
ROCKFORD GLOBAL TRADE PARK TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72211 Printing	-	10,000	57	10,000	10,000
72214 Travel	2,175	-	301	-	-
72216 Dues	1,450	-	1,050	-	-
72290 Education	-	-	180	-	-
72292 Consulting	31,928	-	-	-	-
TOTAL CONTRACTUAL	35,553	10,000	1,588	10,000	10,000
76709 Loans and Grants	-	-	40,000	-	-
76754 Comm Dev Project	66,448	40,000	54,812	40,000	-
77721 Transfer to Debt Svc	657,038	663,888	-	669,613	5,725
77725 Transfer to General Fund	107,400	110,600	-	113,900	3,300
78890 Comm Bank Interest	2,097	-	-	-	-
TOTAL OTHER	832,983	814,488	54,812	823,513	9,025
TOTAL RKFD GLOBAL TRADE PARK TIF	868,536	824,488	56,400	833,513	19,025

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
ROCKFORD GLOBAL TRADE PARK 2 TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72203 Wireless	-	-	240	-	-
72213 Telephone	775	-	180	-	-
TOTAL CONTRACTUAL	775	-	180	-	-
76754 Comm Dev Project	33,044	33,400	65,582	133,951	100,551
77748 Transfer to GTP1	200,000	200,000	-	-	(200,000)
TOTAL OTHER	233,044	233,400	65,582	133,951	(99,449)
TOTAL RKFD GLOBAL TRADE PARK 2 TIF	233,819	233,400	65,762	133,951	(99,449)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
ROCKFORD GLOBAL TRADE PARK 3 TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Comm Dev Project	100,000	100,000	-	50,000	(50,000)
TOTAL OTHER	100,000	100,000	-	50,000	(50,000)
TOTAL RKFD GLOBAL TRADE PARK 3 TIF	100,000	100,000	-	50,000	(50,000)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
PRESTON AND CENTRAL TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72261 Demolition	-	-	-	-	-
TOTAL CONTRACTUAL	-	-	-	-	-
76754 Community Development	10,807	-	-	-	-
77721 Debt Service	96,175	94,050	-	91,863	(2,187)
78890 Interest Expense	29,015	28,831	-	32,779	3,948
TOTAL OTHER	135,997	122,881	-	124,642	1,761
TOTAL PRESTON AND CENTRAL TIF	135,997	122,881	-	124,642	1,761

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
KISHWAUKEE/HARRISON #1 TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Community Development Proj	35,618	45,562	-	46,018	456
TOTAL OTHER	35,618	45,562	-	46,018	456
TOTAL KISHWAUKEE/HARRISON TIF #1	35,618	45,562	-	46,018	456

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
KISHWAUKEE/HARRISON TIF #2 DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Community Development Proj	-	100	-	50	(50)
TOTAL OTHER	-	100	-	50	(50)
TOTAL KISHWAUKEE/HARRISON TIF #2	-	100	-	50	(50)

Residential Tax Increment Financing Districts

Mission Statement

It is the mission of the Community Development Tax Increment Financing District to conserve or improve areas, especially sections of the City that are neglected, through economic investment from both private and public sectors.

Primary Functions → The primary function of the tax increment financing (TIF) district is to develop/redevelop the area in the TIF District and to make the area more viable. Improvements (upon meeting qualifications) can be financed through TIF district revenues. The collection of property taxes is on an increment basis and increases are based on increases in the assessed valuation of properties within the TIF District. The excess tax revenue collected can only be used to fund projects located within the TIF District.

The residential TIFs include Lincolnwood #1 and #2, Hope 6, Garrison, River Oaks, and Jackson School.

2012 Accomplishments →

- At Thatcher Blake River Walk, no additional units have been constructed above the 16 currently built out. There are two models to view and the prices have been reduced.
- One lot is left to sell at Lincolnwood II. This lot/home must be presold prior to the start of construction.
- Construction began on five lots at the Springfield Corners Subdivision. Two of the five homes were completed.
- The 8 lofts in Garrison School apartments became fully occupied.
- Garrison Gym apartments have four completed units which are leased.
- Garrison Town Homes were finished on John and Church Street; six beautiful units have been completely built out and five are leased and one has been sold. Three of those were completed in 2012. On-site property management was put in place in 2012 with the manager living in one of the leased units. Also, a successful vegetable garden along Court Street was created.
- 21XX Reed is available for sale through an open Request for Proposal process.
- The Swedish American Foundation realized delays due to the economy and an underestimation of redeveloped costs. Five properties have been purchased, one of which was demolished and one property is under construction.

2013 Goals and Objectives →

- Facilitate through marketing efforts and the provision of incentives, the continued build out of Garrison Lofts/Townhomes, Thatcher Blake River Walk, Lincolnwood II, Springfield Corners Subdivision, the Swedish American Foundation project, and 21xx Reed Avenue. The developers with lots/homes to sell are hopeful that the market will improve and they will sell additional units in the upcoming years.

Residential Tax Increment Financing Districts

- The owners of the Garrison Townhomes are planning on building out either one of the largest units with the possibility of dividing it into two smaller units. The smaller units are in high demand. As long as the market for rentals continues to be high, it is their plan to continue building out one or two town homes at a time and then leasing them. They will sell the town homes if within the appropriate price points but currently the market is still more favorable to leases rather than sales.
- It is anticipated that selling 1126 N. Church Street which was originally going to be demolished so more townhomes could be built. Instead, 1126 N. Church was rehabilitated and converted into a single family residence.
- The lender of the Thatcher Blake River Walk has the individual units for sale and is marketing the site for redevelopment.
- The one remaining unit in Lincolnwood Estates II will be sold and this TIF project will be completed.
- The developer of Springfield Corners Subdivision will also continue to build out on the remaining lots once the housing market improves.
- The Swedish American Foundation will continue to acquire and redevelop property in the Jackson Oaks TIF according to their agreement.
- 21xx Reed will be sold in 2013 and the property will begin to be redeveloped.

Budget Summary

LINCOLNWOOD #1 TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
OTHER	\$45,688	\$44,688	\$68,688	\$91,688	\$23,000
TOTAL	\$45,688	\$44,688	\$68,688	\$91,688	\$23,000
FUNDING SOURCE	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PROPERTY TAXES					
TAX INCREMENT	\$76,782	\$79,066	\$79,857	\$80,656	\$799
TRANSFER FROM CIP	0	8,082	0	0	0
INTEREST INCOME	809	4,292	3,766	4,140	373
TOTAL	\$77,591	\$91,440	\$83,623	\$84,796	\$1,172

Residential Tax Increment Financing Districts

LINCOLNWOOD #2 TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
OTHER	<u>\$23,631</u>	<u>\$48,631</u>	<u>\$47,631</u>	<u>\$71,631</u>	<u>\$24,000</u>
TOTAL	<u>\$23,631</u>	<u>\$48,631</u>	<u>\$47,631</u>	<u>\$71,631</u>	<u>\$24,000</u>

FUNDING SOURCE	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PROPERTY TAXES					
TAX INCREMENT	\$48,875	\$51,294	\$49,862	\$50,361	\$499
TRANSFER FROM CIP	0	19,596	0	0	0
INTEREST INCOME	307	2,591	1,883	1,986	103
TOTAL	<u>\$49,182</u>	<u>\$73,481</u>	<u>\$51,745</u>	<u>\$52,347</u>	<u>\$602</u>

HOPE SIX TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
OTHER	<u>\$129,119</u>	<u>\$314,095</u>	<u>\$260,865</u>	<u>\$254,101</u>	<u>(\$6,765)</u>
TOTAL	<u>\$129,119</u>	<u>\$314,095</u>	<u>\$260,865</u>	<u>\$254,101</u>	<u>(\$6,765)</u>

FUNDING SOURCE	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PROPERTY TAXES					
TAX INCREMENT	\$183,946	\$174,636	\$168,173	\$174,892	\$6,719
TRANSFER FROM CIP	0	149,480	0	0	0
INTEREST INCOME	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$183,946</u>	<u>\$324,116</u>	<u>\$168,173</u>	<u>\$174,892</u>	<u>\$6,719</u>

GARRISON TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
OTHER	<u>\$64,463</u>	<u>\$71,802</u>	<u>\$71,505</u>	<u>\$170,987</u>	<u>\$99,482</u>
TOTAL	<u>\$64,463</u>	<u>\$71,802</u>	<u>\$71,505</u>	<u>\$170,987</u>	<u>\$99,482</u>

FUNDING SOURCE	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PROPERTY TAXES					
TAX INCREMENT	\$52,766	\$80,193	\$92,890	\$93,818	\$929
INTEREST INCOME	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$52,766</u>	<u>\$80,193</u>	<u>\$92,890</u>	<u>\$93,818</u>	<u>\$929</u>

Residential Tax Increment Financing Districts

RIVER OAKS TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
OTHER	\$298,588	\$290,588	\$307,881	\$310,559	\$2,678
TOTAL	<u>\$298,588</u>	<u>\$290,588</u>	<u>\$307,881</u>	<u>\$310,559</u>	<u>\$2,678</u>

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$72,355	\$58,704	\$49,090	\$49,581	\$491
TRANSFER FROM CIP	0	173,318	0	0	0
INTEREST INCOME	0	0	0	0	0
TOTAL	<u>\$72,355</u>	<u>\$232,022</u>	<u>\$49,090</u>	<u>\$49,581</u>	<u>\$491</u>

JACKSON SCHOOL TAX INCREMENT FINANCING BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$0	\$0	\$0	\$0	\$0
OTHER	206,063	115,105	116,985	116,173	(\$812)
TOTAL	<u>\$206,063</u>	<u>\$115,105</u>	<u>\$116,985</u>	<u>\$116,173</u>	<u>(\$812)</u>

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TAX INCREMENT	\$119,336	\$105,861	\$93,551	\$94,500	\$949
INTEREST INCOME	2,374	1,997	1,455	905	(549)
TOTAL	<u>\$121,710</u>	<u>\$107,858</u>	<u>\$95,006</u>	<u>\$95,405</u>	<u>\$399</u>

Budget Analysis

- This six district group collectively has a deficit of \$1,752,650 for 2013 and runs a deficit until 2026.
- The deficit generators are Hope 6, a high deficit year (2023) of \$1,095,300 never turning positive, Garrison, a high deficit year (2023) of \$899,300 never turning positive, and River Oaks, a high deficit year (2019) of \$2.9 million never turning positive.
- No long term financing is planned for 2013.

Residential Tax Increment Financing Districts

Five Year Financial Forecast

The 2014-2018 five-year forecasts assume no growth for property taxes and that state and local sales tax will not be received. These funds are project, rather than service, oriented and are susceptible to considerable variance in direction and dollars. An ending balance schedule for all TIF districts for all years is also included.

LINCOLNWOOD TIF FUND #1 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$85	\$86	\$87	\$88	\$87
Expenditures	<u>89</u>	<u>86</u>	<u>83</u>	<u>159</u>	<u>100</u>
Excess (Deficit)	(4)	0	4	(71)	(13)
Beginning Balance	<u>159</u>	<u>155</u>	<u>156</u>	<u>160</u>	<u>89</u>
Ending Balance	<u>\$155</u>	<u>\$155</u>	<u>\$160</u>	<u>\$89</u>	<u>\$76</u>

LINCOLNWOOD TIF FUND #2 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	52	52	52	53	53
Expenditures	<u>80</u>	<u>67</u>	<u>65</u>	<u>63</u>	<u>61</u>
Excess (Deficit)	(28)	(15)	(13)	(10)	(8)
Beginning Balance	<u>60</u>	<u>33</u>	<u>18</u>	<u>5</u>	<u>(6)</u>
Ending Balance	<u>32</u>	<u>18</u>	<u>5</u>	<u>(5)</u>	<u>(14)</u>

HOPE SIX TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$178	\$182	\$186	\$189	\$193
Expenditures	<u>275</u>	<u>269</u>	<u>263</u>	<u>282</u>	<u>276</u>
Excess (Deficit)	(97)	(87)	(77)	(93)	(83)
Beginning Balance	(291)	(387)	(474)	(551)	(644)
Ending Balance	<u>(\$387)</u>	<u>(\$474)</u>	<u>(\$551)</u>	<u>(\$644)</u>	<u>(\$727)</u>

GARRISON TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$94	\$95	\$96	\$97	\$150
Expenditures	<u>169</u>	<u>167</u>	<u>164</u>	<u>162</u>	<u>158</u>
Excess (Deficit)	(75)	(72)	(68)	(65)	(8)
Beginning Balance	(338)	(412)	(483)	(551)	(563)
Ending Balance	<u>(\$413)</u>	<u>(\$484)</u>	<u>(\$551)</u>	<u>(\$616)</u>	<u>(\$571)</u>

Residential Tax Increment Financing Districts

RIVER OAKS TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$50	\$51	\$51	\$52	\$52
Expenditures	<u>304</u>	<u>298</u>	<u>316</u>	<u>328</u>	<u>329</u>
Excess (Deficit)	<u>(254)</u>	<u>(247)</u>	<u>(265)</u>	<u>(276)</u>	<u>(277)</u>
Beginning Balance	<u>(1,358)</u>	<u>(1,612)</u>	<u>(1,859)</u>	<u>(2,124)</u>	<u>(2,401)</u>
Ending Balance	<u>(1,612)</u>	<u>(1,859)</u>	<u>(2,124)</u>	<u>(2,400)</u>	<u>(2,678)</u>

JACKSON SCHOOL TIF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$96	\$96	\$97	\$98	\$99
Expenditures	<u>115</u>	<u>115</u>	<u>114</u>	<u>63</u>	<u>137</u>
Excess (Deficit)	<u>(19)</u>	<u>(19)</u>	<u>(17)</u>	<u>35</u>	<u>(38)</u>
Beginning Balance	<u>15</u>	<u>(4)</u>	<u>(22)</u>	<u>(39)</u>	<u>(4)</u>
Ending Balance	<u>(\$4)</u>	<u>(\$23)</u>	<u>(\$39)</u>	<u>(\$4)</u>	<u>(\$42)</u>

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
LINCOLNWOOD TIF DISTRICT #1**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
77721 Transfer to Debt Svc	44,688	68,688	-	91,688	23,000
TOTAL OTHER	44,688	68,688	-	91,688	23,000
TOTAL LINCOLNWOOD TIF #1	44,688	68,688	-	91,688	23,000

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
LINCOLNWOOD TIF DISTRICT #2**

	2011 ACTUAL	2012 BUDGET	6 MO ACTUAL	2013 BUDGET	CHANGE 12-13
77721 Transfer to Debt Svc	48,631	47,631	-	71,631	24,000
TOTAL OTHER	48,631	47,631	-	71,631	24,000
TOTAL LINCOLNWOOD TIF #2	48,631	47,631	-	71,631	24,000

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
HOPE SIX TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Comm Dev Project	51,527	-	-	-	-
77721 Transfer to Debt Svc	262,568	255,375	-	248,813	(6,562)
79980 Comm Bank Interest	-	5,490	-	5,288	(202)
TOTAL OTHER	314,095	260,865	-	254,101	(6,764)
TOTAL HOPE SIX TIF	314,095	260,865	-	254,101	(6,764)

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
GARRISON TIF**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
77721 Transfer to Debt Svc	64,463	64,463	-	164,463	100,000
78890 Comm Bank Interest	7,339	7,042	-	6,524	(518)
TOTAL OTHER	71,802	71,505	-	170,987	99,482
TOTAL GARRISON TIF	71,802	71,505	-	170,987	99,482

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
RIVER OAKS TIF**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
77721 Transfer to Debt Svc	290,588	282,588	-	299,588	17,000
78890 Comm Bank Interest	-	25,293	-	10,971	(14,322)
TOTAL OTHER	290,588	307,881	-	310,559	2,678
TOTAL RIVER OAKS TIF	290,588	307,881	-	310,559	2,678

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
JACKSON SCHOOL TIF DISTRICT**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
76754 Comm Dev Project	18,855	21,485	-	21,485	-
77721 Transfer to Debt Service	31,250	30,500	-	29,688	(812)
77725 Transfer to TIF	50,000	50,000	-	50,000	
77727 Transfer to CD	15,000	15,000	-	15,000	-
TOTAL OTHER	115,105	116,985	-	116,173	(812)
TOTAL JACKSON SCHOOL TIF	115,105	116,985	-	116,173	(812)

Sanitation Fund

Mission Statement

It is the mission of the Sanitation Division to provide for the collection and disposal of solid waste in a manner that is consistent with federal and state regulations, as well as to encourage the recycling efforts of the community.

Primary Functions → The four primary functions of the Sanitation Division include collection and disposal, composting, recycling, and technical and financial services.

- **Collection and Disposal**→ Provides weekly unlimited collection to all residential buildings of four units or less through a private contractor.
- **Composting Program**→ Contractor collects yard wastes, including leaves, grass, and pruning, and composts them at a City owned landfill site and uses material for landfill cover.
- **Recycling Program**→ Provides curbside recycling as part of regular weekly collection. Residents can recycle 32 items and Christmas trees are recycled seasonally.
- **Technical and Financial Services**→ Purchase of service for contract monitoring, billing and collecting, and reimbursement for street cleaning and forestry services are included.

Fund and Rate Information

- Contracts for collection and disposal of solid waste extend to 2013 with contract annual price changes tied to Consumer Price Index (CPI), both positive and negative, not to exceed 4% per year.
- The rate remained unchanged for 2013.

Sanitation Fund

Budget Summary

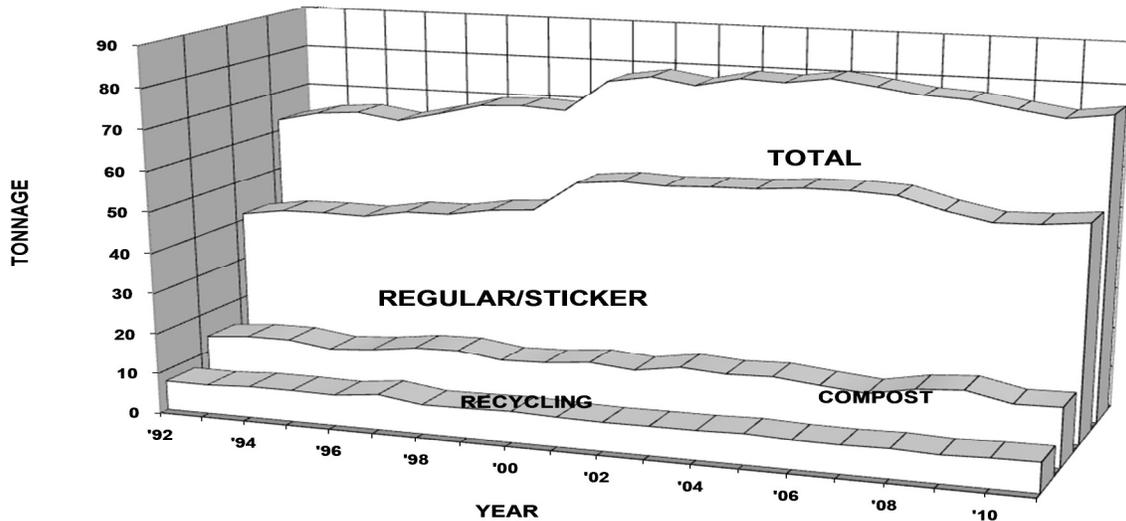
COMMUNITY DEVELOPMENT SANITATION DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$6,567,498	\$6,504,899	\$7,150,630	\$6,879,360	(\$271,270)
SUPPLIES	1,100	658	500	500	0
OTHER	<u>1,293,600</u>	<u>1,389,339</u>	<u>2,204,550</u>	<u>2,810,886</u>	<u>606,336</u>
TOTAL	<u>\$7,862,198</u>	<u>\$7,894,896</u>	<u>\$9,355,680</u>	<u>\$9,690,746</u>	<u>\$335,066</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
SANITATION	\$22,765	\$21,983	\$21,000	\$21,000	\$0
FRINGE BENEFIT REIMB	0	0	700	700	0
USER FEE	8,356,729	8,329,703	9,169,800	9,355,800	186,000
LANDFILL RENT	17,000	17,000	15,400	17,000	1,600
BILLING PENALTIES	190,338	191,705	0	196,000	196,000
INTEREST INCOME	<u>28,011</u>	<u>127,081</u>	<u>47,300</u>	<u>42,900</u>	<u>(4,400)</u>
TOTAL	<u>\$8,614,843</u>	<u>\$8,687,472</u>	<u>\$9,254,200</u>	<u>\$9,633,400</u>	<u>\$379,200</u>

Budget Analysis

- The contractual budget for collection and disposal costs will decrease \$271,270 or 3.8% from the previous year.
- Rate increases change by the CPI; 2013 CPI is 2.0%.
- The collection rate will increase from \$67.26 to \$68.61 per ton while the disposal rate will rise from \$37.34 to \$38.09 per ton.
- Actual 2012 tonnage is 68,493, 6,000 tons less than the budgeted 74,500 while 2013's projected 70,000 tons is 4,500 tons less than 2012's budget and 1,500 tons more than the 2012 actual.
- Customers are estimated at 50,300 for the year.
- Purchase of services increases \$606,340 reflecting an increase to cover purchase of service cost of forestry service (\$2,041,196).
- The user fee increased in 2012, from \$170.40 to \$186.00, but remains unchanged for 2013.

Sanitation Fund

ROCKFORD SOLID WASTE



SOURCE: COMMUNITY DEVELOPMENT

As the performance measurement schedule indicates, the introduction of alternative programs, composting and recycling, have kept wastes out of the landfill. It is anticipated 29% of the waste stream, or 21,500 tons, will be diverted for 2012.

Five Year Financial Forecast

The 2014-2018 five year forecast assumes that total tonnage will increase approximately 300 tons per year. Costs are expected to increase 3.0% (4% is the maximum) annually for collection, composting, and recycling, and disposal.

SANITATION FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Recommended Rates	\$192.00	\$198.00	\$204.00	\$210.00	\$216.00
Revenues	\$9,968	\$10,361	\$10,759	\$11,168	\$11,582
Expenses	<u>9,711</u>	<u>10,139</u>	<u>10,275</u>	<u>10,608</u>	<u>10,760</u>
Excess(Deficit)	<u>257</u>	<u>223</u>	<u>485</u>	<u>560</u>	<u>822</u>
Beginning Balance	<u>2,696</u>	<u>2,953</u>	<u>3,176</u>	<u>3,661</u>	<u>4,220</u>
Ending Balance	<u>\$2,953</u>	<u>\$3,176</u>	<u>\$3,661</u>	<u>\$4,220</u>	<u>\$5,043</u>
RESERVE	30.4	31.3	35.6	39.8	46.9

Sanitation Fund

Performance Measurements

	2010 <u>Tonnage</u>	2011 <u>Tonnage</u>	2012 Budgeted <u>Tonnage</u>	2012 Estimated <u>Tonnage</u>	2013 Budgeted <u>Tonnage</u>	<u>2012-2013</u>	<u>2012 Actual/ 2013 Budget</u>
Regular	49,739	48,038	53,000	48,816	49,000	(4,000)	184
Composting	14,490	14,812	14,000	12,577	14,100	100	1,523
Recycling	6,736	6,886	7,500	7,100	6,900	(600)	(200)
Sticker	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
	70,968	69,736	74,500	68,493	70,000	(4,500)	1,507
Landfill	49,742	48,038	53,000	48,816	49,000	(4,000)	184
Baxter	14,490	14,812	14,000	12,577	14,100	100	1,523
Recycled	<u>6,736</u>	<u>6,886</u>	<u>7,500</u>	<u>7,100</u>	<u>6,900</u>	<u>(600)</u>	<u>(200)</u>
	70,968	69,736	74,500	68,493	70,000	(4,500)	1,507
Diversion Rate	29.9	31.1	28.9	28.7	30.0		

**SANITATION FUND
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
72211 Printing	-	1,000	-	1,000	-
72212 Postage	-	200	-	200	-
72218 Service Contracts	114,973	125,000	70,315	125,000	-
72274 Rental Building	4,000	-	-	-	-
72282 Prof Fee-Audit	689	700	-	700	-
72289 Mayor's Committee	22,100	27,000	25,000	27,000	-
72295 Composting	969,120	934,360	359,324	967,401	33,041
72296 Recycling	450,486	500,550	218,043	473,409	(27,141)
72297 Collection	3,143,491	3,537,220	1,518,785	3,361,890	(175,330)
72298 Disposal	1,799,990	2,024,600	868,919	1,922,760	(101,840)
TOTAL CONTRACTUAL	6,504,849	7,150,630	3,060,386	6,879,360	(271,270)
75520 Small Equipment	-	500	-	500	-
75560 Office General	658	-	-	-	-
TOTAL SUPPLIES	658	500	-	500	-
77725 POS-General Fund	1,367,775	2,204,550	1,285,988	2,574,468	369,918
77727 POS-Other Funds	21,564	-	-	-	-
TOTAL OTHER	1,389,339	2,204,550	1,285,988	2,574,468	369,918
TOTAL SANITATION FUND	7,894,846	9,355,680	4,346,374	9,454,328	98,648

Human Services

Mission Statement

It is the mission of the Human Services Department to mobilize community resources to change people's lives, offer hope to those in need, improve our community, and help people help themselves and others.

Primary Functions & Services → The primary function of the Human Services Department is to provide funding, activities, and services for programs that include Head Start, Energy Services, Weatherization, and Community Services.

- **Head Start Program** → This program is aimed at meeting the educational, social, health, and emotional needs of low-income preschool children and their families in Winnebago County. Facilities are located at the former Henrietta School, near the Orton Keyes housing development, and near the Fairgrounds housing development. Activities are geared toward developing the cognitive, emotional, and social growth of the child. The program has four different service options that include home based parent and child instruction and part day, full day, and child care based classroom experiences for children ages 3-5 years old from income eligible households. A new Early Head Start program targets children younger than 3.
- **Energy Assistance Program** → The Department is the local administering agency for the Low Income Home Energy Assistance Program (LIHEAP) for Winnebago and Boone counties. LIHEAP assists those who pay their heating bills to a regulated fuel company or has heating included in their rent. The amount of assistance varies with household income, size, and fuel type. Priority eligibility is given to the elderly and handicapped. Over 90% of the recipients live in Rockford.
- **Weatherization** → The Weatherization program is responsible for lessening the impact of heating and cooling costs to low-income individuals by making homes more energy efficient. Homes are selected on a first come first serve basis following a completed and approved application. Homes that are not owner occupied require a landlord/owner contribution. Otherwise, household income and size information are used to determine eligibility. Serves Winnebago and Boone counties.
- **Community Services Program** → The primary goal of Community Services is to promote self-sufficiency among low-income individuals. Activities include outreach, advocacy, emergency assistance, summer food, self-sufficiency case management and training, consumer education, and economic development through small business loans, scholarships, and Individual Development Accounts.
- **The Get the Lead Out (GLO)** → Program targets homes occupied by families with one or more children ages 6 years or under who test with elevated lead levels placing them at risk of health and/or developmental consequences. The same eligibility rules apply to the GLO program as those used by the Weatherization program. Serves Winnebago and Boone counties.

Human Services

- **Housing Assistance** → Services include emergency shelter, transitional housing, permanent supportive housing, rent and mortgage assistance and temporary and permanent crisis relocation assistance to residents of Winnebago and Boone Counties.

2012 Accomplishments →

- Continued SWEEP (Sharing Work for Excellence Everywhere Program) with Community Development Code Enforcement Division serving a total of 30 households and 5 youth.
- Permanent Supportive Housing units/persons continued to expand during 2012 with two new permanent housing projects funded by HUD.
- Homeless Prevention services were provided to 72 households through July 2012.
- Continued support of Neighborhood Network and Community Gardens in 2012.
- The Energy Division assisted 400 individuals in receiving credits under the ComEd Hardship Reconnection Assistance Program and successfully enrolled 500 households in the new PIPP as an alternative to traditional LIHEAP through July 2012.
- Application for Round 3 of Urban Weatherization funding was submitted and approval is pending. If successful, approximately 100 additional Rockford area homes could be weatherized helping to sustain the Department's commitment to assisting local low income residents with lowering energy costs.
- The Summer Food Program served 2,460 children through July 2012.
- 810 children and families participated in Head Start/Early Head Start during the 2011/2012 school/program year September through May. Of the 810 total 11 pregnant women were enrolled in Early Head Start during the program year ending in May 2012.
- Funding was received in February 2012 through the Maternal Infant & Child Development Home Visiting program allowing for the enrollment of 24 new families with children ages prenatal to three years. Eighteen families/children were enrolled through July 2012. The current funding commitment runs through June 2013 with the possibility of an extension based on available federal funding.
- \$25,000 was received as the second installment of a three year commitment of private funding and scores of volunteers from PNC Bank to support the work of Head Start.
- Head Start received in-kind donations of books and volunteers to support improved reading outcomes through the United Way Success by 6 Project.
- Head Start health, dental and nutrition treatment results continued to improve significantly during the 2011-2012 school year.
- Head Start completed competitive applications for Head Start and Early Head Start and anticipate a formal decision by the Federal Department of Health & Human Services/Office of Head Start (HHS/OHS) by December 2012. The proposal includes a new collaboration with the Rockford Public Schools at Dennis School, which has already been approved by HHS/OHS for the 2012-2013 school year and will be launched in August 2012.
- Positive change continued to be measured in the beliefs and behaviors regarding the use of ATOD (alcohol, tobacco, and other drugs) by local youth and children as measured by the IL Youth Survey.
- Staff actively participated in a number of planning groups, projects, committees and work groups in 2012 including Alignment Rockford, Success by Six, Early Learning Council, and

Human Services

Healthy Communities, Human Services Coalition among others. Joint projects were initiated with Rockford Housing Authority, Workforce Investment Board, Prenatal to Six, the Home Visiting Task Force and others.

- A three-year strategic planning process was completed and implemented focusing on staff development, public awareness and community engagement.

2013 Goals and Objectives →

- Develop a formal agreement between the City and the Continuum of Care/Mayors' Task Force on Homelessness based on the City's Consolidated Plan and new HEARTH Act regulations.
- Weatherize approximately 250 homes based on the state and federal and 2013 and Urban Weatherization grant funding
- Continue to participate in the Percentage of Income Payment Plan (PIPP) as an alternative to LIHEAP targeting at least 250 new participants/households.
- Serve approximately 685 Head Start/Early Head Start and MICHVP funding eligible families.
- Reassess priorities for use of CSBG funds and staffing resources guided by local needs and 2013 funding levels.
- Develop a formal Memorandum of Understanding and/or an Intergovernmental Agreement with the Rockford School District addressing common early learning goals and strategies.
- Continue to support early care and education system change through collaborative efforts with the Early Learning Council, Rockford Health Council, United Way and Alignment Rockford.
- Continue the use of community sites taking energy assistance applications and explore ways to expand the use of community resource sites.
- Continue social norms campaign and other prevention education efforts aimed at children/youth to reduce the use of ATOD (alcohol, tobacco, and other drugs) and expand community based health prevention and safety initiatives based on new resources and partnership efforts based on available resources.
- Explore ways to improve staff support, morale, retention and engagement, based on strategic planning priorities.

Human Services

Budget Summary

HUMAN SERVICES BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$6,994,773	\$6,771,243	\$6,104,447	\$6,715,736	\$611,289
CONTRACTUAL	2,821,627	2,287,737	1,769,244	1,957,564	188,320
SUPPLIES	1,724,475	1,742,331	754,300	754,300	0
OTHER	9,624,183	5,683,973	4,279,875	4,279,875	0
INTEREST	0	0	0	0	0
CAPITAL	<u>275,659</u>	<u>26,685</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$21,440,718</u>	<u>\$16,511,969</u>	<u>\$12,907,866</u>	<u>\$13,707,475</u>	<u>\$799,609</u>

STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
TOTAL	<u>98.00</u>	<u>99.00</u>	<u>90.58</u>	<u>96.35</u>	<u>5.77</u>

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
FEDERAL	\$7,337,933	\$7,533,969	\$5,607,679	\$5,641,765	\$34,086
STATE	13,848,362	9,749,611	7,022,968	7,989,119	966,151
GENERAL REVENUES	<u>186,601</u>	<u>136,475</u>	<u>26,000</u>	<u>0</u>	<u>(26,000)</u>
	<u>\$21,372,896</u>	<u>\$17,420,055</u>	<u>\$12,656,647</u>	<u>\$13,630,884</u>	<u>\$974,237</u>

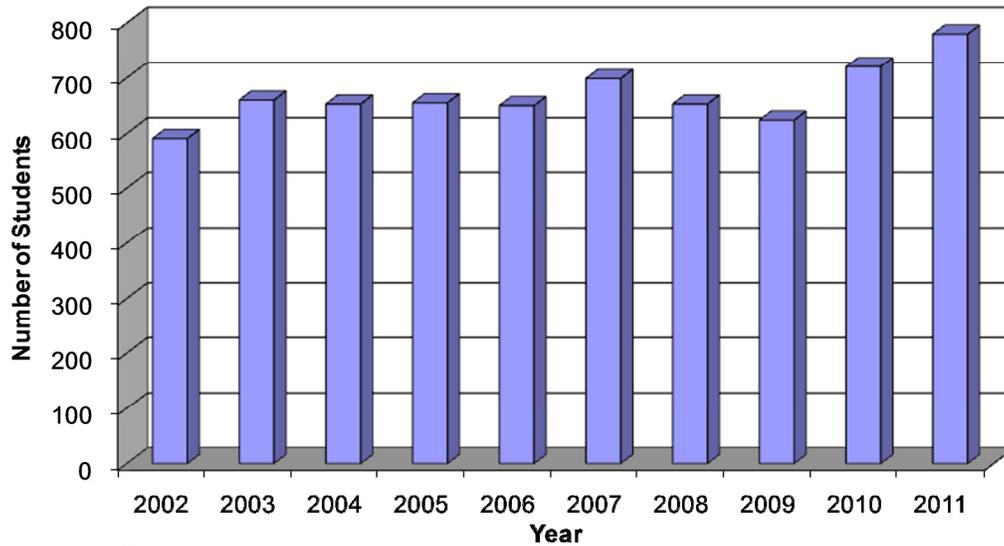
Budget Analysis

- Salaries increase \$306,900 due to a staffing increase in the Head Start division.
- A 2% wage increase is budgeted for all department staff for a total cost of \$81,900.
- Other contractual expense decrease of \$105,900 due to a reduction in funding.
- Tenant based assistance decrease of \$124,800 due to the City ending its participation as the local administering agency for the Rental Housing Support program.
- Homeless prevention decrease of \$211,800 due to the City ending its participation as the local administering agency for the Rental Housing Support program.

Human Services

Information and Statistics

City of Rockford, Illinois Head Start Program History 2002-2011

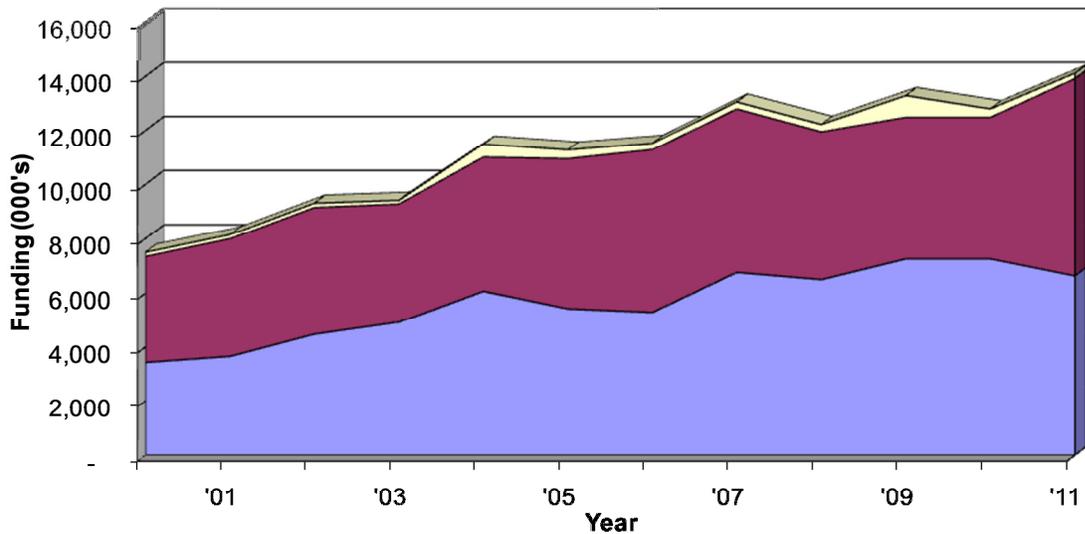


Source: Human Services Department

The Head Start Program is aimed at meeting the educational, social, health, and emotional needs of low-income preschool children and their families in Winnebago County. The program has four different service options which consist of home base schooling, students in part day classes, students in a full-time day setting, and family plus. In 2011, the Human Services Department provided the program to over 797 children. The program estimates an enrollment of 828 in 2012.

Human Services

City of Rockford, Illinois Human Services Department Funding Level History 2000-2011



Funding for this department changes on a yearly basis. The major funding source in 2011 was the State government at 66%, the Federal government at 34%, and the City contributing less than 1.0% of total funding. In 2013, funding from the Federal government is expected to decrease by an unknown amount. The City's contribution will cease for 2013.

Capital Equipment

For 2013, no capital items are budgeted.

Five Year Financial Forecast

The 2014-2018, five year, financial forecast assumes that all grants and other funding sources for Human Services will remain stable. From year to year, increases and decreases have fluctuated between five and 30 percent. The instability of year to year funding levels is due to the greater than 95 percent dependence each year on state and federal funding. Since levels of expenditures are tied directly to the amount allocated by outside funding sources, both revenue and expenditures are projected at the same amount for five years.

Human Services Department 2014-2018 Financial Forecast (in 000's)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$13,630,884	\$13,630,884	\$13,630,884	\$13,630,884	\$13,630,884
Expenditures	<u>13,630,884</u>	<u>13,630,884</u>	<u>13,630,884</u>	<u>13,630,884</u>	<u>13,630,884</u>
Excess (Deficit)	0	0	0	0	0
Beginning Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

Human Services

Personnel Review

HUMAN SERVICES				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERMANENT		\$3,787,971	\$4,094,863	\$306,892
TEMPORARY		8,200	0	(8,200)
MERIT		0	0	0
SALARY ADJUSTMENT		0	81,891	81,891
SALARY SAVINGS		<u>0</u>	<u>0</u>	<u>0</u>
TOTAL SALARIES		<u>\$3,796,171</u>	<u>\$4,176,754</u>	<u>\$380,583</u>
BENEFITS				
IMRF		\$789,034	\$873,032	\$83,998
UNEMPLOYMENT TAX		113,184	142,108	28,924
WORKMEN'S COMP		32,303	31,875	(428)
HEALTH INSURANCE		1,346,722	1,464,476	117,754
RETIREE HEALTH INSURANCE		16,848	16,848	0
LIFE INSURANCE		7,065	7,523	458
PARKING		<u>3,120</u>	<u>3,120</u>	<u>0</u>
TOTAL BENEFITS		<u>\$2,308,276</u>	<u>\$2,538,982</u>	<u>\$230,706</u>
TOTAL COMPENSATION		<u>\$6,104,447</u>	<u>\$6,715,736</u>	<u>\$611,289</u>
POSITION TITLE	POSTION RANGE	2012 <u>EMPLOYEES</u>	2013 <u>EMPLOYEES</u>	INCREASE/ (DECREASE)
EXECUTIVE DIRECTOR OF HUMAN SERVICE	E-14	1.00	1.00	0.00
HEADSTART DIRECTOR	E-11	1.00	1.00	0.00
EARLY HEAD START MANAGER	E-9	1.00	1.00	0.00
ADMIN & SUPPORT SERVICES MGR	E-9	1.00	1.00	0.00
FAMILY & COMMUNITY PARTNERSHIP MGR	E-9	1.00	1.00	0.00
CHILD DEVELOPMENT MANAGER	E-9	1.00	1.00	0.00
CSBG DIRECTOR	E-9	1.00	1.00	0.00
ENERGY PROGRAMS MANAGER	E-9	0.75	0.87	0.12
SITE MANAGER	E-8	3.00	3.00	0.00
FISCAL OFFICER	E-7	1.00	1.00	0.00
HEALTH SERVICES MANAGER	E-7	1.00	1.00	0.00
SPECIAL NEEDS/MENTAL HEALTH CO EX	E-7	1.00	1.00	0.00
WEATHERIZATION SPECIALIST	E-6	2.00	2.00	0.00
FAMILY RESOURCE WORKER	AF	7.96	8.19	0.23
HOUSING ADVOCATE	E-6	2.00	1.00	(1.00)
CSBG PROGRAM COORDINATOR	E-6	1.00	1.00	0.00
RECRUITMENT/ENROLLMENT SPECIALIST	E-6	1.00	1.00	0.00
OUTREACH WORKER	E-6	5.00	5.00	0.00
ENERGY SPECIALIST	E-6	1.75	1.87	0.12
TRANSPORTATION SPECIALIST	E-6	1.00	1.00	0.00
CS DRUG FREE COORDINATOR	E-6	1.00	1.00	0.00
HEAD TEACHER	AF	12.45	12.63	0.18
HOME VISITOR TEACHER	AF	1.52	8.54	7.02
SENIOR ACCOUNT CLERK	E-5	3.00	3.00	0.00
TRAINING COORDINATOR	E-5	1.00	1.00	0.00
ADMINISTRATIVE ASSISTANT	E-5	3.00	3.00	0.00
SENIOR OFFICE ASSISTANT	E-4	3.00	3.00	0.00
HEALTH TECHNICIAN	AF	0.98	1.00	0.02
ASSISTANT TEACHER	AF	11.25	11.58	0.33
PREVENTION PROGRAM SPECIALIST	E-3	1.00	1.00	0.00
PROGRAM DATA SPECIALIST	E-3	1.00	1.00	0.00
MAINTENANCE/REPAIR TECHNICIAN	SAFETY	1.00	0.63	(0.37)
OFFICE ASSISTANT	AF	3.75	4.00	0.25
BUS DRIVER	AF	9.61	9.23	(0.38)
COOK AIDE	AF	1.56	0.81	(0.75)
TOTAL PERSONNEL		<u>90.58</u>	<u>96.35</u>	<u>5.77</u>

Human Services

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Clients receiving weatherization assistance	459	317	250	300
Households Receiving Energy Assistance	13,000	9,228	6,180	6,000
Head Start program enrollment	721	797	828	800
Homeless Prevention clients served	1,267	1,251	500	500
Lead Abatement - GLO	46	0	0	0
Summer Food Program	4,400	2,500	2,500	2,500

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
HUMAN SERVICES**

		2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Permanent	3,868,275	3,787,971	2,140,077	4,094,864	306,893
71113	Temporary	-	-	4,257	-	-
71118	Severance Pay	5,583	-	-	-	-
71122	Overtime	924	-	38	-	-
71129	Salary Adjustment	-	-	-	81,892	81,892
71180	Employ Agency Wages	631,476	8,200	367,192	-	(8,200)
71251	IMRF	782,742	789,034	439,733	873,032	83,998
71253	Unemployment	116,997	113,184	123,915	142,108	28,924
71262	Workmen's Comp	30,655	32,303	18,919	31,873	(430)
71263	Health Insurance	1,308,237	1,346,722	769,619	1,464,476	117,754
71264	Life Insurance	7,488	7,065	4,062	7,524	459
71265	Retiree Insurance	16,006	16,848	-	16,848	-
71271	Parking Benefits	2,860	3,120	2,080	3,120	-
TOTAL PERSONNEL		6,771,243	6,104,447	3,869,892	6,715,736	611,289
72203	Wireless Expense	-	-	5,284	-	-
72211	Printing	16,730	16,535	4,731	16,535	-
72212	Postage	17,550	12,210	8,437	12,210	-
72213	Telephone	74,859	61,805	25,682	61,805	-
72214	Travel	10,518	6,630	7,402	6,630	-
72215	Dues	8,711	5,840	5,222	5,840	-
72216	Subscriptions	250	-	22	-	-
72217	Advertising	-	-	14	-	-
72218	Service Contracts	211,249	137,948	96,416	137,948	-
72219	Other Contractual Services	1,253,617	638,382	498,777	638,382	-
72231	Building Utilities	40,034	42,383	14,396	42,383	-
72232	Utilities-Street Lighting	4,168	2,058	1,025	2,058	-
72233	Snow Removal	1,829	3,679	2,075	3,679	-
72239	Taxes and Licenses	500	-	155	-	-
72251	Bldg Maint	28,393	31,792	13,628	31,792	-
72252	Maint - Equip	10,983	16,575	2,798	16,575	-
72255	Office Equipment	55	115	277	115	-
72257	Communication Equipment	-	-	110	-	-
72259	Contract Janitor	55,429	53,203	32,517	53,203	-
72263	Microcomputer	3,603	270,840	-	404,090	133,250
72264	Vehicle Repairs	108,425	108,550	80,776	110,000	1,450
72265	Fuel	56,447	39,810	31,409	59,130	19,320
72267	Risk Management	-	71,400	-	102,100	30,700
72271	Equipment Rental	17,949	9,780	14,096	9,780	-
72272	Building Rental	125,929	84,485	97,881	84,485	-
72282	Auditing	16,732	13,200	-	16,800	3,600
72284	Medical	24,625	11,080	11,696	11,080	-
72290	Education	181,647	120,732	53,360	120,732	-
72297	Garbage Collection	12,936	10,212	7,762	10,212	-
72299	Miscellaneous	4,569	-	8,802	-	-
TOTAL CONTRACTUAL		2,287,737	1,769,244	1,024,750	1,957,564	188,320
75509	Books	5,515	1,784	925	1,784	-

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
HUMAN SERVICES**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
75520 Small Tools	2,415	2,868	823	2,868	-
75521 Medicine and Drugs	311	-	-	-	-
75525 Food	381,390	383,294	113,217	383,294	-
75529 Other Supplies	30,378	46,944	17,628	46,944	-
75540 Maint - Building	5,215	1,902	741	1,902	-
75541 Grounds	(183)	1,830	668	1,829	(1)
75546 Janitor Supply	16,394	9,653	9,380	9,653	-
75550 W/Z Material	1,163,817	244,770	432,873	244,771	1
75560 Office Supply	54,779	53,990	19,803	53,990	-
75561 Classroom Supplies	53,876	-	25,867	-	-
75570 Computer - Non Cap	6,774	-	3,467	-	-
75590 Building Improv Non-Capital	9,875	6,265	-	6,265	-
75592 Equip. & Furniture Non-Cap	11,775	1,000	4,641	1,000	-
TOTAL SUPPLIES	1,742,331	754,300	630,033	754,300	-
76626 Targeted Rental Rehab	-	-	21,759	-	-
76629 Down Payment Assist	29,995	-	15,109	-	-
76701 Energy Assistance	3,144,058	2,782,108	2,569,890	2,782,108	-
76703 Shelter Assistance	117,048	459,275	98,804	459,275	-
76704 Emergency Energy Assistance	288,805	259,720	283,422	259,720	-
76707 Community Projects	3,500	4,000	-	4,000	-
76709 Loans & Grants	79,000	2,858	70,932	2,858	-
76719 Parent Involvement	35,280	9,737	27,822	9,737	-
76737 Tenant Based	130,302	-	37,385	-	-
76801 Rental Assistance	1,158,286	404,540	830,707	404,540	-
76802 Essential Services	636,295	336,420	231,666	336,420	-
76840 Homeless Admin.	7,507	-	2,736	-	-
76841 Homeless Operating	(17,583)	16,862	868	16,862	-
76842 Homeless Prevention	71,480	4,355	44,959	4,355	-
TOTAL OTHER	5,683,973	4,279,875	4,236,059	4,279,875	-
79920 Office Equipment	26,685	-	-	-	-
79922 Vehicles	-	-	28,715	-	-
TOTAL CAPITAL	26,685	-	28,715	-	-
TOTAL HUMAN SERVICES	16,511,969	12,907,866	9,789,449	13,707,475	799,609

Tuberculosis Sanitarium Fund

Mission Statement

It is the mission of the Tuberculosis Sanitarium fund to provide medical treatment for residents and non-residents that have tuberculosis.

Primary Functions → The primary function of the Tuberculosis Sanitarium fund is to provide active or preventative patients with oral medication on an outpatient basis.

Fund Highlights

Effective January 1, 1999, the City contracted with the Winnebago County Health Department for providing medical treatment for tuberculosis. The City levies property taxes to fund TB care with the actual provision of the service provided by the County Health Department. Patients receive tuberculosis screening, x-rays, medication and follow-up services. The City and the Tuberculosis Board provide all fiscal resources and policy oversight. In 2011, 1,471 city patients were served (2010 – 2,040, 2009 – 1,970). City patients have been 84% of the caseload for 2011 (2010 – 88%, 2009 – 86%).

Budget Summary

TUBERCULOSIS SANITARIUM BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$131,458	\$134,422	\$168,300	\$148,200	(\$20,100)
OTHER	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>\$0</u>
TOTAL	<u>\$131,458</u>	<u>\$134,422</u>	<u>\$168,300</u>	<u>\$148,200</u>	<u>(\$20,100)</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
TUBERCULOSIS SANITARIUM	\$186,370	\$153,966	\$166,617	\$146,718	(\$19,899)
SERVICE CHARGES	0	0	0	0	0
INTEREST INCOME	<u>313</u>	<u>0</u>	<u>1,800</u>	<u>2,600</u>	<u>800</u>
TOTAL	<u>\$186,683</u>	<u>\$153,966</u>	<u>\$168,417</u>	<u>\$149,318</u>	<u>(\$19,099)</u>

Budget Analysis

- The 2013 budget of \$148,200 is \$20,100 decrease due to a decreased number of residents being served.

Tuberculosis Sanitarium Fund

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes marginal change in the contracted service cost and property tax levy. Under statutory authority, the tax rate for this purpose cannot exceed five cents. The five year plan calls for using nine-tenths of a cent during this time period.

TUBERCULOSIS SANITARIUM FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$158	\$166	\$173	\$182	\$190
Expenditures	<u>156</u>	<u>163</u>	<u>172</u>	<u>180</u>	<u>189</u>
Excess (Deficit)	<u>2</u>	<u>3</u>	<u>1</u>	<u>2</u>	<u>1</u>
Beginning Balance	<u>81</u>	<u>74</u>	<u>67</u>	<u>59</u>	<u>50</u>
Ending Balance	<u>\$83</u>	<u>\$77</u>	<u>\$68</u>	<u>\$61</u>	<u>\$51</u>
Rate (Cents)	0.8	0.8	0.8	0.9	0.9

**TUBERCULOSIS CARE CENTER
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
<i>72218 Service Contracts</i>	131,458	168,300	30,707	168,300	-
<i>TOTAL CONTRACTUAL</i>	131,458	168,300	30,707	168,300	-
TOTAL TB CARE CENTER	131,458	168,300	30,707	168,300	-

LIBRARY
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	BUDGET CHANGE 13-12
71112 Permanent	3,016,948	3,127,085	1,791,142	3,127,085	-
71113 Temporary	-	20,000	-	20,000	-
71118 Severance Pay	21,413	50,000	42,640	50,000	-
71122 Overtime	-	-	-	-	-
71128 Bonus	-	51,000	-	51,000	-
71251 IMRF/FICA	609,133	676,576	373,046	676,576	-
71253 Unemployment Tax	16,880	25,000	-	25,000	-
71262 Worker's Compensation	12,830	14,816	9,234	14,816	-
71263 Health Insurance	476,682	601,557	244,052	601,557	-
71264 Life Insurance	1,034	1,500	452	1,500	-
71271 Parking Benefits	9,040	8,500	-	8,500	-
TOTAL PERSONNEL	4,163,960	4,576,034	2,460,566	4,576,034	-
72211 Printing	30,439	49,000	-	49,000	-
72212 Postage	14,895	26,300	5,491	26,300	-
72213 Telephone	12,782	74,793	57,172	74,793	-
72214 Travel	5,078	6,200	2,699	6,200	-
72215 Dues	6,973	5,845	1,762	5,845	-
72217 Advertising	1,394	6,300	68	6,300	-
72218 Service Contracts	658,411	794,623	368,338	794,623	-
72219 Other Contractual Service	-	-	-	-	-
72231 Building Utilities	70,887	100,000	30,471	100,000	-
72241 Insurance/Bonds	53,401	49,800	33,429	49,800	-
72251 Building Maintenance	70,674	80,950	29,288	80,950	-
72254 Vehicle Maintenance	-	-	57	-	-
72264 Vehicle Repairs	1,364	3,000	220	3,000	-
72265 Fuel	2,665	3,500	2,648	3,500	-
72270 Credit Card Service Fees	2,139	3,000	1,234	3,000	-
72272 Building Rental	156,624	161,995	94,467	161,995	-
72281 Legal	10,511	25,000	4,373	25,000	-
72282 Auditing	14,764	15,000	-	15,000	-
72284 Medical	160	250	-	250	-
72288 Miscellaneous	11,866	22,195	3,402	22,195	-
72290 Education	63,463	101,550	46,119	101,550	-
72299 Miscellaneous	2,416	3,000	1,461	3,000	-
TOTAL CONTRACTUAL	1,190,906	1,532,301	682,699	1,532,301	-
75507 Books-Youth Serv	121,545	115,332	60,819	115,332	-
75509 Books	1,305	2,000	140	2,000	-
75510 Books-Adult Serv	325,897	300,000	175,938	300,000	-
75511 Books-Rental	61,619	68,000	25,065	68,000	-
75514 Computer Media	241,389	280,000	188,008	280,000	-
75518 Recordings	156,278	206,364	96,286	206,364	-
75519 Films	99,923	158,410	48,041	158,410	-
75520 Small Tools	17,616	61,567	8,971	61,567	-
75524 Clothing	6,921	9,500	5,959	9,500	-
75528 Magazines-Films	50,177	60,000	52,644	60,000	-
75560 General Office	55,649	84,100	32,311	84,100	-
75570 Computer Non-Capital	126,140	85,700	37,631	85,700	-
75592 Equip & Furn Non-Capital	10,375	15,355	637	15,355	-
TOTAL SUPPLIES	1,274,834	1,446,328	732,450	1,446,328	-
76797 Group Programs Exh	57,063	88,875	60,668	88,875	-
77721 Transfer to Debt Service	239,519	-	-	-	-
78787 Montague/Air Handler-Principle	-	125,000	-	125,000	-
78837 Interest Inst Note	-	109,394	-	109,394	-
78890 Interest	-	5,000	-	5,000	-
TOTAL OTHER	296,582	328,269	60,668	328,269	-
79911 Building Improvements	130,324	125,000	4,100	125,000	-
79920 Office Equipment	64,691	27,498	939	27,498	-
79922 Vehicles-Op Equipment	30,168	-	-	-	-
79927 Computer Software	1,875	19,500	-	19,500	-
79928 Computer Hardware	66,194	68,000	19,019	68,000	-
TOTAL CAPITAL	293,252	239,998	24,058	239,998	-
TOTAL LIBRARY	7,219,534	8,122,930	3,960,441	8,122,930	-

Rockford Mass Transit District Subsidy

Mission Statement

The City, along with Federal and State governments, finance the operating deficits of the Rockford Mass Transit District (RMTD) so that it can provide public transit service to city residents.

Primary Functions → The primary function of the Rockford Mass Transit District is to provide city residents transit service from 5:00 a.m. to 12:00 a.m. Monday through Friday and 5:30 a.m. to 7:00 p.m. Saturday. Until 7:00 p.m., the service is provided through eleven routes; after that hour, the routes are combined into five to provide evening service with one-hour headways until 11:45 p.m. A final non-scheduled bus then takes all remaining passengers home from the Transfer Center. Special services are also offered on an as-need basis. In addition to offering wheelchair accessible service on all routes, the District also provides demand ride and subscription services to disabled and elderly residents. The District also provides service to Machesney Park and Loves Park, for which it is reimbursed.

Budget Summary

ROCKFORD MASS TRANSIT DISTRICT SUBSIDY BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
CONTRACTUAL	\$1,524,000	\$1,524,000	\$1,274,000	\$1,274,000	\$0
TOTAL	\$1,524,000	\$1,524,000	\$1,274,000	\$1,274,000	\$0
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
GENERAL REVENUES	\$1,524,000	\$1,524,000	\$1,274,000	\$1,274,000	\$0
TOTAL	\$1,524,000	\$1,524,000	\$1,274,000	\$1,274,000	\$0

Budget Analysis

- The 2013 RMTD budget, July 1, 2012, to June 30, 2013, proposes spending \$13,866,043, the same amount as the 2012 budget.
- Operating revenue from the District is estimated at \$1,710,563. Overall, District revenues account for 14% of the necessary funding with the remaining \$12,155,480 (86%) being provided by the Federal Government, the State of Illinois, and area municipalities.

Rockford Mass Transit District Subsidy

Five Year Financial Forecast

The City is committed to financing the operating deficit remaining after Federal and State subsidies have been received. Given the uncertainty of Federal funding, subsidy forecasts are hard to project. However, assuming expenditure increases, stagnant fare box income, decreasing Federal funding, State grants at 55% of expenditures, and that the City would assume the remaining deficits, the following forecast is projected.

The last fare increase was from \$1.00 to \$1.50 in 2009.

ROCKFORD MASS TRANSIT CITY SUBSIDY 2014-2018 FINANCIAL FORECAST (IN 000'S) (CITY FISCAL YEAR)					
	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
CITY SUBSIDY	\$1,570	\$1,617	\$1,666	\$1,716	\$1,768

ROCKFORD MASS TRANSIT CITY SUBSIDY 2014-2018 FINANCIAL FORECAST (IN 000'S) (RMTD FISCAL YEAR)					
	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
REVENUES					
FEDERAL	\$953	\$1,060	\$1,060	\$1,065	\$1,065
STATE	10,289	10,754	11,242	11,753	12,288
LOCAL	447	463	479	496	513
CITY	1,854	1,912	2,085	2,264	2,448
OTHER	110	114	118	122	126
	<u>13,653</u>	<u>14,303</u>	<u>14,984</u>	<u>15,700</u>	<u>16,440</u>
DISTRICT	<u>1,748</u>	<u>1,800</u>	<u>1,854</u>	<u>1,909</u>	<u>1,967</u>
	<u>15,401</u>	<u>16,103</u>	<u>16,838</u>	<u>17,609</u>	<u>18,407</u>
EXPENDITURES	<u>15,829</u>	<u>16,545</u>	<u>17,295</u>	<u>18,081</u>	<u>18,904</u>
EXCESS(DEFICIT)	<u>(428)</u>	<u>(442)</u>	<u>(457)</u>	<u>(472)</u>	<u>(497)</u>
BEGINNING BALANCE	<u>1,405</u>	<u>1,098</u>	<u>780</u>	<u>336</u>	<u>(237)</u>
ENDING BALANCE	<u>\$977</u>	<u>\$656</u>	<u>\$323</u>	<u>(\$136)</u>	<u>(\$734)</u>

Rockford Mass Transit District Subsidy

Performance Measurements

Rockford Mass Transit District Ridership 2000-2011

<u>RMTD FY</u>	<u>Daytime Ridership</u>	<u>Change</u>	<u>% Change</u>	<u>Evening Ridership</u>	<u>Change</u>	<u>%Change</u>	<u>Paratransit Ridership</u>	<u>Change</u>	<u>%Change</u>
2000	1,392,464			94,123			39,938		
2001	1,442,332	49,868	3.6%	90,791	(3,332)	-3.5%	50,051	10,113	25.3%
2002	1,435,963	(6,369)	-0.4%	85,492	(5,299)	-5.8%	71,023	20,972	41.9%
2003	1,308,266	(127,697)	-8.9%	82,163	(3,329)	-3.9%	100,921	29,898	42.1%
2004	1,229,769	(78,497)	-6.0%	67,107	(15,056)	-18.3%	100,135	(786)	-0.8%
2005	1,188,764	(41,005)	-3.3%	70,871	3,764	5.6%	95,027	(5,108)	-5.1%
2006	1,311,275	122,511	10.3%	85,150	14,279	20.1%	76,371	(18,656)	-19.6%
2007	1,401,914	90,639	6.9%	96,276	11,126	13.1%	76,396	25	0.0%
2008	1,542,965	141,051	10.1%	111,421	15,145	15.7%	91,508	15,112	19.8%
2009	1,632,929	89,964	5.8%	115,074	3,653	3.3%	98,031	6,523	7.1%
2010	1,435,753	(197,176)	-12.1%	86,961	(28,113)	-24.4%	78,119	(19,912)	-20.3%
2011	1,642,264	206,511	14.4%	8,926	(78,035)	-89.7%	76,408	(1,711)	-2.2%

**ROCKFORD MASS TRANSIT DISTRICT
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12	BUDGET
<i>72299 Miscellaneous Contractual</i>	1,524,000	1,274,000	743,167	1,274,000	0	
<i>TOTAL CONTRACTUAL</i>	1,524,000	1,274,000	743,167	1,274,000	0	
TOTAL RMTD	1,524,000	1,274,000	743,167	1,274,000	0	

Police Department

Mission Statement

It is the mission of the Police Department to provide for the safety and welfare of the people of Rockford so they may enjoy the benefits of being secure in their person, property, and state of mind. The Department accomplishes this mission by enforcing the law, preserving peace, preventing crime, controlling traffic, and protecting civil rights and liberties.

Primary Functions → There are three primary operating bureaus within the Police Department.

- **Administrative Services** → Administrative Services is responsible for functions in divisions that include evidence and property control, administration, fiscal services, recruiting, research and development, personnel, and records.
- **Field Services** → Field Services is responsible for overseeing the patrol division and a variety of special and operational functions, which includes the K9, school liaison unit, traffic division, support services, M3 Team, and Community Services.
- **Investigative Service** → The Investigative Services Bureau is responsible for training, professional standards, and investigative services in divisions including youth investigations, victim/witness assistance, adult investigations, narcotics, and scientific services.

2012 Accomplishments →

- Reduction in overall crime by 3.5% for the year.
- Reorganized internal staffing to better align resources with the Police Pilot District and support continuous process improvement.
- Leveraged resources and created an additional reduction of the Police Department's overall operating budget by 2% for 2011.
- Continued CompStat meetings to the department as a means to improve process improvement and support crime reduction.
- Completed a new Strategic Plan by a committee composed of a cross section of employees within the department.
- Expanded the Volunteer program within the Police Department to further engage the community in crime reduction.
- For the fifth consecutive year, the Alliance Against Intoxicated Motorists listed the Police Department first in Illinois in 2011 for DUI arrests.
- Received Championship Class: 3rd Place in the 2011-2012 Illinois Traffic Safety Challenge.
- Received 3rd Place in the International Association of Chiefs of Police National Law Enforcement Challenge.

Police Department

2013 Goals and Objectives →

- Expand Closed Circuit Police Cameras, adding at minimum three more cameras.
- Reduce Graffiti incidents by 5%.
- Reduce Group A Offenses by 5%.
- Develop Geographic Tracking Program for collection of data.
- Maintain Citizen, Junior, & Youth Academies.
- Enhance training by including more community policing strategies.
- Establish reporting system for the Pilot Geographic Policing District.
- Maintain staff attendance at neighborhood events.

Budget Summary

POLICE DEPARTMENT BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$34,713,877	\$36,122,362	\$36,298,963	\$37,063,092	\$764,129
CONTRACTS	6,998,266	7,304,682	7,678,800	7,916,290	237,490
SUPPLIES	737,360	704,862	803,280	803,280	0
CAPITAL	40,000	0	0	366,308	366,308
TOTAL	\$42,489,503	\$44,131,906	\$44,781,043	\$46,148,970	\$1,367,927

STAFFING REVIEW					
	2010	2011	2012	2013	INCREASE (DECREASE)
SWORN	282.00	282.00	282.00	282.00	0.00
CIVILIAN	36.00	36.00	36.00	37.00	1.00
TOTAL	318.00	318.00	318.00	319.00	1.00

FUNDING SOURCE					
	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
POLICE PROTECTION	\$9,806,120	\$10,047,794	\$9,840,600	\$9,999,000	21.7
POLICE PENSION	2,766,826	4,316,216	3,710,300	3,673,220	8.0
SCHOOL CROSSING GUARD	10,344	10,001	10,000	11,349	0.0
FRINGE BENEFIT REIMBURS	1,847,097	1,443,815	1,642,325	1,731,740	3.8
911 FRINGE BENEFIT REIMBI	441,175	457,330	449,454	469,764	1.0
REPLACEMENT TAXES	978,600	862,600	790,300	922,800	2.0
MAGISTRATE FINES	631,455	586,269	640,000	600,000	1.3
FEES	361,955	341,474	351,000	856,000	1.9
PARKING SYSTEM PURCHASE	123,310	129,500	124,600	123,700	0.3
FROM OTHER GOVERNMENTS	1,057,416	1,038,715	1,939,656	2,069,656	4.5
PROPERTY FORFEITURES	9,624	200,816	26,500	51,500	0.1
GENERAL REVENUES	24,455,581	24,697,376	25,256,308	25,640,241	55.6
TOTAL	\$42,489,503	\$44,131,906	\$44,781,043	\$46,148,970	100.0

Police Department

Budget Analysis

- Salaries increase \$325,300 due to general step and longevity increases as well as adding a Director of Administrative Services position.
- A 2% wage increase is budgeted for all department staff for a total cost of \$439,500.
- Overtime expense reduces \$367,700 due to the filling of vacant patrol officer positions and the resultant reduction in hirebacks.
- Building maintenance increase of \$383,200 due to the need for a new location for the Police Department and general increases for service.
- Estimated capital expenses of \$366,300 cover lease payments for vehicles purchased in 2012.

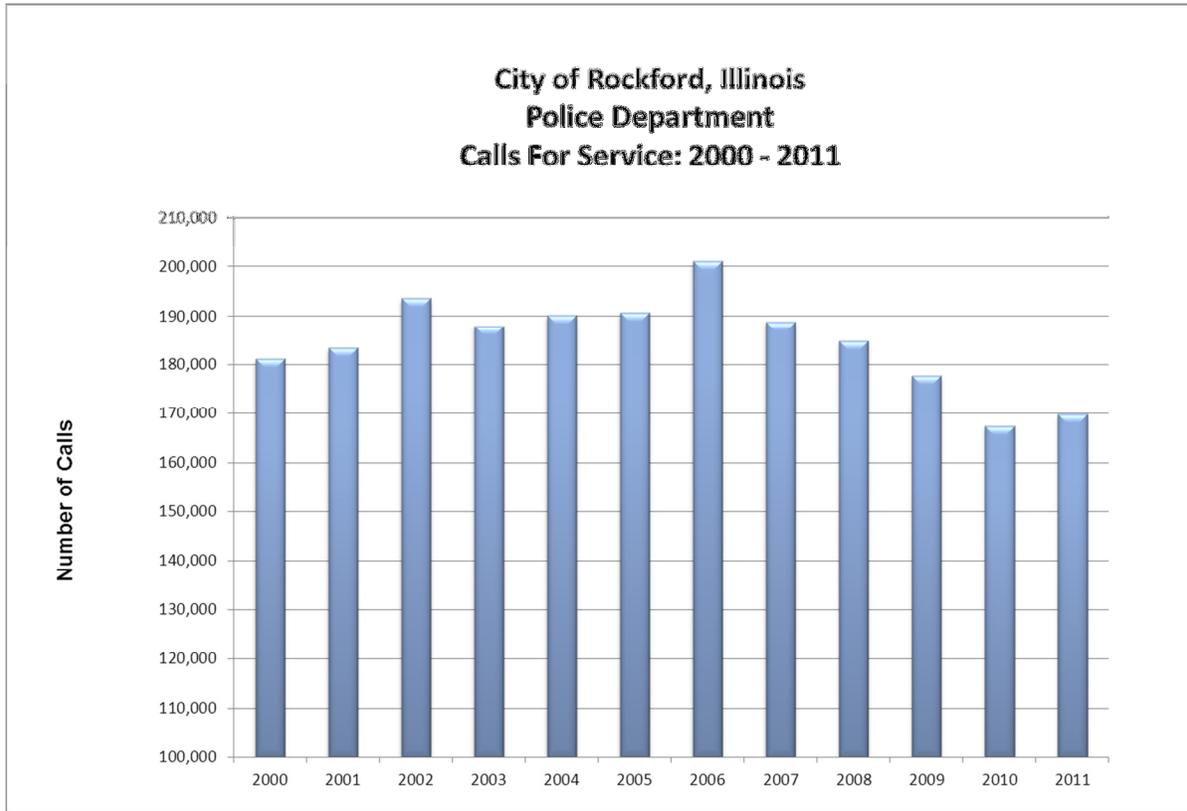
Capital Equipment

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Squad Car	27	32,800	885,600
Unmarked Car	14	26,700	373,800
SUV	1	32,600	32,600
Squad Roll	2	32,000	64,000
Van	<u>5</u>	24,000	<u>120,000</u>
Total	49		\$1,476,000

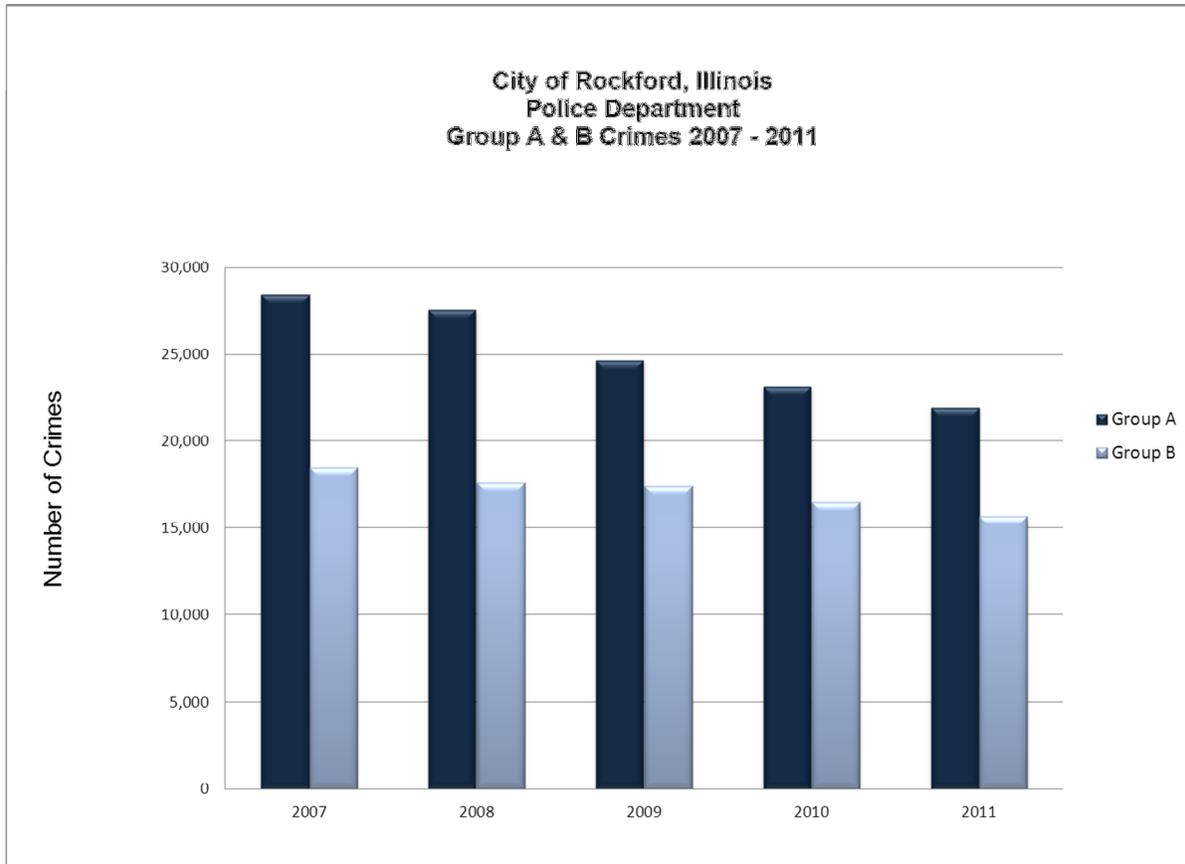
Police Department

Information and Statistics



The chart above demonstrates slight variations in calls over the past ten years with slight spikes in 2002 and 2006. Calls are down by 6.3% since 2000. Calls in 2012 are estimated to slightly increase from 2011.

Police Department



As of 2006, the Rockford Police Department records and reports data based on NIBRS (National Incident-Based Reporting System) guidelines. NIBRS criminal offenses are made up of Group A and Group B crimes that include homicide, robbery, assault, burglary, weapon offenses, drug related offenses, criminal damage to property, prostitution, forgery and theft. As of 2011, the amount of Group A Crimes have decreased by 31% to 21,969 and Group B crimes have decreased by 18.2% to 15,667. Crimes in 2012 are estimated to decrease from 2011's total.

Police Department

Personnel Review

POLICE DEPARTMENT				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		BUDGET	BUDGET	
PERMANENT		\$21,647,098	\$21,972,397	\$325,299
TEMPORARY		0	20,000	20,000
OVERTIME		2,328,505	1,960,808	(367,697)
OUT OF CLASS		93,681	84,006	(9,675)
MERIT PAY		0	0	0
SALARY SAVINGS		0	0	0
SALARY ADJUSTMENT		0	439,445	439,445
TOTAL SALARIES		\$24,069,284	\$24,476,656	\$407,372
BENEFITS				
POLICE PENSION		\$4,463,520	\$4,770,072	\$306,552
OTHER PENSION EXPENSE		0	0	0
ILLINOIS MUNICIPAL RETIREMENT		727,854	764,465	36,611
UNEMPLOYMENT TAX		57,240	57,420	180
WORKER'S COMPENSATION		857,231	909,855	52,624
HEALTH INSURANCE		5,767,242	5,675,059	(92,183)
RETIREE HEALTH / DISABILITY		67,392	101,088	33,696
RETIREE HEALTH INSURANCE		16,848	17,680	832
LIFE INSURANCE		24,804	24,882	78
CLOTHING ALLOWANCE		77,580	80,000	2,420
PARKING BENEFITS		169,968	185,915	15,947
TOTAL BENEFITS		\$12,229,679	\$12,586,436	\$356,757
TOTAL COMPENSATION		\$36,298,963	\$37,063,092	\$764,129
POSITION TITLE	POSTION RANGE	2012 EMPLOYEES	2013 EMPLOYEES	INCREASE/ (DECREASE)
SWORN				
CHIEF	PS-4	1.00	1.00	0.00
DEPUTY CHIEF	PS-3	3.00	2.00	(1.00)
ASSISTANT DEPUTY CHIEF	PS-2	1.00	2.00	1.00
LIEUTENANT	PS-1	8.00	8.00	0.00
SERGEANT	P-3	32.00	32.00	0.00
INVESTIGATOR	P-2	81.00	81.00	0.00
PATROL OFFICER	P-1	156.00	156.00	0.00
CIVILIAN				
DIRECTOR OF ADMINISTRATION SERVICES	PS-2	0.00	1.00	1.00
CRIME ANALYST	E-8	2.00	2.00	0.00
FINANCIAL ANALYST	E-8	1.00	1.00	0.00
RECORDS SUPERVISOR	E-7	1.00	1.00	0.00
INFORMATION SYSTEMS TECH	E-7	2.00	2.00	0.00
FISCAL SERVICES SPECIALIST	E-6	1.00	1.00	0.00
SENIOR ADMINISTRATIVE ASST	E-6	2.00	2.00	0.00
ASSET SEIZURE ANALYST	E-6	1.00	1.00	0.00
ADMINISTRATIVE ASST	E-5	1.00	1.00	0.00
SENIOR OFFICE ASSISTANT	E-4	0.50	0.50	0.00
CITIZEN REPORTING ASSIST.	E-4	5.50	5.50	0.00
POLICE TECHNICIAN	A-22	5.00	5.00	0.00
PROPERTY & EVIDENCE TECHNICIAN	A-22	3.00	3.00	0.00
SENIOR CLERK	A-19	3.00	3.00	0.00
DATA ENTRY OPERATOR	A-18	8.00	8.00	0.00
REDUCTION IN FORCE				
TOTAL PERSONNEL		318.00	319.00	1.00

Police Department

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Total calls for service	167,305	169,759	170,661	162,184
Total Group A Offenses	24,098	21,969	21,537	19,054
Number of alarms	5,561	5,202	5,153	4,932
Arrests	13,186	11,893	11,421	10,735
Clearance Rate	34.70%	35.90%	36.60%	35.95%

**POLICE DEPARTMENT
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	BUDGET CHANGE 13-12
71112 Permanent	20,896,219	21,647,098	12,407,059	21,972,397	325,299
71113 Temporary	8,058	-	3,613	20,000	20,000
71118 Severance Pay	232,028	-	94,479	-	-
71119 Out of Class Pay	14,895	11,296	7,740	11,621	325
71122 Overtime	2,839,107	2,328,505	1,741,550	1,960,808	(367,697)
71128 Salary Savings	-	-	-	439,445	439,445
71133 Weekend On-Call Pay	54,550	49,900	28,205	39,900	(10,000)
71180 Employee Agency Wages	4,343	-	24,844	-	-
71230 Pension Contribution	5,175,316	4,463,520	3,112,378	4,770,072	306,552
71251 IMRF	628,785	727,854	375,846	764,465	36,611
71253 Unemployment Tax	91,885	57,240	44,338	57,420	180
71262 Worker's Comp	723,145	857,231	513,715	909,855	52,624
71263 Health Insurance	5,190,784	5,834,634	3,161,572	5,776,147	(58,487)
71264 Life Insurance	24,003	24,804	13,881	24,882	78
71265 Retiree Health	53,636	16,848	9,828	17,680	832
71271 Parking	158,280	169,968	99,148	185,915	15,947
71272 Clothing Allowance	-	77,580	82,442	80,000	2,420
71290 Pager Pay	27,328	32,485	14,628	32,485	-
TOTAL PERSONNEL	36,122,362	36,298,963	21,735,266	37,063,092	764,129
72203 Wireless Expense	2,528	49,169	29,943	63,760	14,591
72211 Printing	33,668	36,400	19,742	35,400	(1,000)
72212 Postage	9,504	22,263	7,498	22,263	-
72213 Telephone	161,628	186,566	45,315	186,566	-
72214 Travel	30,915	38,134	29,169	41,134	3,000
72215 Dues	5,700	5,141	2,937	5,141	-
72216 Subscriptions	7,827	5,166	596	5,166	-
72217 Advertising	554	1,500	819	1,500	-
72218 Service Contracts	125,568	333,181	119,933	334,481	1,300
72219 Other Office Contracts	28,172	83,275	99,368	115,275	32,000
72231 Utilities for Impound Garage	7,003	20,500	2,420	20,500	-
72241 Insurance	352	290	295	290	-
72251 Building Maintenance	328,530	300,000	175,000	683,200	383,200
72252 Equipment Maintenance	5,291	8,530	4,316	8,530	-
72254 Impound Expense	105,549	138,000	72,940	106,000	(32,000)
72255 Office Equipment Expense	580	2,470	-	1,970	(500)
72257 Communications	128,857	77,135	79,458	52,844	(24,291)
72259 Contracted Janitor Serv.	3,485	-	-	-	-
72263 Microcomputer	1,059,880	912,740	532,432	951,340	38,600
72264 Vehicle Repair	599,421	770,000	358,576	750,000	(20,000)
72265 Fuel	714,678	723,780	420,216	710,810	(12,970)
72266 Vehicle Vendor Service	11,316	27,500	3,097	25,500	(2,000)
72267 Risk Management	328,370	379,310	221,264	344,850	(34,460)
72269 911 Communications	3,330,000	3,401,055	1,653,232	3,283,675	(117,380)
72270 Credit Card Service Fee	706	-	1,707	-	-
72271 Equipment Rental	37,354	15,750	22,495	27,950	12,200
72272 Rental of Impound Garage	33,000	27,800	16,217	28,300	500
72284 Medical	23,994	30,750	17,889	30,750	-
72290 Education/Training	57,690	57,600	91,598	60,600	3,000
72299 Miscellaneous	122,562	24,795	27,322	18,495	(6,300)
TOTAL CONTRACTUAL	7,304,682	7,678,800	4,055,794	7,916,290	237,490
75509 Books	4,417	3,810	2,728	5,810	2,000
75520 Small Tools	103,316	213,629	39,623	179,175	(34,454)
75521 Medicine/Drugs	5,510	12,200	4,935	11,200	(1,000)
75524 Clothing	115,023	224,035	31,927	224,785	750
75525 Food	9,201	7,800	4,322	7,800	-
75527 Linens & Laundry	706	750	190	750	-
75529 Other Supplies	25	-	-	-	-
75545 Communications	3,098	12,250	3,317	12,352	102
75546 Janitorial Supplies	828	1,500	706	900	(600)
75560 General Office	49,456	53,450	19,471	65,450	12,000
75561 Photograph/Reproduction	5,464	7,815	551	7,815	-
75570 Computer, Non-Capital	211,976	77,200	43,511	71,050	(6,150)
75591 Improvements, Non-Capital	-	-	-	26,000	26,000
75592 Equipment & Furniture, Non-	195,842	188,841	7,471	190,193	1,352
TOTAL SUPPLIES	704,862	803,280	158,752	803,280	-
79922 Vehicles-Operating Equip	-	-	124,818	366,308	366,308
TOTAL CAPITAL	-	-	124,818	366,308	366,308
TOTAL POLICE DEPARTMENT	44,131,906	44,781,043	26,074,630	46,148,970	1,367,927

Fire Department

Mission Statement

The mission of the Rockford Fire Department is to protect the lives and property of our citizens and customers by ensuring “Excellence in Services” in fire protection and life safety.

Primary Functions → There are three primary operating divisions within the Fire Department.

- **Administrative Services** → Administrative Services provides the administrative and technical services that are necessary to support the operations of the Department.
- **Operations** → The Operations Division is primarily responsible for the eleven fire stations located throughout the City, ambulance service, and disaster management.
- **Fire Prevention/Training** → The Fire Prevention/Training Division is comprised of activities aimed at the prevention of emergencies and fires and administering the Department’s training program. This division is responsible for inspection and code enforcement, arson investigation, public education, and training.

2012 Accomplishments →

- Received approval for a paramedic class beginning in September.
- Placed two new quints in service with an additional quint and engine arriving before the end of the year.
- The Wellness Committee continued work toward the implementation of the "Wellness and Fitness" initiative.
- Inventoried resources to maintain compliance with the National Incident Management System (NIMS).
- Received approval to begin a recruit class of 12 firefighters.
- Participated in the "Fun Safe Summer Events" to promote fire prevention activities.
- Continued to explore grant programs to fund Department initiatives.
- Mutual Aid agreements were reviewed and revised.
- Continued on-going efforts to provide personal and professional development programs for Officers and Driver/Engineers.
- Received a training grant for the purchase of a flashover simulator.
- Integrated Department resources to assist other City departments and private sector entities in an effort to improve the response to natural disasters.
- Worked with the Winnebago County Health Department and other outreach services to create a healthier Community.
- Reviewed and implemented revisions to the Department's deployment of resources.
- Maintained the Blue Seal of Excellence Recognition from the National Institute for Automotive Service Excellence for Department Maintenance and Repair Shop personnel.

Fire Department

- Maintained Emergency Vehicle Technician (EVT) certifications for all Department Maintenance and Repair Shop personnel.
- Continued renovations of the sprinkler room at the fire burn tower through donated supplies and labor.
- Participated in an Aircraft Rescue and Fire Fighting (ARFF) live burn exercise at O'Hare Airport.
- Sponsored and attended a Friday Night Flix movie, provided fire prevention education to movie attendees.
- Created Incident Action Plans for the following: Airfest, Extreme Weather (snow, heat and tornado) and the Bishop's Ordination.
- Instituted an Officer Development program.
- Participated in a full scale exercise with the Water Department.
- Purchased new Motorola Radios with increased capacity and safety features.
- Completed scenario based training with District Chiefs.
- Initiated morning briefings with the District Chiefs on a daily basis.
- Initiated the Stat-X extinguishment deployment system to all non-firefighting units.
- Received a physicals grant for all members of the Department.
- Instituted an additional ambulance to respond to the increased number of calls during the heat emergency.

2013 Goals and Objectives →

- Review and revise the Department's strategic plan.
- Establish an Accreditation working group to maintain necessary requirements for accredited status.
- Pursue grant funding opportunities to even further promote the Department's mission and goals.
- Manage the effects of the South Main Street reconstruction and its impact on the Department.
- Maintain Department's NIMS compliance.
- Conduct Fire Apparatus Engineer certification course as part of effort to maintain a Driver/Engineer eligibility list.
- Continue Department-wide effort to improve the wellness and fitness of personnel.
- Evaluate Department to improve the delivery of services and improve response times.
- Continue to work with other City departments to ensure the needs of the community are met during a disaster.
- Maintain the ASE "Blue Seal of Excellence" and certifications relevant to EVT Certifications.
- Expand public education activities to reduce injury and property loss due to fires.
- Implement on-line training programs for all Department Personnel.
- Complete specifications for the purchase of four new engines and three new ambulances.
- Complete specifications for the purchase of pool cars and SUV's.

Fire Department

- Finalize inventories for all department response vehicles.
- Continue updating all departmental SOP's.
- Formalize the Officer Safety Program.
- Complete narrowbanding on all Department radios as well as Dispatch.

Budget Summary

FIRE DEPARTMENT BUDGET SUMMARY					
APPROPRIATION	<u>2010 ACTUAL</u>	<u>2011 ACTUAL</u>	<u>2012 BUDGET</u>	<u>2013 BUDGET</u>	<u>INCREASE (DECREASE)</u>
PERSONNEL	\$34,588,526	\$35,518,518	\$35,570,898	\$36,689,560	\$1,118,662
CONTRACTUAL	2,793,097	3,226,577	2,856,132	3,056,648	200,516
SUPPLIES	741,002	762,429	619,070	619,070	0
OTHER	3,657	0	0	0	0
CAPITAL	<u>407,795</u>	<u>225,843</u>	<u>0</u>	<u>428,492</u>	<u>428,492</u>
TOTAL	<u>\$37,883,800</u>	<u>\$39,733,367</u>	<u>\$39,046,100</u>	<u>\$40,793,770</u>	<u>\$1,747,670</u>

STAFFING REVIEW	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>INCREASE (DECREASE)</u>
SWORN	274.00	271.00	273.00	273.00	0.00
CIVILIAN	<u>8.00</u>	<u>7.00</u>	<u>7.00</u>	<u>7.00</u>	<u>0.00</u>
TOTAL	<u>282.00</u>	<u>278.00</u>	<u>280.00</u>	<u>280.00</u>	<u>0.00</u>

FUNDING SOURCE	<u>2010 ACTUAL</u>	<u>2011 ACTUAL</u>	<u>2012 BUDGET</u>	<u>2013 BUDGET</u>	<u>INCREASE (DECREASE)</u>
PROPERTY TAXES					
FIRE PROTECTION	\$9,806,120	\$10,047,794	\$9,940,000	\$9,021,000	(\$919,000)
FIRE PENSION	4,483,682	5,200,985	4,175,600	4,876,425	700,825
FRINGE BENEFIT REIMBURSEMENT	2,099,735	1,508,502	1,688,576	1,762,850	74,274
911 FRINGE BENEFIT REIMBURSEMENT	140,525	158,047	150,606	188,258	37,652
REPLACEMENT TAX	1,174,300	1,035,100	948,400	0	(948,400)
AMBULANCE CHARGES	4,000,194	4,164,967	4,000,000	4,000,000	0
OTHER CHARGES	137,911	147,161	130,000	135,000	5,000
AIRPORT REIMBURSEMENT	905,925	1,071,316	905,800	1,143,000	237,200
GENERAL REVENUES	<u>15,135,408</u>	<u>16,399,495</u>	<u>17,107,118</u>	<u>19,667,237</u>	<u>2,560,119</u>
TOTAL	<u>\$37,883,800</u>	<u>\$39,733,367</u>	<u>\$39,046,100</u>	<u>\$40,793,770</u>	<u>\$1,747,670</u>

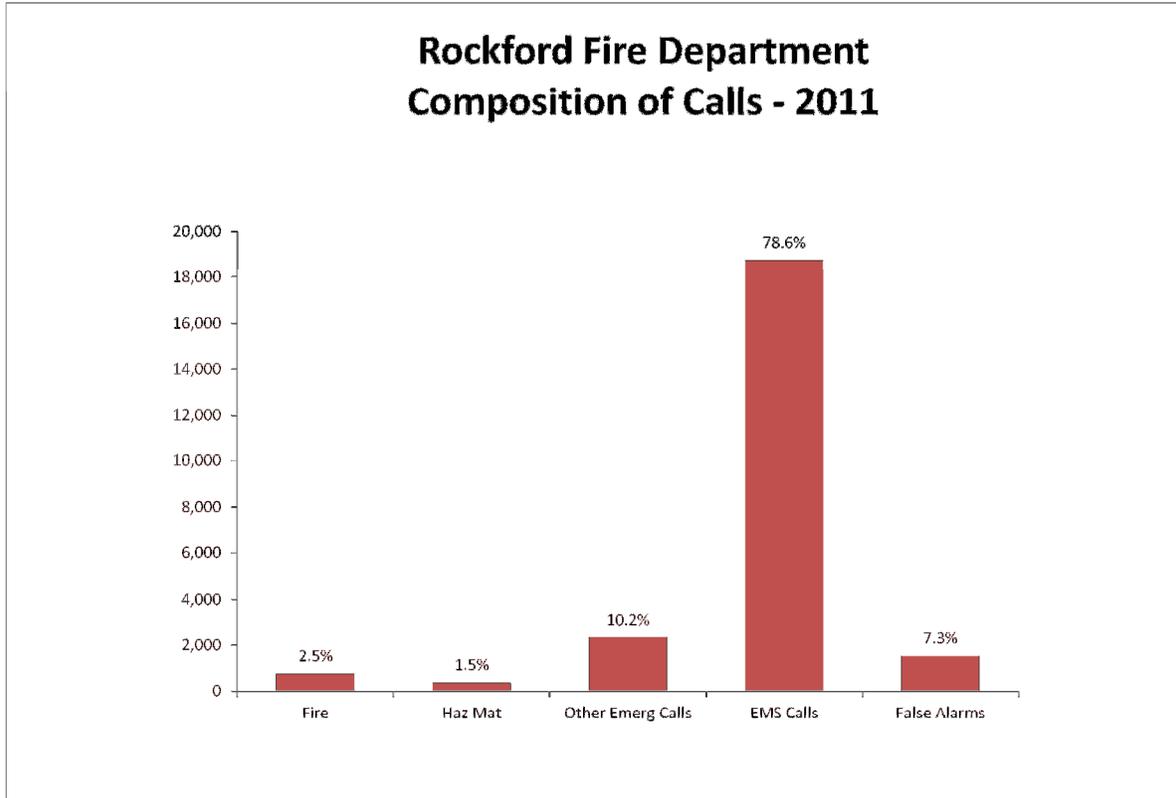
Budget Analysis

- Salaries increase \$646,000 due to general step and longevity increases and staff movement due to retirements.
- A 2% wage increase is budgeted for all department staff for a total cost of \$413,600.
- Overtime expense reduces \$973,000 due to the filling of vacant firefighter positions and the resultant reduction in hirebacks.
- 911 dispatch charges increase \$187,900 due to reallocation of 911 staff and general cost increases in the division.

Fire Department

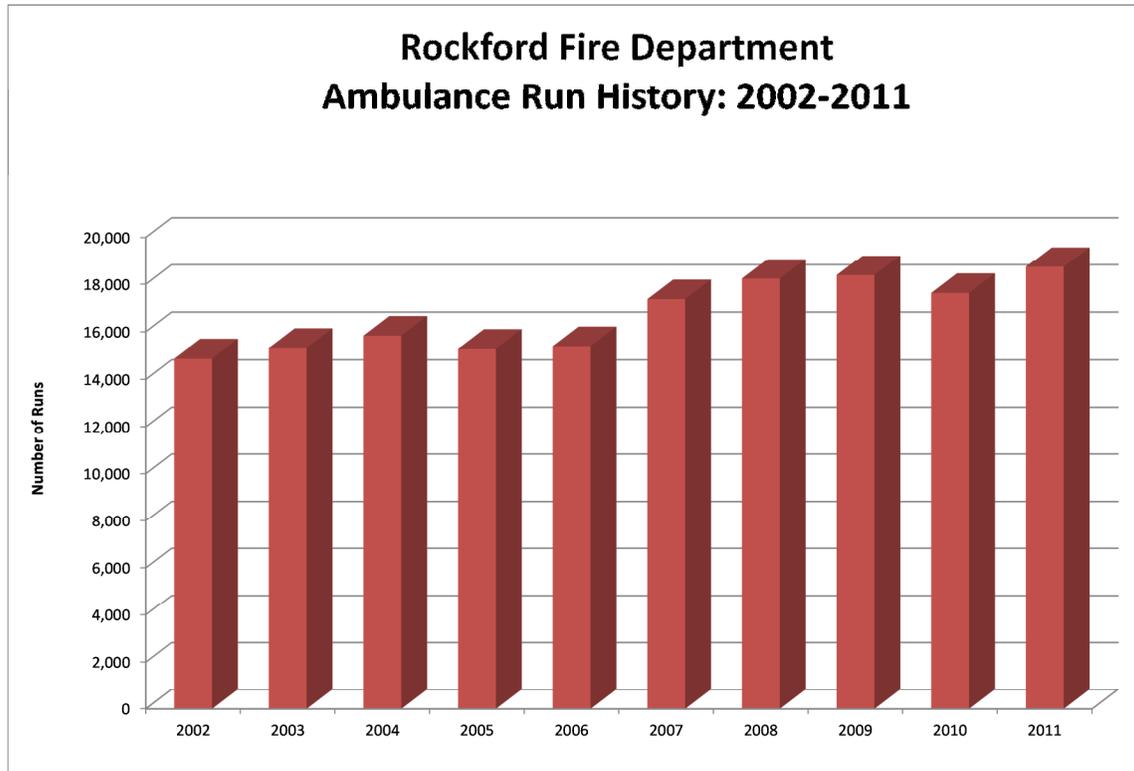
- Capital expenses of \$390,600 cover estimated lease payments for vehicles purchased in 2012.

Information and Statistics



In responding to calls, the Fire Department currently has eleven fire stations with thirteen fire companies – eight engines, three quints, two ladder companies, and two quick response vehicles, or QRV's – in addition to five ambulances.

Fire Department



Over the last ten years, calls have remained fairly steady at an average of 16,700, with variance from year to year.

Capital Equipment

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Ambulance	3	225,000	675,000
Engine	4	350,000	1,400,000
SUV	1	26,600	26,600
Unmarked squad	<u>2</u>	20,700	<u>41,400</u>
Total	10		\$2,143,000

Fire Department

Personnel Review

FIRE DEPARTMENT				
BENEFITS AND SALARIES	2012	2013	INCREASE/ (DECREASE)	
SALARY	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>	
PERMANENT	\$20,038,077	\$20,684,324	\$646,247	
OVERTIME	2,736,000	1,763,000	(973,000)	
SEVERANCE PAY	160,000	160,000	0	
OUT OF CLASSIFICATION PAY	167,000	167,000	0	
MERIT PAY	0	0	0	
SALARY SAVINGS	0	0	0	
SALARY ADJUSTMENT	0	413,687	413,687	
PAGER ALLOWANCE	<u>24,784</u>	<u>0</u>	<u>(24,784)</u>	
TOTAL SALARIES	<u>23,125,861</u>	<u>23,188,011</u>	<u>62,150</u>	
BENEFITS				
FIRE PENSION	\$5,082,279	\$5,776,061	\$693,782	
ILLINOIS MUNICIPAL RETIREMENT	379,757	389,003	9,246	
UNEMPLOYMENT TAX	48,600	50,400	1,800	
WORKMEN'S COMPENSATION	1,260,219	1,323,447	63,228	
HEALTH INSURANCE	5,391,256	5,670,080	278,824	
HEALTH INSURANCE/DISABILITY	75,816	75,816	0	
RETIREE HEALTH INSURANCE	126,360	132,862	6,502	
LIFE INSURANCE	21,060	21,840	780	
CLOTHING ALLOWANCE	<u>59,690</u>	<u>62,040</u>	<u>2,350</u>	
TOTAL BENEFITS	<u>12,445,037</u>	<u>13,501,549</u>	<u>1,056,512</u>	
TOTAL COMPENSATION	<u>35,570,898</u>	<u>36,689,560</u>	<u>1,118,662</u>	
	POSTION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	<u>RANGE</u>	<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	<u>(DECREASE)</u>
FIRE CHIEF	FS-4	1.00	1.00	0.00
DIVISION CHIEF	FS-3	3.00	3.00	0.00
DISTRICT CHIEFS	FS-2	6.00	6.00	0.00
EQUIPMENT/SAFETY MANAGER	FS-1	1.00	1.00	0.00
FIRE ANALYST	E-8	1.00	1.00	0.00
SENIOR ADMINISTRATIVE ASSISTANT	E-6	1.00	1.00	0.00
ADMINISTRATIVE ASSISTANT	E-5	1.00	1.00	0.00
CAPTAIN	F-6	18.00	19.00	1.00
LIEUTENANT	F-5	28.00	30.00	2.00
FIRE INSPECTOR	F-4	6.00	6.00	0.00
DRIVER ENGINEER	F-3	45.00	48.00	3.00
FIREFIGHTER	F-1	165.00	159.00	(6.00)
FIRE EQUIPMENT SPECIALIST	F-1	2.00	2.00	0.00
SENIOR CLERK	A-19	<u>2.00</u>	<u>2.00</u>	<u>0.00</u>
TOTAL PERSONNEL		<u>280.00</u>	<u>280.00</u>	<u>0.00</u>

Fire Department

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Total Calls for Service	22,839	23,737	24,501	25,419
Number of Fire Investigations	216	195	167	230
Total number of fire calls	564	753	724	868
Total number of ambulance runs	17,958	19,559	20,001	21,201

**FIRE DEPARTMENT
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Salaries Permanent	19,217,112	20,038,077	11,387,502	20,684,324	646,247
71113	Salaries Temporary	19,273	-	15,159	-	-
71118	Severance Pay	114,680	160,000	167,371	160,000	-
71119	Out Of Class Pay	136,434	167,000	82,757	167,000	-
71122	Salaries Overtime Perm	2,666,797	2,736,000	1,736,980	1,763,000	(973,000)
71129	Salary Adjustment	-	-	-	413,687	413,687
71180	Employee Agency	2,403	-	8,500	-	-
71230	Pension Contribution	6,231,869	5,082,279	3,842,562	5,776,061	693,782
71251	IMRF	377,413	379,757	234,408	389,003	9,246
71253	Unemployment	76,796	48,600	38,569	50,400	1,800
71262	Workmen's Compensation	1,374,352	1,260,219	762,432	1,323,447	63,228
71263	Health Insurance	5,062,871	5,467,072	3,063,117	5,745,896	278,824
71264	Life Insurance	20,862	21,060	11,730	21,840	780
71265	Retiree Health Insurance	123,278	126,360	73,710	132,862	6,502
71272	Clothing Allowance	55,196	59,690	34,819	62,040	2,350
71290	Pager Allowance	39,182	24,784	23,784	-	(24,784)
TOTAL PERSONNEL		35,518,518	35,570,898	21,483,400	36,689,560	1,118,662
72203	Wireless	-	24,340	10,966	24,340	-
72211	Printing & Publication	5,910	9,700	4,802	9,700	-
72212	Postage	10,288	10,600	9,556	10,600	-
72213	Telephone	168,192	126,870	70,109	126,870	-
72214	Travel	37,652	31,015	13,224	31,015	-
72215	Dues	6,790	8,344	5,787	8,344	-
72216	Subscriptions	2,341	3,078	250	3,078	-
72218	Service Contracts	309,270	297,665	219,712	297,665	-
72231	Utilities-Bldg & Off	100,323	76,275	39,038	76,275	-
72233	Snow Removal	1,336	-	3,016	-	-
72251	Maint-Building	48,558	5,770	7,226	5,770	-
72252	Maint-Equipment	5,772	6,275	4,281	6,275	-
72255	Maint-Office & Furniture	4,915	-	-	-	-
72257	Maint-Communication Equip	62,119	29,600	11,933	29,600	-
72259	Contracted Janitorial Ser	28,834	24,650	15,618	24,650	-
72260	Cleanups	-	-	611	-	-
72263	Microcomputer	232,880	289,680	168,980	301,970	12,290
72264	Vehicle Repairs	23,376	25,000	25,133	25,000	-
72265	Fuel	277,201	281,710	132,069	241,950	(39,760)
72266	Vehicle Vendor Service	352,027	123,000	113,410	123,000	-
72267	Risk Management	76,220	122,480	71,447	137,550	15,070
72269	Serv Charge Communication	1,198,310	1,061,430	405,599	1,249,346	187,916
72271	Equipment Rental	14,141	14,700	8,111	14,700	-
72272	Rental Building	202,690	216,200	126,117	241,200	25,000
72281	Prof Fee Legal	636	-	300	-	-
72284	Prof Fee Medical	16,976	20,100	60,817	20,100	-
72285	Negotiations	3,374	-	-	-	-
72288	Prof Fee Misc	-	-	8,607	-	-
72290	Education And Training	31,786	33,000	24,602	33,000	-
72292	Consulting Fee	1,720	-	-	-	-
72297	Garbage Collection	2,740	2,650	2,275	2,650	-
72299	Miscellaneous Contractual	200	12,000	800	12,000	-
TOTAL CONTRACTUAL		3,226,577	2,856,132	1,564,396	3,056,648	200,516
75509	Books	1,002	3,600	316	3,600	-
75520	Small Equipment And Tools	246,251	100,300	148,407	100,300	-
75521	Medicine And Drugs	6,900	45,000	4,739	45,000	-
75524	Clothing	171,724	194,900	187,382	194,900	-
75525	Food	3,956	5,270	2,018	5,270	-
75526	Fuel And Lubricants	9,251	6,000	2,306	6,000	-
75527	Linens And Laundry	53,402	63,350	27,520	63,350	-
75529	Other Supplies	-	-	17	-	-
75540	Maint-Building	30,543	9,700	20,467	9,700	-
75541	Maint-Grounds	57	-	1,739	-	-
75543	Maint-Equipment	35,604	32,000	15,240	32,000	-
75544	Maint-Vehicles	126,579	91,900	59,281	91,900	-
75545	Maint-Communications	-	-	1,516	-	-
75546	Maint-Janitorial & Cng	23,082	20,000	9,851	20,000	-
75560	Office General Supplies	31,480	45,350	13,718	45,350	-
75561	Photography & Reproductn	1,089	1,200	233	1,200	-
75570	Computer Noncapital	20,071	500	342	500	-
75592	Equipment Noncapital	1,438	-	-	-	-
TOTAL SUPPLIES		762,429	619,070	495,092	619,070	-
79922	Vehicle & Operating Equip	225,843	-	329,018	428,492	428,492
TOTAL CAPITAL		225,843	-	329,018	428,492	428,492
TOTAL FIRE DEPARTMENT		39,733,367	39,046,100	23,871,906	40,793,770	1,747,670

911 Communications

Mission Statement

It is the mission of the 911 Communications Fund to provide the highest quality of communication services for public safety in the most efficient and effective manner possible.

Primary Functions → The 911 Division handles both emergency and non-emergency calls for the City of Rockford through the call handling and dispatch process. 911 personnel handle crimes in progress, medical and fire calls, while interacting with the various police and fire agencies that respond to these incidents. The 911 Division also maintains computer aided dispatch or CAD records as well as phone and radio recordings as mandated.

2012 Accomplishments →

- Completed CAD tandem server upgrade.
- Implemented a cover shift to deal with peak call volume based on statistical analysis.
- Monthly drills were completed to ensure interoperability between all agencies using analog and digital communications.
- Continued efforts to evaluate the requirements of NG911 (Next Generation 911) and how future upgrades will impact the present Public Safety Answering Point.
- Additional telecommunicators were hired and trained in an effort to reduce overtime.
- Continued focus on the reduction of 911 call handling answer time.
- Continued partnership with Police Department to ensure the Department's needs are met.
- Conducted mandatory training as required by the Illinois Department of Public Health.
- Participated in planning and assisted in the completion of the narrow banding process.

2013 Goals and Objectives →

- Conduct air disaster call handling and dispatch training so that 911 personnel are equipped with the knowledge if confronted with an incident of this nature.
- Institute APCO EMD (Emergency Medical Dispatch) training.
- Certify 911 personnel in APCO EMD Instructor Course to obtain control over the process.
- Migrate from Powerphone EMD to APCO EMD in order to reduce overall training costs.
- Continue monthly drills which will ensure interoperability between all agencies using analog and digital communications.
- Continue efforts in working toward the reduction of overtime in order to affect overall operating expenses.
- Continue research requirements of NG911 (Next Generation 911) and how future upgrades will impact the present Public Safety Answering Point.
- Continue to identify methods that could decrease response times for Fire and Police services, through existing or new processes, which will not only benefit the public but assist in accreditation compliancy.
- Continue partnership with Police Department to ensure needs are met which will assist in dispatching of police calls.

911 Communications

- Maintain training requirements as prescribed by the Illinois Department of Public Health by working with EMS service provider to ensure that approved training is provided as well as adequate hours.

Budget Summary

911 COMMUNICATIONS FUND BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PERSONNEL	\$4,934,072	\$5,276,685	\$5,105,095	\$5,184,936	\$79,841
CONTRACTUAL	137,779	147,995	153,190	152,380	(810)
SUPPLIES	6,239	9,721	8,650	8,650	0
OTHER	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$5,078,090</u>	<u>\$5,434,401</u>	<u>\$5,266,935</u>	<u>\$5,345,966</u>	<u>\$79,031</u>

STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
TOTAL	53.00	53.00	53.00	53.00	0.00

FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PURCHASE OF SERVICES					
POLICE DEPARTMENT	\$3,093,124	\$3,313,119	\$3,401,055	\$3,283,675	(\$117,380)
ETS BOARD	0	0	234,050	242,545	8,495
COUNTY	867,965	896,822	570,400	570,400	0
FIRE ALARM	3,961,089	4,209,941	4,205,505	4,096,620	(108,885)
TOTAL	<u>1,110,733</u>	<u>1,223,081</u>	<u>1,061,430</u>	<u>1,249,346</u>	<u>187,916</u>
TOTAL	<u>\$5,071,822</u>	<u>\$5,433,022</u>	<u>\$5,266,935</u>	<u>\$5,345,966</u>	<u>\$79,031</u>

Budget Analysis

- A 2% wage increase is budgeted for all staff for a total cost of \$60,700.
- Parking charges decline \$29,700; division staff secured free parking in 2012.
- The County funds two 24 hour a day, 7 days a week call-taker positions for total revenue of \$570,400.
- The 911 Board will fund the total cost of the MSAG Coordinator position and fifty percent of the cost of the Communication Manager and Training Supervisor positions for a total of \$242,545.
- Police call taking and dispatch expenses are \$3,283,675 and Fire dispatch is \$1,249,346 (total City expense \$4,533,021).

Five Year Forecast

The 2014-2018 five year forecast assumes annual increases of five percent for personnel, three percent for contractual and supplies, and five percent for capital equipment. The revenue stream had previously been sixty-percent City and forty-percent County after certain costs were paid

911 Communications

directly by the County 911 Fund. In lieu of the separation of dispatch facilities between the City and County dispatchers, the revenue stream is now primarily funded by the City, with only a portion of funds reimbursed by the ETS Board and the County.

911 COMMUNICATIONS FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$5,613,264	\$5,910,767	\$6,224,038	\$6,553,912	\$6,901,269
Expenditures	<u>5,613,264</u>	<u>5,910,767</u>	<u>6,224,038</u>	<u>6,553,912</u>	<u>6,901,269</u>
Excess (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Beginning Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

Personnel Review

911 COMMUNICATIONS FUND				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		BUDGET	BUDGET	
PERMANENT		\$3,019,040	\$3,034,074	15,034
TEMPORARY		0	0	0
OVERTIME		480,250	480,250	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		0	60,681	60,681
TOTAL SALARIES		\$3,499,290	\$3,575,005	75,715
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$728,902	\$762,191	33,289
UNEMPLOYMENT TAX		9,540	9,540	0
WORKMEN'S COMPENSATION		9,798	10,368	570
HEALTH INSURANCE		816,218	816,218	0
RETIREE HEALTH INSURANCE		0	0	0
LIFE INSURANCE		4,134	4,134	0
PARKING BENEFITS		29,733	0	(29,733)
CLOTHING ALLOWANCE		7,480	7,480	0
TOTAL BENEFITS		\$1,605,805	\$1,609,931	4,126
TOTAL COMPENSATION		\$5,105,095	\$5,184,936	79,841
	POSITION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	RANGE	EMPLOYEES	EMPLOYEES	
911 COMMUNICATIONS MANAGER	E-10	1.00	1.00	0.00
TRAINING SUPERVISOR	E-8	1.00	1.00	0.00
TELECOMMUNICATIONS SUPERVISOR	E-7	4.00	4.00	0.00
COMPUTER SERVICES COORDINATOR	E-8	1.00	1.00	0.00
ASSISTANT SHIFT SUPERVISOR	A-28	4.00	4.00	0.00
MSAG COORDINATOR	A-24	1.00	1.00	0.00
TELECOMMUNICATOR	A-23	40.00	40.00	0.00
SENIOR CLERK	A-19	1.00	1.00	0.00
TOTAL PERSONNEL		53.00	53.00	0.00

911 Communications

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Total non-emergency calls	112,076	109,984	108,544	108,544
Total emergency calls	119,200	126,613	133,000	133,000
Total police dispatches	167,305	174,368	181,343	181,343
Total fire dispatches	23,539	25,440	25,590	25,590

911 COMMUNICATIONS
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 12-13
71112	Salaries Permanent	3,091,448	3,019,040	1,705,193	3,034,074	15,034
71113	Salaries Temporary	4,011	-	8,859	-	-
71122	Salaries Overtime Perm	598,071	480,250	320,074	480,250	-
71129	Salary Adjustment	-	-	-	60,681	60,681
71251	IMRF	740,864	728,902	417,800	762,191	33,289
71253	Unemployment	9,961	9,540	7,957	9,540	-
71262	Workmen's Compensation	11,392	9,798	6,266	10,368	570
71263	Health Insurance	772,758	816,218	454,134	816,218	-
71264	Life Insurance	4,122	4,134	2,220	4,134	-
71265	Retiree Health Insurance	8,003	-	-	-	-
71271	Parking Benefits	27,840	29,733	17,344	-	(29,733)
71272	Clothing Allowance	8,215	7,480	4,363	7,480	-
TOTAL PERSONNEL		5,276,685	5,105,095	2,944,210	5,184,936	79,841
72203	Wireless	-	3,810	1,184	3,810	-
72211	Printing & Publication	228	300	-	300	-
72212	Postage	155	225	363	225	-
72213	Telephone	102,806	82,540	47,941	82,540	-
72214	Travel	26	1,750	-	1,750	-
72251	Maint-Building	252	300	147	300	-
72259	Contracted Janitorial Ser	21,840	33,000	11,830	33,000	-
72263	Microcomputer	18,680	14,320	8,353	14,930	610
72267	Risk Management	800	12,030	7,018	10,620	(1,410)
72271	Rental Equipment	2,322	2,500	1,492	2,500	-
72282	Prof Fee Auditing	886	850	-	840	(10)
72290	Education And Training	-	1,565	-	1,565	-
TOTAL CONTRACTUAL		147,995	153,190	78,328	152,380	(810)
75509	Books	36	-	-	-	-
75520	Small Equipment And Tools	268	500	42	500	-
75524	Clothing	5,724	3,500	10,903	3,500	-
75525	Food	552	500	224	500	-
75527	Linens And Laundry	3,138	2,800	1,019	2,800	-
75546	Maint-Janitorial & Cng	-	100	-	100	-
75560	Office General Supplies	3	1,250	-	1,250	-
TOTAL OTHER		9,721	8,650	12,188	8,650	-
TOTAL 911 DIVISION		5,434,401	5,266,935	3,034,726	5,345,966	79,031

Board of Fire and Police Commissioners

Mission Statement

It is the mission of the Board of Fire and Police Commissioners to recruit and promote the best available persons possible for sworn positions with the Rockford Fire and Police Departments.

Primary Functions → The primary function of the Board of Fire and Police Commissioners is to select sworn personnel in accordance with the employment policy of the City of Rockford, as well as to investigate and conduct hearings regarding complaints alleged against any sworn member of the Rockford Fire and Police Departments.

2012 Accomplishments →

- Began testing for Fire inspectors and developed an eligibility list for hiring.
- Began testing for Police applicants and developed an eligibility list for hiring.

2013 Goals and Objectives →

- Begin testing for Police applicants and develop an eligibility list for hiring.
- Begin testing for Police lieutenants and develop an eligibility list for hiring.
- Begin testing for Fire applicants and develop an eligibility list for hiring.
- Begin testing for Fire captains and develop an eligibility list for hiring.

Budget Summary

BOARD OF FIRE AND POLICE COMMISSIONERS					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$10,500	\$10,167	\$10,500	\$10,500	\$0
CONTRACTUAL	94,376	118,809	74,275	205,685	131,410
SUPPLIES	<u>600</u>	<u>2,229</u>	<u>2,300</u>	<u>2,300</u>	<u>0</u>
TOTAL	<u>\$105,476</u>	<u>\$131,205</u>	<u>\$87,075</u>	<u>\$218,485</u>	<u>\$131,410</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
GENERAL REVENUES	<u>\$105,476</u>	<u>\$131,205</u>	<u>\$87,075</u>	<u>\$218,485</u>	<u>\$131,410</u>

Budget Analysis

- Consulting fees expense increases \$131,400 due to testing being required for Police lieutenants as well as Fire captains and firefighters.

**BOARD OF FIRE AND POLICE COMMISSIONERS
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112 Permanent	10,167	10,500	5,250	10,500	-
TOTAL PERSONNEL	10,167	10,500	5,250	10,500	-
72211 Printing & Publication	1,473	1,000	142	1,000	-
72212 Postage	110	-	-	-	-
72215 Dues	375	375	-	375	-
72217 Advertising	18,897	25,000	17,910	25,000	-
72218 Service Contracts	-	100	4,086	100	-
72267 Risk Management	-	-	-	-	-
72272 Rental Building	100	100	58	100	-
72281 Prof Fee-Legal	-	1,000	-	1,000	-
72284 Prof Fee-Medical	6,370	20,000	-	20,000	-
72290 Education & Training	-	2,500	-	2,500	-
72292 Consulting	91,484	21,700	18,211	153,110	131,410
72299 Miscellaneous	-	2,500	-	2,500	-
TOTAL CONTRACTUAL	118,809	74,275	40,407	205,685	131,410
75509 Books	-	-	-	-	-
75520 Small Tools	-	300	-	300	-
75525 Food	1,747	1,800	781	1,800	-
75560 Office General	482	200	-	200	-
TOTAL SUPPLIES	2,229	2,300	781	2,300	-
TOTAL BOARD F & P COMMISSIONERS	131,205	87,075	46,438	218,485	131,410

Public Works–Administration

Mission Statement

It is the mission of the Public Works Administration Section to provide direction and administrative support to the Department of Public Works

Primary Functions → The primary function of the Administrative Section is to manage, supervise, and provide technical and clerical support to the entire Public Works Department's operating divisions.

2012 Accomplishments →

- Continued to support staff by providing and analyzing data for Rockstat.
- Continued cross training in areas of customer service in all aspects of right-of-way issues.
- Continued cross training Public Works staff in Hansen customer service module.
- Provided support to staff in completion of the 2012 ward capital plans.
- Continued education and training modules for OSHA Safety Compliance Committee and provided support to staff involved.
- Ensured all engineering project managers and engineering technicians were IDOT documentation certified.

2013 Goals and Objectives →

- Support staff in the development of 2013 ward capital plans.
- Continue to support cross training needs of staff.
- Complete standard operating procedures for all areas of work in the Division.

Public Works–Administration

Budget Summary

PUBLIC WORKS ADMINISTRATION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$464,492	\$315,800	\$271,231	\$284,001	\$12,770
CONTRACTUAL	62,181	61,640	72,560	72,250	(310)
SUPPLIES	6,833	3,713	5,100	5,100	0
CAPITAL	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$533,506</u>	<u>\$381,153</u>	<u>\$348,891</u>	<u>\$361,351</u>	<u>\$12,460</u>
STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
TOTAL	3.50	2.00	2.00	2.00	0.00
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
REIMBURSEMENTS	\$66,241	\$46,810	\$42,602	\$45,088	\$2,486
INTERDIVISIONAL PURCHASE OF SERVICES	310,800	241,100	256,300	262,000	5,700
GENERAL REVENUES	<u>156,465</u>	<u>93,243</u>	<u>49,989</u>	<u>54,263</u>	<u>4,274</u>
TOTAL	<u>\$533,506</u>	<u>\$381,153</u>	<u>\$348,891</u>	<u>\$361,351</u>	<u>\$12,460</u>

Budget Analysis

- Salaries increase \$5,700 due to the 2% increase for non-union staff in 2012.
- A 2% wage increase is budgeted for all department staff for a total cost of \$3,900.

Capital Equipment

Capital equipment is not budgeted in 2013.

Public Works–Administration

Personnel Review

PUBLIC WORKS ADMINISTRATION DIVISION				
BENEFITS AND SALARIES		2012	2013	INCREASE/
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERMANENT		\$191,007	\$196,748	\$5,741
TEMPORARY		0	0	0
OVERTIME		0	0	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		0	3,935	3,935
TOTAL SALARIES		<u>\$191,007</u>	<u>\$200,683</u>	<u>\$9,676</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$39,787	\$42,786	\$2,999
UNEMPLOYMENT TAX		360	360	0
WORKMEN'S COMPENSATION		535	582	47
HEALTH INSURANCE		38,870	38,870	0
LIFE INSURANCE		156	156	0
PARKING BENEFITS		516	564	48
TOTAL BENEFITS		<u>\$80,224</u>	<u>\$83,318</u>	<u>\$3,094</u>
TOTAL COMPENSATION		<u>\$271,231</u>	<u>\$284,001</u>	<u>\$12,770</u>
	POSTION	2012	2013	INCREASE/
POSITION TITLE	<u>RANGE</u>	<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	<u>(DECREASE)</u>
DIRECTOR	E-15	1.00	1.00	0.00
PW SUPERINTENDENT	E-12	1.00	1.00	0.00
TOTAL PERSONNEL		<u>2.00</u>	<u>2.00</u>	<u>0.00</u>

**PUBLIC WORKS-ADMINISTRATION DIVISION
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Salaries Permanent	226,830	191,007	128,972	196,748	5,741
71113	Salaries Temporary	-	-	14,483	-	-
71129	Salary Adjustment	-	-	-	3,935	3,935
71251	IMRF	45,324	39,787	27,715	42,786	2,999
71253	Unemployment	962	360	678	360	-
71262	Workmen's Compensation	524	535	1,345	582	47
71263	Health Insurance	40,927	38,870	23,746	38,870	-
71264	Life Insurance	273	156	145	156	-
71271	Parking Benefits	960	516	301	564	48
TOTAL PERSONNEL		315,800	271,231	197,385	284,001	12,770
72203	Wireless	-	1,490	539	1,490	-
72211	Printing & Publication	275	250	85	250	-
72212	Postage	72	50	-	50	-
72213	Telephone	11,267	8,750	3,498	8,750	-
72214	Travel	602	-	581	-	-
72215	Dues	119	300	125	300	-
72216	Subscriptions	286	250	310	250	-
72263	Microcomputer	21,450	37,160	21,677	37,310	150
72264	Vehicle Repairs	1,707	3,200	1,684	2,000	(1,200)
72265	Fuel	1,672	1,640	789	1,710	70
72267	Risk Management	8,340	1,920	1,120	1,360	(560)
72271	Rental Equipment	1,140	1,000	818	1,000	-
72272	Rental Building	13,050	16,550	9,654	17,780	1,230
72290	Education	1,660	-	-	-	-
TOTAL CONTRACTUAL		61,640	72,560	40,880	72,250	(310)
75501	PW Supplies	48	-	-	-	-
75521	Medicine And Drugs	-	300	-	300	-
75525	Food	-	-	131	-	-
75560	Office General Supplies	3,665	4,700	1,641	4,700	-
75561	Photography & Reproduction	-	100	-	100	-
TOTAL OTHER		3,713	5,100	1,772	5,100	-
TOTAL PUBLIC WORKS ADMIN		381,153	348,891	240,037	361,351	12,460

Engineering Division

Mission Statement

Primary Functions → The primary function of the Engineering Division is to provide design services and construction management for all capital construction projects. This Division is also charged with the review and approval of plans for single site and subdivision development, permitting work within the public right-of-way, and record keeping of the City's infrastructure.

Traffic engineering analyses related to the safe and efficient movement of people and goods within the City, accident crash analysis for signaled and un-signalized intersections safety analysis for schools, geometric design of existing and planned roadways and intersections including support of the land development process, and street lighting analysis for all citizen requests.

2012 Accomplishments →

- Applied for and received an additional \$4 million from FEMA and DCEO for flood mitigation.
- Continued inspections and database entry of the City's storm sewer system and other infrastructure.
- Improved flood affidavit reporting system within GIS.
- Developed water inspection work order map within SharePoint and GIS.
- Developed storm inspection application with GIS.
- Developed Rockford utility map (online based) within GIS.
- Completed the creation of an online parking map.
- Completed lighting upgrades to the Water Street Parking Deck.
- Completed demolition and restoration for Tapco Building site.
- Continued scanning as-built drawings of City infrastructure and load into SharePoint to provide better accessibility to records.
- Completed the integration of GIS water services with the water accounts.
- Completed several standard operating procedures and how-to documents.
- Completed Auto-Cad design of water construction projects.
- Completed floor plan Auto-Cad drawings for 100% of the well houses.
- Coordinated the installation of a new 400 ac solar panel field and a power purchase agreement.
- Created a monthly reporting program for construction site inspections.
- Finalized and implemented the Emergency Operations Plan, Public Works Annex and Debris Management Plan.
- Updated Chapter 26 and Chapter 109 of the Code of Ordinances regarding high risk runoff and illicit discharge.
- Created and implemented illicit discharge and high risk runoff site inspections.
- Approved and Implemented the Outdoor Dining Design Guidelines.
- Completed School Crossing Guard Warrant Study.
- Applied for and received two Highway Safety Improvement Program grants.
- Completed Division wide training and certification on IDOT documentation certification.
- Awarded two Safe Routes to School Grants now in planning stages.

Engineering Division

- Working with USACE and Illinois State Water Survey on the Hydrology and Hydraulic Study of the Rock River.
- Working with RMAP, HUD, and FEMA on the HUD Sustainability Initiative and Pilot Study for a Regional Storm Water Master Plan.

2013 Goals and Objectives →

- Continue scanning as-built drawings of City infrastructure and load into SharePoint to provide better accessibility to records.
- Continue inspections and database entry of the City's storm sewer system and other infrastructure.
- Complete the traffic sign inventory and replacement program.
- Complete the traffic signal systems inventory and data entry into GIS.
- Finish layer in GIS for private well systems.
- Complete the City's Storm Water Master Plan.
- Create and update layer in GIS for vault locations and water main easements.
- Complete a minimum of two intersection traffic safety studies.
- Complete all standard operating procedures and how-to documents for Division.
- Complete the online parking map.
- Update the County Wide Multi-Hazard Mitigation Plan.
- Continue entering all asset inventory into GIS from the arterial and collector streets videos.
- Continue working with USACE and Illinois State Water Survey on the Hydrology and Hydraulic Study of the Rock River.
- Continue working with RMAP, HUD, and FEMA on the HUD Sustainability Initiative and Pilot Study for a Regional Storm Water Master Plan.
- Design and build Keith Creek Greenway throughout Churchill Park, dependent upon grant funding.
- Continue inspections for industrial illicit discharge of pollutants into our storm sewer.
- Update the Engineering Design Criteria Manual.

Engineering Division

Budget Summary

ENGINEERING DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PERSONNEL	\$572,523	\$577,302	\$775,203	\$781,230	\$6,027
CONTRACTUAL	302,103	176,275	181,715	183,795	2,080
SUPPLIES	5,788	2,183	6,750	30,750	24,000
CAPITAL	0	0	0	23,900	23,900
TOTAL	<u>\$880,414</u>	<u>\$755,760</u>	<u>\$963,668</u>	<u>\$1,019,675</u>	<u>\$56,007</u>
STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
ENGINEERING	7.45	5.50	10.00	9.50	(0.50)
CAPITAL PROJECTS	17.05	18.50	17.00	16.50	(0.50)
TOTAL	24.50	24.00	27.00	26.00	(1.00)
FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
FRINGE BENEFIT REIMBURSEME	\$86,127	\$85,012	\$113,142	\$113,136	(\$6)
FEES	13,517	15,821	15,000	13,000	(2,000)
OTHER GOVERNMENTS	0	0	24,000	0	(24,000)
WATER PURCHASE OF SERVICES	0	0	458,820	439,280	(19,540)
GENERAL REVENUES	780,770	654,927	362,726	454,259	91,533
TOTAL	<u>\$880,414</u>	<u>\$755,760</u>	<u>\$973,688</u>	<u>\$1,019,675</u>	<u>\$45,987</u>

Budget Analysis

- Personnel expenses adjust slightly as wage increases, a budgeted 2% salary adjustment, and fringe benefit increases are offset by the reduction of staff by 0.5 FTE. The position, previously shared between Engineering and CIP, was moved to the Finance Department with the creation of the Customer Service Center.
- The balance of changes in the budget include small adjustments in purchase of services charges.
- Capital expenses of \$23,900 will cover estimated lease payments for vehicles acquired in 2012.

Capital Equipment

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Sedan	2	15,000	30,000
SUV	<u>2</u>	26,600	<u>53,200</u>
Total	4		\$83,200

Engineering Division

Personnel Review

ENGINEERING DIVISION				
BENEFITS AND SALARIES	2012	2013	INCREASE/ (DECREASE)	
SALARY	<u>BUDGET</u>	<u>BUDGET</u>		
PERMANENT	\$504,359	\$495,239	(\$9,120)	
TEMPORARY	0	0	0	
OVERTIME	0	0	0	
MERIT PAY	0	0	0	
SALARY ADJUSTMENT	0	9,905	9,905	
TOTAL SALARIES	<u>\$504,359</u>	<u>\$505,144</u>	<u>\$785</u>	
BENEFITS				
IMRF RETIREMENT	\$105,058	\$107,697	\$2,639	
UNEMPLOYMENT TAX	1,800	1,710	(90)	
WORKMEN'S COMPENSATION	6,284	3,729	(2,555)	
HEALTH INSURANCE	151,762	157,079	5,317	
LIFE INSURANCE	780	741	(39)	
PARKING BENEFITS	5,160	5,130	(30)	
TOTAL BENEFITS	<u>\$270,844</u>	<u>\$276,086</u>	<u>\$5,242</u>	
TOTAL COMPENSATION	<u>\$775,203</u>	<u>\$781,230</u>	<u>\$6,027</u>	
POSITION TITLE	POSTION <u>RANGE</u>	2012 <u>EMPLOYEES</u>	2013 <u>EMPLOYEES</u>	INCREASE/ (DECREASE)
CITY ENGINEER	E-12	0.50	0.50	0.00
WATER ENGINEER	E-11	1.00	1.00	0.00
ENGINEERING OPERATIONS MANAGER	E-10	0.50	0.50	0.00
STORM WATER PROJECT MANAGER	E-8	0.50	0.50	0.00
PROJECT MANAGER	E-8	0.50	0.50	0.00
ASST OPERATIONS MANAGER	E-8	1.00	1.00	0.00
SENIOR ENGINEERING TECHNICIAN	E-6	3.50	3.50	0.00
ENGINEERING TECHNICIAN	E-5	1.00	0.00	(1.00)
CUSTOMER RELATIONS TECH	E-4	0.00	1.00	1.00
SR OFFICE ASSISTANT	E-4	1.00	1.00	0.00
SENIOR CLERK	A-19	0.50	0.00	(0.50)
TOTAL PERSONNEL		<u>10.00</u>	<u>9.50</u>	<u>(0.50)</u>

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Number of ROW/utility permits issued	1,512	1,235	1,300	1,375
Number of engineering plans reviewed	70	185	75	80
Number of right-of-way/other permits issued	239	246	200	210

**PUBLIC WORKS-ENGINEERING DIVISION
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Salaries Permanent	380,780	504,359	279,093	495,239	(9,120)
71122	Salaries Overtime Perm	122	-	13	-	-
71129	Salary Adjustment	-	-	-	9,905	
71251	IMRF	76,349	105,057	55,073	107,697	2,640
71253	Unemployment	2,575	1,800	1,148	1,710	(90)
71262	Workmen's Compensation	6,088	6,285	3,742	3,729	(2,556)
71263	Health Insurance	100,252	151,762	77,186	157,079	5,317
71264	Life Insurance	493	780	363	741	(39)
71265	Retiree Insurance	8,003	-	-	-	-
71271	Parking Benefits	2,640	5,160	3,010	5,130	(30)
TOTAL PERSONNEL		577,302	775,203	419,628	781,230	(3,878)
72203	Wireless	-	2,800	1,162	2,800	-
72211	Printing & Publication	1,021	400	337	400	-
72212	Postage	230	-	35	-	-
72213	Telephone	8,619	5,380	2,215	5,380	-
72214	Travel	429	-	122	-	-
72215	Dues	357	900	-	900	-
72216	Subscriptions	-	300	-	300	-
72218	Service Contracts	62,283	64,280	454,635	64,280	-
72252	Maint-Equipment	-	1,400	-	1,400	-
72255	Maint-Office & Furniture	-	4,000	-	4,000	-
72263	Microcomputer	47,460	50,860	29,668	53,050	2,190
72264	Vehicle Repairs	8,116	9,500	3,713	9,000	(500)
72265	Fuel	3,201	8,570	1,648	7,380	(1,190)
72267	Risk Management	23,670	8,060	4,702	7,990	(70)
72271	Rental Equipment	2,281	2,000	1,575	2,000	-
72272	Rental Building	18,210	22,910	13,364	24,560	1,650
72281	Prof Fee Legal	-	-	4,867	-	-
72290	Education And Training	398	355	1,145	355	-
TOTAL CONTRACTUAL		176,275	181,715	519,188	183,795	2,080
75501	Public Works	252	-	-	-	-
75509	Books	154	300	210	300	-
75520	Small Equipment And Tools	518	1,500	586	1,500	-
75523	Drafting & Engineering	-	500	-	500	-
75560	Office General Supplies	263	1,900	158	1,900	-
75570	Computer Noncapital	996	2,550	-	26,550	24,000
TOTAL SUPPLIES		2,183	6,750	954	30,750	24,000
79921	Lease Payments	-	-	-	23,900	23,900
TOTAL CAPITAL		-	-	-	23,900	23,900
TOTAL ENGINEERING DIVISION		755,760	963,668	939,770	1,019,675	46,102

Capital Projects Fund

Mission Statement

It is the mission of the Capital Projects Division to provide community facilities and services through a planned program of infrastructure replacements and additions.

Primary Functions → The primary functions of the Capital Projects Division include:

- Planning, development, and management of the City's five-year Capital Improvement Program. This includes setting priorities for infrastructure repair, replacement, and expansion of various facilities, including parking facilities, surface transportation, storm water management, sanitary sewers, and water distribution and production.
- Meeting with various local, state, and federal agencies to secure funding for major projects. This includes preparing grant applications for grant funding to reduce the local public financing burden.
- Implementing projects through negotiation of professional engineering and architectural contracts, preparation of bid specifications for competitive public bidding, administration of consultant and contractor payments, and preparing capital project information to the general public.
- Coordinating administrative activities associated with capital improvement projects, including the development of intergovernmental agreements, the acquisition of right-of-way, the conducting of public meetings, the notification of residents and businesses, the preparation and approval of final project plans and specifications, the recommending of contract awards, and the approval of project related payments and contract change orders.

2012 Accomplishments

- Successfully opened the Riverwalk – Museum Campus on schedule.
- As part of the City-Wide Local Bridge Replacement Program, Reed Ave. and Pierce Ave. bridges will be constructed in 2012.
- Completed approximately 78 blocks of residential resurfacing across every zone in the City. The residential program also included the resurfacing and reconstruction of 15 alleys.
- Resurfaced arterial/collectors streets Colorado Ave. (East Gate Parkway to West Gate Parkway), Harlem (North Main St. to Auburn St.), Broadway (11th to 20th), Highcrest (Chelsea Ave to Alpine Road), Spring Brook (Imperial Oaks to Spring Creek Road)
- Completed the Phase II property acquisitions and demos on West State St.
- Addressed numerous sidewalk and ADA concerns covering all sectors of the City.
- Continued property acquisitions on the Main and Auburn Roundabout. Also finished designs and began the utility coordination and initial demolition
- Continued to work on the residential drainage concerns throughout the City.
- Completed the purchase of several homes in the Harmon Park area to help improve the drainage in the area.

Capital Projects Fund

- Completed Keith Creek demos for Phase I and II for hazard mitigation.
- The Morgan St. Bridge moved forward to the 3 year construction project.

2013 Goals and Objectives

- Continue and complete construction on the Riverwalk – Museum campus.
- Move forward with construction on the Main and Auburn Roundabout.
- Work with IDOT to coordinate the beginning of construction on the South Main St. and West State St. road projects.
- Continue work on regional transportation issues through active participation in the Rockford Metropolitan Agency for Planning (RMAP).
- Continue investment into arterial / collector sidewalk program. Create a neighborhood sidewalk construction program (primarily for areas without sidewalks, but also to fill in gaps in neighborhoods where total sidewalk replacement or development are warranted).
- Assemble and implement a sidewalk transition plan for federal ADA compliance.
- Collect and utilize data on the City's infrastructure systems (including pavement conditions). Move toward an asset management model to focus on infrastructure investment in those areas of greatest need.
- Create a neighborhood program that increases the reliability of the infrastructure in the neighborhoods.
- Continue construction on the Morgan St. Bridge.
- Complete Phase II of the Harrison Ave. corridor project.
- Complete the City's Biennial Bridge Inspection.
- Continue to move forward with city-wide local bridge program.
- Work with IDOT on the Phase I study of the North Main Corridor project.
- Begin the Phase I study and design of the Jefferson St. Bridge.
- Continue drainage improvements in both Harmon Park and Churchill Park.
- Continue to move forward with enhancements to the Streetscape area. This is to include way finding signage, kiosks, and vendor area.
- Continue program support for the Water Division, including analysis of existing system facilities within the design cycle of road projects.

Capital Projects Fund

Budget Summary

CAPITAL PROJECTS DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$1,494,571	\$1,262,909	\$1,502,488	\$1,529,068	\$26,580
CONTRACTUAL	5,117,497	5,447,277	345,705	505,125	159,420
SUPPLIES	9,806	23,353	5,460	22,460	17,000
OTHER	2,719,925	5,538,266	471,580	499,080	27,500
CAPITAL	<u>14,741,133</u>	<u>17,995,922</u>	<u>60,517,267</u>	<u>43,379,267</u>	<u>(17,138,000)</u>
TOTAL	<u>\$24,082,932</u>	<u>\$30,267,727</u>	<u>\$62,842,500</u>	<u>\$45,935,000</u>	<u>(\$16,907,500)</u>
ACTUALS EXCLUDE WATER AND PARKING PROJECTS; THESE ARE TRANSFERRED TO THEIR RESPECTIVE FUNDS.					
STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
ENGINEERING	7.45	5.50	10.00	9.50	(0.50)
CAPITAL PROJECTS	<u>17.05</u>	<u>18.50</u>	<u>17.00</u>	<u>16.50</u>	<u>(0.50)</u>
TOTAL	24.50	24.00	27.00	26.00	(1.00)
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
STATE MOTOR FUEL TAX	2,346,538	2,272,183	3,000,000	3,000,000	0
LOCAL SALES TAX	15,171,378	15,292,506	14,900,000	15,100,000	200,000
WATER REPLACEMENT IMPROVE	2,322,025	1,851,523	4,275,000	4,550,000	275,000
OTHER GOVERNMENTS/PRIVATE	3,618,212	10,047,163	39,467,500	22,085,000	(17,382,500)
INTERFUND TRANSFERS	198,808	2,400,000	1,200,000	1,200,000	0
INTEREST INCOME	<u>183,977</u>	<u>958,388</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$23,840,938</u>	<u>\$32,821,763</u>	<u>\$62,842,500</u>	<u>\$45,935,000</u>	<u>(\$16,907,500)</u>
APPROPRIATIONS AND REVENUES MAY NOT MATCH DUE TO MULTIPLE YEAR FINANCING OF CAPITAL PROJECTS.					

Budget Analysis

- Personnel expenses adjust slightly as wage increases, a budgeted 2% salary adjustment, and fringe benefit increases are offset by the reduction of staff by 0.5 FTE. The position, previously shared between Engineering and CIP, was moved to the Finance Department with the creation of the Customer Service Center.
- The balance of changes in the budget include adjustments in purchase of service charges and an increase in computer supplies to cover desktop replacement and software purchases.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes that the City will continue to have a balanced capital replacement and construction program funded by a variety of resources, both long and short term.

Capital Projects Fund

CAPITAL PROJECTS FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$47,313	\$48,732	\$50,194	\$51,700	\$53,251
Expenses	<u>47,313</u>	<u>48,732</u>	<u>50,194</u>	<u>51,700</u>	<u>53,251</u>
Excess (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Beginning Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

Personnel Review

CAPITAL PROJECTS DIVISION				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		BUDGET	BUDGET	
PERMANENT		\$910,471	\$922,771	\$12,300
TEMPORARY		22,000	22,000	0
OVERTIME		22,000	22,000	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		<u>0</u>	<u>18,455</u>	<u>18,455</u>
TOTAL SALARIES		<u>\$954,471</u>	<u>\$985,226</u>	<u>\$30,755</u>
BENEFITS				
IMRF RETIREMENT		\$195,918	\$207,044	\$11,126
UNEMPLOYMENT TAX		3,060	2,970	(90)
WORKMEN'S COMPENSATION		13,863	10,500	(3,363)
HEALTH INSURANCE		325,078	313,131	(11,947)
LIFE INSURANCE		1,326	1,287	(39)
PARKING BENEFITS		<u>8,772</u>	<u>8,910</u>	<u>138</u>
TOTAL BENEFITS		<u>\$548,017</u>	<u>\$543,842</u>	<u>(\$4,175)</u>
TOTAL COMPENSATION		<u>\$1,502,488</u>	<u>\$1,529,068</u>	<u>\$26,580</u>
	POSITION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	RANGE	EMPLOYEES	EMPLOYEES	
CITY ENGINEER	E-11	0.50	0.50	0.00
CONSTRUCTION PROGRAM MANAGER	E-11	1.00	1.00	0.00
TRAFFIC ENGINEER	E-11	1.00	1.00	0.00
ENGINEERING OPERATIONS MANAGER	E-10	0.50	0.50	0.00
CIP OPERATIONS MANAGER	E-10	1.00	0.00	(1.00)
STORM WATER PROJECT MANAGER	E-10	0.50	0.50	0.00
SR PROJECT MANAGER	E-9	0.00	2.00	2.00
PROJECT MANAGER	E-8	3.50	3.50	0.00
ENVIRONMENTAL PROJECT COORDINATOR	E-7	1.00	1.00	0.00
SENIOR CONSTRUCTION TECHNICIAN	E-6	1.00	1.00	0.00
SENIOR ENGINEERING TECHNICIAN	E-6	3.50	3.50	0.00
CIP ACCOUNTING TECHNICIAN	E-6	0.00	1.00	1.00
SENIOR ADMIN ASSISTANT	E-6	0.00	1.00	1.00
ENGINEERING TECHNICIAN	E-5	1.00	0.00	(1.00)
SENIOR ACCOUNT CLERK	A-21	1.00	0.00	(1.00)
SENIOR CLERK	A-19	<u>1.50</u>	<u>0.00</u>	<u>(1.50)</u>
TOTAL PERSONNEL		<u>17.00</u>	<u>16.50</u>	<u>(0.50)</u>

**PUBLIC WORKS-CAPITAL IMPROVEMENT PROGRAM
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112 SALARIES PERMANENT	793,274	910,471	379,001	922,771	12,300
71113 SALARIES TEMPORARY	18,702	22,000	16,384	22,000	-
71119 OUT OF CLASS PAY	-	-	172	-	-
71122 SALARIES OVERTIME PERM	9,549	22,000	7,080	22,000	-
71129 SALARY ADJUSTMENT	-	-	-	18,455	-
71251 IMRF	162,273	195,918	80,253	207,044	11,126
71253 UNEMPLOYMENT	3,280	3,060	2,888	2,970	(90)
71262 WORKMEN'S COMPENSATION	10,355	13,863	6,754	10,500	(3,363)
71263 HEALTH INSURANCE	255,466	325,078	126,579	313,131	(11,947)
71264 LIFE INSURANCE	1,130	1,326	511	1,287	(39)
71271 PARKING BENEFITS	8,880	8,772	5,117	8,910	138
TOTAL PERSONNEL	1,262,909	1,502,488	624,739	1,529,068	8,125
72203 WIRELESS	-	2,050	2,793	2,050	-
72211 PRINTING & PUBLICATION	12,573	11,000	4,955	11,000	-
72212 POSTAGE	931	1,000	342	1,000	-
72213 TELEPHONE	14,883	12,930	3,614	12,930	-
72214 TRAVEL	1,951	6,000	527	6,000	-
72215 DUES	714	1,000	223	1,000	-
72216 SUBSCRIPTIONS	-	1,000	2,850	1,000	-
72217 ADVERTISING	2,363	3,615	1,029	3,615	-
72218 SERVICE CONTRACTS	46,407	8,760	39,455	8,760	-
72231 UTILITIES-BLDG & OFF	798	-	73	-	-
72247 INSURANCE POLICIES	9,943	-	-	-	-
72255 MAINT-OFFICE & FURNITURE	-	5,000	-	5,000	-
72261 DEMOLITION	692,403	-	294,379	-	-
72263 MICROCOMPUTER	66,050	55,770	32,756	58,270	2,500
72264 VEHICLE REPAIRS	17,698	24,600	18,773	18,000	(6,600)
72265 FUEL	12,360	8,950	701	8,950	-
72267 RISK MANAGEMENT	8,640	139,260	81,235	287,820	148,560
72271 RENTAL EQUIPMENT	2,313	2,000	1,204	22,000	20,000
72272 RENTAL BUILDING	27,090	37,390	21,811	39,550	2,160
72281 PROF FEE LEGAL	-	3,000	-	3,000	-
72282 PROF FEE AUDITING	2,756	10,000	-	2,800	(7,200)
72283 PROF FEE ENGINEERING	2,916,326	-	1,158,439	-	-
72286 ENGINEERING CONSTRUCTION	1,603,923	-	881,144	-	-
72290 EDUCATION AND TRAINING	6,767	8,000	2,975	8,000	-
72294 PUBLIC RELATIONS	-	1,000	-	1,000	-
72299 MISCELLANEOUS CONTRACTUAL	388	3,380	31,369	3,380	-
TOTAL CONTRACTUAL	5,447,277	345,705	2,580,647	505,125	159,420
75501 PW SUPPLIES	79	-	-	-	-
75509 BOOKS	32	260	-	260	-
75520 SMALL EQUIPMENT AND TOOLS	657	300	-	300	-
75523 DRAFTING & ENGINEERING	-	300	-	300	-
75525 FOOD	290	400	(43)	400	-
75560 OFFICE GENERAL SUPPLIES	2,992	1,000	1,987	1,000	-
75561 PHOTOGRAPHY & REPRODUCTN	680	200	-	200	-
75569 MISC SUPPLIES	9,301	-	455	-	-
75570 COMPUTER NONCAPITAL	977	3,000	-	20,000	17,000
75591 OTHER NONCAPITAL	500	-	-	-	-
75592 EQUIPMENT NONCAPITAL	7,845	-	-	-	-
TOTAL SUPPLIES	23,353	5,460	2,399	22,460	17,000
76760 PROPERTY TAXES	66,132	-	66,726	-	-
76792 DEVELOPMENT EXPENSE	3,921,769	-	56,956	-	-
76794 SALES TAX REBATE	184,296	-	70,597	-	-
77720 TRANSFER TO OTHER FUNDS	889,543	-	-	-	-
77725 PURCH SERVICE-GENERAL FD	372,771	383,180	223,522	422,780	39,600
77746 TRANSFER TO RMAP	-	88,400	51,567	76,300	(12,100)
77749 TRANS TO SPRINGFIELD TIF	94,181	-	-	-	-
77761 TRANS TO LW TIF	8,081	-	-	-	-
78758 NOTE/BOND ISSUE COST	1,493	-	-	-	-
TOTAL OTHER	5,538,266	471,580	469,368	499,080	27,500
79901 LAND	4,197,625	-	1,601,176	-	-
79938 CONSTRUCTION PROJECT	13,798,297	60,517,267	5,197,997	43,379,267	(17,138,000)
TOTAL CAPITAL	17,995,922	60,517,267	6,799,173	43,379,267	(17,138,000)
TOTAL CAPITAL PROJECTS	30,267,727	62,842,500	10,476,326	45,935,000	(16,925,955)

Motor Fuel Tax Fund

Mission Statement

It is the purpose of the Motor Fuel Tax Fund to provide dedicated revenues from taxes on gasoline and diesel sales for road maintenance and construction. Revenues are distributed by the State of Illinois on a per capita basis from its tax on motor fuels. It is the policy of the City to use these user fee taxes on motor fuel sales exclusively for road improvement.

Primary Function → The Motor Fuel Tax Fund is dedicated to road improvements. Based upon the annual requirements of the Capital Projects Fund, funds are transferred from this Fund to the Capital Projects Fund to finance certain construction projects.

Fund Highlights

Motor fuel taxes have been generated by a flat rate of cents per gallon, 19.0 for gasoline and 21.5 for diesel fuel, plus a sales tax transfer. With the Illinois First (Fund for Infrastructure, Roads, Schools, and Transit) program adopted by the State in 1999, the sales tax transfer was eliminated April 2000 and certain vehicle registration fees replaced and supplemented this shared state revenue. On a net basis, the City received an additional 18%.

MOTOR FUEL TAX FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
TRANSFERS TO					
GENERAL FUND	\$0	\$2,400,000	\$1,200,000	\$1,200,000	\$0
CAPITAL PROJECTS FUND	<u>2,346,538</u>	<u>2,272,183</u>	<u>3,000,000</u>	<u>3,000,000</u>	<u>0</u>
TOTAL	<u>\$2,346,538</u>	<u>\$4,672,183</u>	<u>\$4,200,000</u>	<u>\$4,200,000</u>	<u>\$0</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
STATE MOTOR FUEL TAX	\$4,535,832	\$4,485,613	\$3,694,400	\$4,300,000	605,600
INTEREST INCOME	<u>11,402</u>	<u>6,031</u>	<u>237,000</u>	<u>229,000</u>	<u>(8,000)</u>
TOTAL	<u>\$4,547,234</u>	<u>\$4,491,644</u>	<u>\$3,931,400</u>	<u>\$4,529,000</u>	<u>597,600</u>

Budget Analysis

- The 2013 budget calls for \$3.0 million in transfers to the Capital Projects Fund and \$1,200,000 to the General Fund for eligible street lighting expenses. The General Fund, in turn, will transfer \$1,200,000 to the Capital Projects Fund for projects.

Five Year Financial Forecast

The 2014-2018 five year forecast assumes growth as projected by the State for tax revenues and assumes expenditures essentially equal to annual revenues. The State of Illinois assumes

Motor Fuel Tax Fund

stagnant growth, for the taxes on motor fuels, with an annual deviation of plus or minus two percent influenced by the severity of winter weather and the pump price.

MOTOR FUEL TAX FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$3,933	\$3,925	\$3,916	\$3,908	\$3,899
Expenses	<u>4,200</u>	<u>4,200</u>	<u>4,200</u>	<u>4,200</u>	<u>4,200</u>
Excess (Deficit)	<u>(267)</u>	<u>(275)</u>	<u>(284)</u>	<u>(292)</u>	<u>(301)</u>
Beginning Balance	<u>7,628</u>	<u>7,361</u>	<u>7,086</u>	<u>6,802</u>	<u>6,510</u>
Ending Balance	<u>\$7,361</u>	<u>\$7,086</u>	<u>\$6,802</u>	<u>\$6,510</u>	<u>\$6,209</u>

**MOTOR FUEL TAX FUND
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
	77722 TRANSFER TO OTHER FD	2,400,000	1,200,000	700,000	1,200,000	-
	77729 TRANSFER TO CIP	2,272,183	3,000,000	1,750,000	3,000,000	-
<i>TOTAL OTHER</i>		4,672,183	4,200,000	2,450,000	4,200,000	-
TOTAL MOTOR FUEL TAX FUND		4,672,183	4,200,000	2,450,000	4,200,000	-

Street Maintenance Division

Mission Statement

It is the mission of the Street Maintenance Division to clean, regulate, and repair all street right-of-ways within the city limits of the City of Rockford.

Primary Functions → The primary function of the Street Maintenance Division includes street cleaning, street maintenance, repairing storm sewers, maintaining trees and turf, ensuring roadways are cleared of snow and ice conditions, and all administrative functions.

- Completing city-wide street sweeping services, all street patching and repair, and road and bridge maintenance.
- Maintenance and repair of all storm sewers, including contracting for inlet repairs and cleaning intakes and lines.
- Trimming and removal of trees, removal of tree stumps, as well as maintenance of drainage ways and other turf areas.
- Responsible for ensuring the streets are free of snow and ice during the winter months.

2012 Accomplishments→

- Completed all scheduled street sweeping cycles utilizing contracted services. Overall savings of approximately \$500,000.
- Began initial training process for those employees who moved into the Forestry Operation.
- Treated over 400 ash trees for emerald ash borer on a plan of 400. An additional 400 trees will be treated in spring, 2013.
- Completed snow and ice preparations for 2012-2013 winter season.
- Began foliage and tree removal along Kent Creek, the first year of a two-year plan to abate foliage on Kent Creek from Cedar Street to North Central Avenue.
- Reduced the number of open pothole complaints to under 100 for the first time in five years by implanting proactive patching methods.

2013 Goals and Objectives→

- Increase Forestry activities to meet demands of emerald ash borer crisis, to include additional removals and replanting.
- Create an arterial corridor maintenance plan to address both existing corridors and those yet to be built.
- Continue training for Forestry personnel to prepare for Arborist certification.
- Search out Forestry grants to further tree replanting efforts.
- Treat 400 additional ash trees to meet the goal of 10% of the ash tree population.
- Maintain low levels of open pothole requests by continuing proactive patching methods.

Street Maintenance Division

Budget Summary

PUBLIC WORKS STREET MAINTENANCE DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PERSONNEL	\$2,789,222	\$2,676,775	\$2,381,430	\$2,456,924	\$75,494
CONTRACTUAL	3,447,664	3,812,407	3,574,630	4,084,530	509,900
SUPPLIES	1,270,887	1,085,038	1,384,000	1,384,000	0
OTHER	170,320	19,159	92,559	87,959	(4,600)
CAPITAL	255	0	0	277,400	277,400
TOTAL	<u>\$7,678,348</u>	<u>\$7,593,379</u>	<u>\$7,432,619</u>	<u>\$8,290,813</u>	<u>\$858,194</u>

STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
TOTAL	38.00	32.00	31.00	31.00	0.00

FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
STREET AND BRIDGE	\$2,072,213	\$1,997,769	\$1,775,000	\$2,098,800	\$323,800
REIMBURSEMENTS	511,912	435,596	385,594	403,669	18,075
OTHER GOVERNMENTS	306,793	360,599	270,000	350,000	80,000
TRANSFERS FROM OTHER FUNDS	750,160	957,265	1,772,100	2,365,814	593,714
GENERAL REVENUES	<u>4,037,270</u>	<u>3,842,150</u>	<u>3,229,925</u>	<u>3,072,530</u>	<u>(157,395)</u>
TOTAL	<u>\$7,678,348</u>	<u>\$7,593,379</u>	<u>\$7,432,619</u>	<u>\$8,290,813</u>	<u>\$858,194</u>

Budget Analysis

- Personnel budget numbers reflect the adjustment of staff from street sweeping functions to forestry operations. In addition, a vacant senior equipment operator position was reclassified as a maintenance worker.
- The street sweeping contract with Elgin Sweeping Services has been added for an additional \$295,700 in contractual expenses, offset by reductions in vehicle repairs and waste disposal costs.
- Additional tree removal dollars of \$255,000 were added to reflect expected actual expense.
- Estimated lease payments for capital acquired in 2012 are budgeted at \$277,400.

Capital Equipment

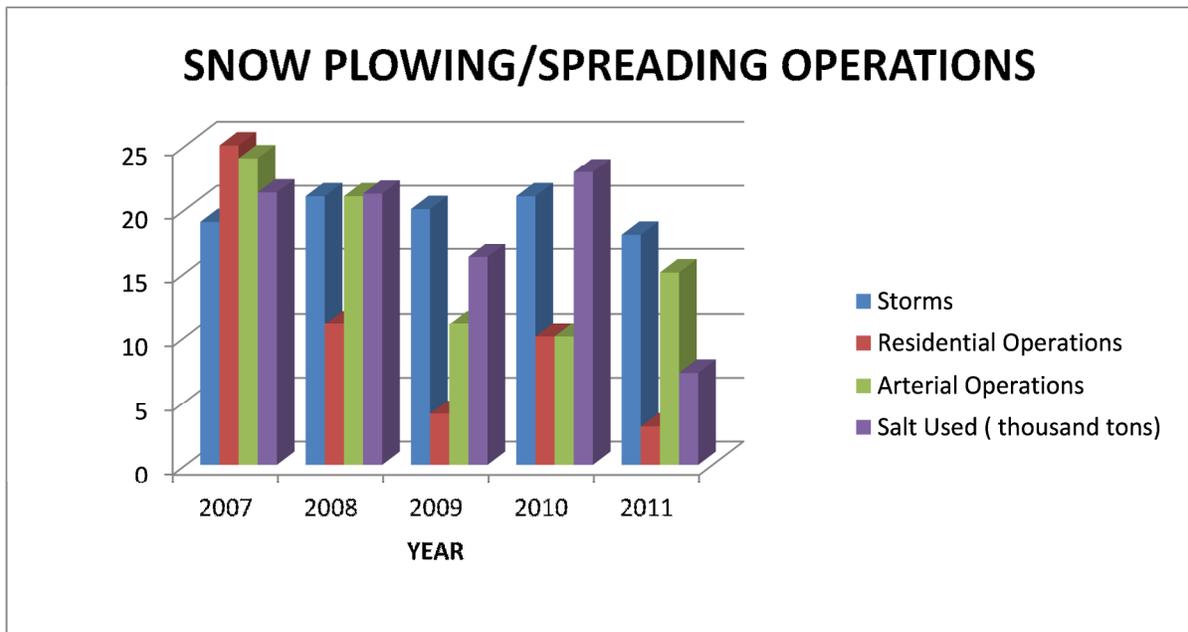
Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Snow & Ice Dumps	10	147,000	1,470,000
Clam Loader	1	95,000	95,000
Brush Truck	1	95,000	95,000

Street Maintenance Division

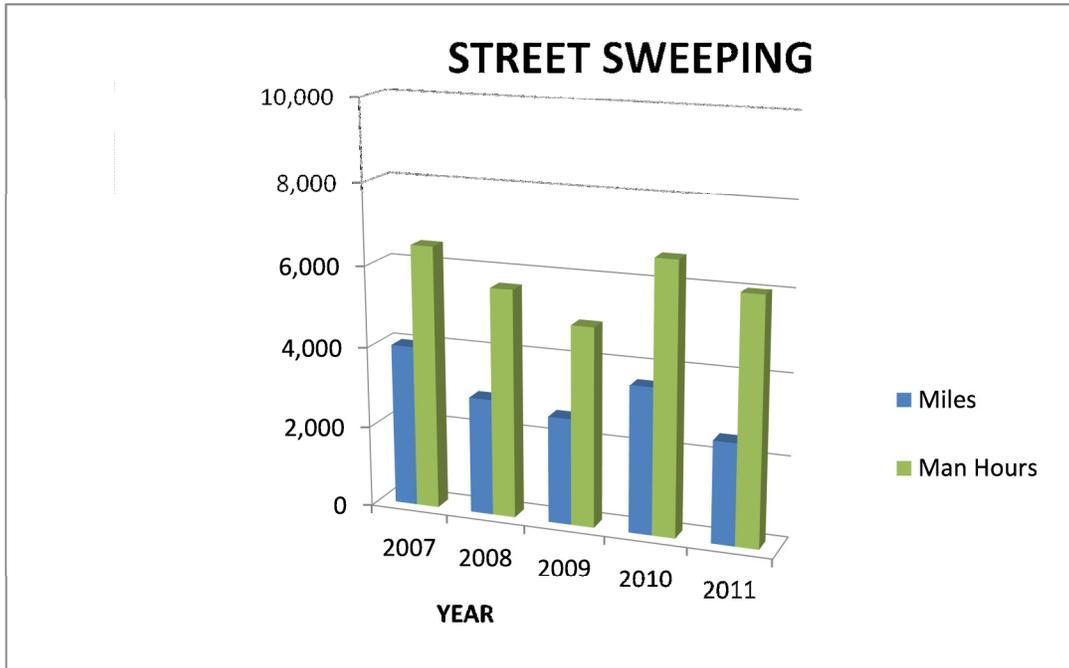
Patching Truck	1	113,000	113,000
Standard Dump Truck	1	40,000	40,000
Flatbed Truck	1	21,000	21,000
Arrowboard Truck	2	21,000	42,000
Sedan	1	15,000	15,000
Wheel Loader	<u>1</u>	152,000	<u>152,000</u>
Total	17		\$2,043,000

Information and Statistics



As noted earlier, about one-quarter of the Street Division budget is allocated to snow and ice control. Actual expenditures vary significantly depending upon total expected snowfall, temperature, and the type of precipitation that occurs. In the 2010-11 winter season, the Division responded to 22 occurrences.

Street Maintenance Division



The division is responsible for sweeping an estimated 3,992 miles of arterial and residential streets, as well as arterial streets in business districts.

Street Maintenance Division

Personnel Review

PUBLIC WORKS STREET MAINTENANCE DIVISION				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		BUDGET	BUDGET	
PERMANENT		\$1,310,328	\$1,312,685	\$2,357
OVERTIME		180,000	180,000	0
TEMPORARY		36,000	36,000	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		0	26,254	26,254
TOTAL SALARIES		\$1,526,328	\$1,554,939	\$28,611
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$313,189	\$326,592	\$13,403
UNEMPLOYMENT TAX		5,580	5,580	0
WORKMEN'S COMPENSATION		66,825	71,497	4,672
HEALTH INSURANCE		458,666	487,474	28,808
RETIREE HEALTH INSURANCE		8,424	8,424	0
LIFE INSURANCE		2,418	2,418	0
TOTAL BENEFITS		\$855,102	\$901,985	\$46,883
TOTAL COMPENSATION		\$2,381,430	\$2,456,924	\$75,494
	POSTION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	RANGE	EMPLOYEES	EMPLOYEES	
MAINTENANCE SUPERVISOR	E-7	2.00	2.00	0.00
SENIOR EQUIPMENT OPERATOR	A-26	3.00	2.00	(1.00)
SENIOR TREE TRIMMER	A-26	1.00	1.00	0.00
TREE TRIMMER	A-24	3.00	6.00	3.00
EQUIPMENT OPERATOR	A-23	8.00	5.00	(3.00)
SENIOR CLERK	A-23	2.00	2.00	0.00
SENIOR SECRETARY	A-20	1.00	1.00	0.00
MAINTENANCE WORKER	A-20	11.00	12.00	1.00
TOTAL PERSONNEL		31.00	31.00	0.00

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Plowing/spreading occurrences	21	18	18	20
Street sweeping cycles	3.00	2.50	5.00	5.00
Pothole patching (tons used)	1,868	1,068	1,800	1,500
Tree trims	1,181	865	2,500	3,000
Tree plantings	28	18	200	300

**PUBLIC WORKS - STREET DIVISION
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	13-12 BUDGET
71112	Salaries Permanent	1,358,347	1,310,328	786,371	1,312,685	2,357
71113	Salaries Temporary	30,361	36,000	-	36,000	-
71118	Severance Pay	-	-	55,000	-	-
71119	Out Of Class Pay	1,815	-	631	-	-
71122	Salaries Overtime Perm	340,950	180,000	181,635	180,000	-
71129	Salary Adjustment	-	-	-	26,254	26,254
71180	Employee Agency Wages	-	-	28,245	-	-
71251	IMRF	339,945	313,189	210,938	326,592	13,403
71253	Unemployment	12,872	5,580	6,342	5,580	-
71262	Workmen's Compensation	82,779	66,825	56,039	71,497	4,672
71263	Health Insurance	491,031	458,666	303,608	487,474	28,808
71264	Life Insurance	2,669	2,418	1,549	2,418	-
71265	Retiree Health Insurance	16,006	8,424	4,914	8,424	-
TOTAL PERSONNEL		2,676,775	2,381,430	1,635,272	2,456,924	75,494
72203	Wireless	-	6,735	1,339	6,735	-
72211	Printing & Publication	445	1,000	446	1,000	-
72212	Postage	-	100	-	100	-
72213	Telephone	17,385	11,735	4,187	11,735	-
72214	Travel	5	2,000	-	2,000	-
72215	Dues	357	1,300	-	1,300	-
72216	Subscriptions	1,064	-	145	-	-
72217	Advertising	-	500	-	500	-
72218	Service Contracts	1,560,284	1,235,300	1,064,012	1,788,000	552,700
72231	Utilities-Bldg & Off	6,146	300	103	300	-
72232	Utilities-Str Light	-	200	-	200	-
72252	Maint-Equipment	52	2,000	-	-	(2,000)
72255	Office Equip Maint	340	-	-	-	-
72263	Microcomputer	65,110	79,650	46,463	83,060	3,410
72264	Vehicle Repairs	1,024,844	950,000	402,658	935,000	(15,000)
72265	Fuel	199,046	252,590	84,432	230,200	(22,390)
72267	Risk Management	154,520	378,380	220,722	494,640	116,260
72268	Central Store Services	91,800	91,800	53,550	100,800	9,000
72271	Rental Equipment	3,884	10,000	3,739	10,000	-
72272	Rental Building	555,940	392,040	228,690	399,960	7,920
72290	Education And Training	1,049	4,000	2,620	4,000	-
72295	Garbage - Composting	14,682	15,000	-	15,000	-
72298	Garbage Disposal	115,454	140,000	2,530	-	(140,000)
TOTAL CONTRACTUAL		3,812,407	3,574,630	2,115,636	4,084,530	509,900
75501	Public Works	1,073,971	1,368,000	1,138,158	1,368,000	-
75520	Small Equipment And Tools	374	-	765	-	-
75521	Medicine And Drugs	-	500	-	500	-
75525	Food	2,007	2,000	1,603	2,000	-
75527	Linens And Laundry	3,345	6,000	1,699	6,000	-
75542	Tree Maint	1,635	-	-	-	-
75543	Maint-Equipment	-	1,000	-	1,000	-
75560	Office General Supplies	3,621	3,500	1,145	3,500	-
75570	Computer Noncapital	85	3,000	-	3,000	-
TOTAL SUPPLIES		1,085,038	1,384,000	1,143,370	1,384,000	-
77725	Purch Service-General Fd	19,159	19,159	11,176	19,159	-
77728	Purch Serv Tranf-Water	-	73,400	42,817	68,800	(4,600)
TOTAL OTHER		19,159	92,559	53,993	87,959	(4,600)
79911	Lease Payments	-	-	-	277,400	277,400
TOTAL CAPITAL		-	-	-	277,400	277,400
TOTAL STREET DIVISION		7,593,379	7,432,619	4,948,271	8,290,813	858,194

Traffic Division

Mission Statement

It is the mission of the Traffic Division to regulate all traffic activity on City streets in order to ensure the safety of pedestrians and motorists is provided.

Primary Functions → The primary functions of the Traffic Engineering Division include:

- Installation and maintenance of traffic signals, corridor signal systems, emergency vehicle preemption, and special event traffic control equipment.
- Roadway sign fabrication, installation and maintenance for the roadway signs and pavement marking maintenance within the City.
- Repair of City-owned street lighting equipment within the downtown and other outlying business districts.

2012 Accomplishments →

- Continued to upgrade traffic lights with LED lights.
- Researched different types of lighting for outdoor use in parking decks, parking lots, and on-street lighting.
- Replaced and installed a traffic signal mast arm with existing staff.
- Completed 130 lane miles of striping City-wide.
- Completed a plan to replace all existing school signs City-wide.
- Completed replacement of downtown signage.
- Developed a successful plan to efficiently stripe the streets and crosswalks in a proficient manner that maintains City of Rockford standards.
- Installed four electrical posts for City Market.
- Installed and mounted a surveillance camera for the Police Department.
- Continued to upgrade signage in accordance with mandated changes to the Manual on Uniform Traffic Control Devices, required by 2015.

2013 Goals and Objectives →

- Institute phase 1 plan for centralized traffic control center.
- Research and develop a plan to reduce energy consumption for street lights.
- Implement a four year plan to replace failing traffic signal LEDs.
- Continue to upgrade traffic lights with LED lights.
- Continue to lay fiber optic cable and improve the surrounding network.
- Work with outside partners to develop a plan for a new infrastructure system.
- Continue to upgrade signage for the MUTCD mandate of 2015.

Traffic Division

Budget Summary

PUBLIC WORKS TRAFFIC DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PERSONNEL	\$900,254	\$845,434	\$925,607	\$945,978	\$20,371
CONTRACTUAL	3,441,710	3,078,383	2,916,385	2,479,325	(437,060)
SUPPLIES	189,397	226,282	266,000	341,000	75,000
OTHER	24,300	23,700	24,000	24,600	600
CAPITAL	16,899	0	0	19,120	19,120
ENCUMBRANCES	0	0	0	0	0
TOTAL	<u>\$4,572,560</u>	<u>\$4,173,799</u>	<u>\$4,131,992</u>	<u>\$3,810,023</u>	<u>(\$321,969)</u>

STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
TOTAL	13.00	11.00	11.00	11.00	0.00

FUNDING SOURCE	2010 ACTUAL	2011 ACTUAL	2012 BUDGET	2013 BUDGET	INCREASE (DECREASE)
PROPERTY TAXES					
REIMBURSEMENTS	\$171,028	\$145,945	\$155,275	\$166,189	\$10,914
PURCHASE OF SERVICES	24,300	23,700	24,000	24,500	500
FROM OTHER GOVERNMENTS	0	0	180,000	180,000	0
I-FIBR JULIE LOCATES	0	0	0	25,000	25,000
STATE MOTOR FUEL TAX	0	2,400,000	1,200,000	1,200,000	0
GENERAL REVENUES	<u>4,377,232</u>	<u>1,604,154</u>	<u>2,572,717</u>	<u>2,214,334</u>	<u>(358,383)</u>
TOTAL	<u>\$4,572,560</u>	<u>\$4,173,799</u>	<u>\$4,131,992</u>	<u>\$3,810,023</u>	<u>(\$321,969)</u>

Budget Analysis

- Salaries increase \$13,100 due to general step and longevity increases.
- A 2% wage increase is budgeted for all department staff for a total cost of \$12,500.
- Street lighting expense decrease \$450,000 due to reduction in street lights.
- Public Works supplies increase \$75,000 to replace 280-320 traffic LED lights.
- Capital expenses of \$19,100 cover lease payments for vehicles purchased in 2012.

Traffic Division

Capital Equipment

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Heavy Duty Bucket Truck	1	95,000	95,000
Light Duty Bucket Truck	1	35,000	35,000
Pickup Truck	<u>1</u>	26,000	<u>26,000</u>
Total	3		\$156,000

Personnel Review

PUBLIC WORKS TRAFFIC DIVISION				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERMANENT		\$579,282	\$592,356	\$13,074
OVERTIME		37,000	37,000	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		<u>0</u>	<u>12,503</u>	<u>12,503</u>
TOTAL SALARIES		<u>\$616,282</u>	<u>\$641,859</u>	<u>\$25,577</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$128,372	\$136,844	\$8,472
UNEMPLOYMENT TAX		1,980	1,980	0
WORKER'S COMPENSATION		24,923	27,365	2,442
HEALTH INSURANCE		153,192	137,072	(16,120)
LIFE INSURANCE		<u>858</u>	<u>858</u>	<u>0</u>
TOTAL BENEFITS		<u>\$309,325</u>	<u>\$304,119</u>	<u>(\$5,206)</u>
TOTAL COMPENSATION		<u>\$925,607</u>	<u>\$945,978</u>	<u>\$20,371</u>
POSITION TITLE	POSTION RANGE	2012 <u>EMPLOYEES</u>	2013 <u>EMPLOYEES</u>	INCREASE/ (DECREASE)
TRANSP/PROP MANAGER	E-8	1.00	1.00	0.00
TRAFFIC SIGNAL SUPERVISOR	E-8	1.00	1.00	0.00
CREW LEADER	A-28	1.00	1.00	0.00
TRAFFIC SIGNAL REPAIRER	A-26	5.00	5.00	0.00
SIGN/MARKING TECHNICIAN	A-22	2.00	2.00	0.00
SENIOR CLERK	A-19	<u>1.00</u>	<u>1.00</u>	<u>0.00</u>
TOTAL PERSONNEL		<u>11.00</u>	<u>11.00</u>	<u>0.00</u>

**TRAFFIC DIVISION
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Permanent	509,038	579,282	315,372	592,356	13,074
71113	Temporary	-	-	74	-	-
71119	Out Of Class Pay	-	-	-	-	-
71122	Salaries Overtime Perm	59,759	37,000	31,969	37,000	-
71129	Salary Adjustment	-	-	-	12,503	12,503
71251	IMRF	115,195	128,372	71,666	136,844	8,472
71253	Unemployment	2,725	1,980	1,540	1,980	-
71262	Workmen's Compensation	28,025	24,923	20,529	27,365	2,442
71263	Health Insurance	129,911	153,192	75,421	137,072	(16,120)
71264	Life Insurance	781	858	435	858	-
TOTAL PERSONNEL		845,434	925,607	517,006	945,978	20,371
72203	Wireless	-	6,250	1,796	6,250	-
72211	Printing & Publication	182	600	88	600	-
72212	Postage	773	1,000	439	1,000	-
72213	Telephone	8,723	4,715	2,135	4,715	-
72214	Travel	-	4,100	304	4,100	-
72215	Dues	630	600	-	600	-
72216	Subscriptions	-	200	-	200	-
72217	Advertising	-	200	-	200	-
72218	Service Contracts	110,040	101,900	27,599	101,900	-
72232	Utilities-Str Light	2,479,471	2,380,440	981,608	1,930,440	(450,000)
72252	Maint-Equipment	243	1,000	585	1,000	-
72253	Maint-Public Works	-	24,000	-	24,000	-
72263	Microcomputer	41,650	45,790	26,711	47,800	2,010
72264	Vehicle Repairs	71,310	70,000	47,696	75,000	5,000
72265	Fuel	30,314	33,100	17,075	31,840	(1,260)
72267	Risk Management	101,830	57,140	33,332	59,130	1,990
72268	Central Store Services	42,080	46,200	26,950	46,200	-
72271	Rental Equipment	533	-	-	-	-
72272	Rental Building	189,530	133,650	77,963	136,350	2,700
72290	Education And Training	1,074	5,500	2,379	8,000	2,500
72292	Garbage Collection	-	-	-	-	-
TOTAL CONTRACTUAL		3,078,383	2,916,385	1,246,660	2,479,325	(437,060)
75501	Public Works	222,815	254,000	63,523	329,000	75,000
75520	Small Tools	1,552	-	1,315	-	-
75525	Food	-	-	235	-	-
75540	Maint-Building	-	-	680	-	-
75543	Maint-Equipment	-	5,000	-	7,000	2,000
75560	Office General Supplies	1,915	7,000	992	5,000	(2,000)
TOTAL SUPPLIES		226,282	266,000	66,745	341,000	75,000
77727	Purchase Service Tranf	23,700	24,000	14,000	24,600	600
TOTAL OTHER		23,700	24,000	14,000	24,600	600
79922	Vehicle & Operating Equip	-	-	-	19,120	19,120
TOTAL CAPITAL		-	-	-	19,120	19,120
TOTAL TRAFFIC DIVISION		4,173,799	4,131,992	1,844,411	3,810,023	(321,969)

Parking Division

Mission Statement

It is the mission of the Parking Division to provide quality parking for a variety of users with differing time needs in the City commercial business districts.

Primary Functions → The primary function of the Parking Division is to provide lot maintenance, issue tickets, and collect parking fees in the various facilities of the Motor Vehicle Parking System (MVPS).

2012 Accomplishments →

- Assisted with implementation of the new sign management system for Hansen.
- Upgraded the parking permit/badge system for the Metro Deck.
- Upgraded the wiring and lights at the Water Street Deck.
- Trained entire department on Auto VU license plate reading system.
- Completed rewiring project and installed new energy efficient lighting at the T-Deck.

2013 Goals and Objectives →

- Install solar sinks in City sprinkler systems, which monitor moisture and heat and water accordingly to reduce water use.
- Begin repairs on significant degradation at the Concourse Parking Deck.
- Resurface parking lots A (Mulberry & Court), Lot W (North & Mulberry), and Lot O (Myott/Toner).
- Continue to upgrade parking system communications infrastructure.

Parking Division

PUBLIC WORKS PARKING SYSTEM		
<u>LOT</u>	<u>LOCATION</u>	<u>TOTAL SPACES</u>
West Side		
A	Mulberry/Court/Winnebago	125
B	Wyman-Elm Deck	329
C	Wyman/Park	125
CC	Library/River/Park	23
I	Chestnut/Court/Winnebago	80
L	State-Main Deck	287
P	State-Winnebago	166
S	Concourse Parking Garage	843
W	Wyman/Mulberry/Main	59
WW	Memorial Hall/Library	52
Y	Pioneer Deck	786
SS	Hanley	129
	AmCore Lot	50
	Block 38 Metro Lease	68
	Total West Side CBD	<u>3,122</u>
East Side		
D	City Hall	70
DD	Walnut/2nd Street	14
J	Shumway Market	112
M	East State/Madison	91
N	East State/3rd Street	20
Q	East State, Madison/1st	55
R	East State/2nd Street	17
T	East State/Water Garage	96
TT	200 North Water	15
	Church Lot-Leased City Hall	<u>112</u>
	Total East Side CBD	<u>602</u>
Broadway		
F	13th Avenue, 7th/8th	86
V	Broadway(1200 Block)	23
	Total Broadway Side CBD	<u>109</u>
South Main		
H	South Main/Kent	30
HH	South Main/Morgan	25
K	1000 South Main	30
K1	1000 South Main	15
	Total South Main CBD	<u>100</u>
North Main		
G	Latham/King	79
O	Toner/Myott	100
	Total North Main CBD	<u>179</u>
7th Street		
EE	1st Avenue, 7th/2nd Ave.	79
X	7th, 2nd/3rd Avenues	28
	Total System of 30 lots and 4 garages in six areas	<u>4,219</u>

Parking Division

Budget Summary

PUBLIC WORKS PARKING DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$483,700	\$483,700	\$490,031	\$485,934	(\$4,097)
CONTRACTUAL	430,325	430,325	517,545	534,385	16,840
SUPPLIES	27,959	27,959	41,550	42,500	950
OTHER	1,135,078	1,135,078	1,318,870	1,355,376	36,506
INTEREST	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$2,077,062</u>	<u>\$2,077,062</u>	<u>\$2,367,996</u>	<u>\$2,418,195</u>	<u>\$50,199</u>
STAFFING REVIEW					
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
TOTAL	8.50	8.50	8.50	8.50	0.00
FUNDING SOURCE					
	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PARKING FEES	\$1,128,204	\$1,279,816	\$1,328,000	\$1,367,900	\$39,900
FINES	536,070	433,696	501,020	516,000	14,980
RENTAL/INTEREST INCOME	107,030	119,169	112,700	116,100	3,400
PURCHASE OF SERVICES	24,300	23,700	24,400	25,100	700
FEDERAL GOVERNMENT	<u>330,232</u>	<u>30,544</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$2,125,836</u>	<u>\$1,886,925</u>	<u>\$1,966,120</u>	<u>\$2,025,100</u>	<u>\$58,980</u>

Budget Analysis

- Salaries decrease \$16,200 due to retirements.
- A 2% wage increase is budgeted for all department staff for a total cost of \$6,600.
- Debt service expense decrease \$39,200.
- Purchase of service increase \$84,300 due to reassessment of services provided by the Finance Department to the system.
- The 2013 net is a loss of \$393,095; net of depreciation, it is a gain of \$320,905.

Parking Division

Five Year Financial Forecast

The 2014-2018 five year forecast for the Parking Fund incorporates current rates, the changes in lots and permits, the expenditures associated with the new facilities and operating cost increases of three to five percent annually. Consideration needs to be given to adjusting the permit and fine structure periodically if lots are to be redone, signage added, and enforcement/payment equipment acquired.

PARKING FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$2,025	\$2,086	\$2,149	\$2,213	\$2,279
Expenses	2,418	2,506	2,586	2,641	2,699
Excess (Deficit)	<u>(393)</u>	<u>(420)</u>	<u>(437)</u>	<u>(428)</u>	<u>(420)</u>
Beginning Balance	<u>16,015</u>	<u>15,622</u>	<u>15,202</u>	<u>14,765</u>	<u>14,337</u>
Ending Balance	<u>\$15,622</u>	<u>\$15,202</u>	<u>\$14,765</u>	<u>\$14,337</u>	<u>\$13,917</u>

Fixed Assets

There are no fixed assets budgeted for 2013.

Parking Division

Personnel Review

PUBLIC WORKS PARKING DIVISION				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		BUDGET	BUDGET	
PERMANENT		\$347,376	\$331,197	(\$16,179)
TEMPORARY		5,000	5,000	0
OVERTIME		5,500	5,500	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		<u>0</u>	<u>6,624</u>	<u>6,624</u>
TOTAL SALARIES		<u>\$357,876</u>	<u>\$348,321</u>	<u>(\$9,555)</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$73,887	\$73,579	(\$308)
UNEMPLOYMENT TAX		1,530	1,530	0
WORKMEN'S COMPENSATION		7,523	5,374	(2,149)
HEALTH INSURANCE		46,644	54,418	7,774
RETIREE INSURANCE		0	0	0
LIFE INSURANCE		663	663	0
PARKING		<u>1,908</u>	<u>2,052</u>	<u>144</u>
TOTAL BENEFITS		<u>\$132,155</u>	<u>\$137,616</u>	<u>\$5,461</u>
TOTAL COMPENSATION		<u>\$490,031</u>	<u>\$485,937</u>	<u>(\$4,094)</u>
POSITION TITLE	POSTION RANGE	2012 EMPLOYEES	2013 EMPLOYEES	INCREASE/ (DECREASE)
PARKING SYSTEMS SUPERVISOR	E-7	1.00	1.00	0.00
PARKING LOT ATTENDANT	A-17	2.50	2.50	0.00
PARKING SYSTEM REPAIRER	A-22	2.00	2.00	0.00
PARKING CONTROL OFFICER	A-19	<u>3.00</u>	<u>3.00</u>	<u>0.00</u>
TOTAL PERSONNEL		<u>8.50</u>	<u>8.50</u>	<u>0.00</u>

Performance Measurements

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Total parking spaces	4,504	4,504	4,504	4,233
Total short-term parking leases	1,404	1,404	1,404	1,300
Total long-term parking leases	2,500	2,500	2,500	2,375
Handicapped parking spaces	152	155	155	158
Dollar amount of tickets issued	527,355	410,290	468,825	514,825
Number of tickets issued	18,268	15,508	16,100	18,440

**NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
MOTOR VEHICLE PARKING FUND**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Salaries Permanent	356,692	347,376	156,549	331,198	(16,178)
71113	Salaries Temporary	6,679	5,000	10,224	5,000	-
71122	Salaries Overtime Perm	4,350	5,500	2,228	5,500	-
71129	Salary Adjustment	-	-	-	6,624	6,624
71180	Employee Agency Wages	-	-	31,026	-	-
71251	IMRF	76,581	73,887	32,009	73,579	(308)
71253	Unemployment	1,563	1,530	995	1,530	-
71262	Workmen's Compensation	6,944	7,523	5,313	5,370	(2,153)
71263	Health Insurance	47,853	46,644	26,987	54,418	7,774
71264	Life Insurance	672	663	219	663	-
71271	Parking Benefits	1,440	1,908	1,113	2,052	144
TOTAL PERSONNEL		502,774	490,031	266,663	485,934	(4,097)
72203	Wireless Expense	-	450	97	450	-
72211	Printing & Publication	4,290	3,000	3,026	3,000	-
72212	Postage	11	300	34	300	-
72213	Telephone	10,547	8,960	3,942	8,960	-
72218	Service Contracts	75,530	76,000	36,553	75,000	(1,000)
72231	Utilities-Bldg & Off	37,249	36,830	14,476	36,830	-
72232	Utilities-Str Light	19,412	24,500	10,088	23,000	(1,500)
72233	Snow Removal	11,857	45,000	14,486	45,000	-
72251	Maint-Building	14,257	45,000	9,717	45,000	-
72252	Maint-Equipment	143	25,000	134	25,000	-
72253	Maint-Public Works	-	33,185	-	34,185	1,000
72259	Contracted Janitorial Ser	6,300	3,600	-	3,600	-
72263	Microcomputer	11,660	19,100	11,142	19,900	800
72264	Vehicle Repairs	22,899	19,000	24,642	23,000	4,000
72265	Fuel	15,979	2,420	8,058	2,420	-
72267	Risk Management	16,050	5,310	3,097	6,180	870
72268	Central Store Services	29,640	21,000	12,250	21,000	-
72272	Rental Building	75,190	138,440	80,757	150,760	12,320
72281	Prof Fee Legal	6,345	7,500	3,625	7,500	-
72282	Prof Fee Auditing	787	450	-	800	350
72290	Education And Training	40	500	80	500	-
72297	Garbage Collection	1,080	2,000	630	2,000	-
72299	Miscellaneous	120	-	-	-	-
TOTAL CONTRACTUAL		359,386	517,545	236,834	534,385	16,840
75501	Public Works	12,745	17,000	5,818	17,000	-
75520	Small Equipment And Tools	1,084	800	-	1,500	700
75524	Clothing	1,520	2,000	272	2,000	-
75540	Maint-Building	9,920	8,150	6,774	8,400	250
75541	Maint-Grounds	-	9,800	-	9,800	-
75546	Maint-Janitorial & Cng	769	300	184	300	-
75560	Office General Supplies	1,417	3,500	1,781	3,500	-
TOTAL SUPPLIES		27,455	41,550	14,829	42,500	950
76760	Property Taxes	1,317	2,200	837	2,200	-
76780	Depreciation	726,913	722,620	421,528	714,000	(8,620)
77721	Tranf To Debt Serv Fd	60,000	225,350	131,454	186,150	(39,200)
77725	Purch Service-General Fd	368,900	368,700	215,075	453,026	84,326
TOTAL OTHER		1,157,130	1,318,870	768,894	1,355,376	36,506
79911	Building-Improvements	-	-	98,823	-	-
TOTAL CAPITAL		-	-	98,823	-	-
TOTAL PARKING DIVISION		2,046,745	2,367,996	1,386,043	2,418,195	50,199

Property Division

Mission Statement

It is the mission of the Property Services Division to maintain and operate select City buildings.

Primary Function→ Property Maintenance provides maintenance and repair services to City Hall, City Yards, and other municipal locations.

2012 Accomplishments →

- Completed roof drainage repairs in cold storage area at City Yards.
- Renovated Cedar Street training facility and wellness room for the Water Division.
- Completed renovations of the 911 Division's office space.
- Renovated the Sign Shop space at City Yards for the Traffic Division.
- Painted all walls and carpeted City Hall floors 1, 2, and 8 and offices on 3 and 4.
- Renovated the Supervisor office in Central Garage area at City Yards.
- Created office space on 4th floor of City Hall by adding a wall.
- Repaired a major gas leak at the City Yards.
- Completed City-wide Fire Station maintenance.
- Completed replacement of the audio system in Council Chambers at City Hall.

2013 Goals and Objectives→

- Complete roof repairs at City Yards over the Central Garage.
- Proceed with security upgrades to City Hall.
- Select and implement a new property work order system, for better tracking of time, expense and materials, management of preventative maintenance programs, and deployment of staff.
- Replace failing modines at the City Yards.
- Continue carpet replacement program at City Hall floors 5 and 6.
- Replace Administration carpet at the City Yards.
- Install a backup generator for the Public Works Administration building at City Yards, for use (when necessary) during snow and ice operation.
- Begin 10 year heat pump replacement for the entire City Hall system.

Property Division

Budget Summary

PROPERTY FUND BUDGET SUMMARY					
	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$728,545	\$731,433	\$809,562	\$854,792	\$45,230
CONTRACTUAL	1,373,891	1,433,695	1,313,055	986,150	(326,905)
SUPPLIES	201,250	250,282	208,670	205,600	(3,070)
OTHER	<u>224,835</u>	<u>215,780</u>	<u>214,340</u>	<u>225,560</u>	<u>11,220</u>
TOTAL	<u>2,528,521</u>	<u>2,631,190</u>	<u>2,545,627</u>	<u>2,272,102</u>	<u>(273,525)</u>

STAFFING REVIEW					
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	INCREASE (DECREASE)
TOTAL	11.00	10.00	10.00	10.00	0.00

FUNDING SOURCE					
	<u>2010 ACTUAL</u>	<u>2011 ACTUAL</u>	<u>2012 BUDGET</u>	<u>2013 BUDGET</u>	INCREASE (DECREASE)
BUILDING RENTAL CHARGES					
GENERAL FUND	\$1,177,948	\$1,325,560	\$1,335,240	\$1,409,690	\$74,450
OTHER FUNDS	910,163	892,493	891,665	982,510	90,845
EXTERNAL	96,635	53,073	54,960	0	(54,960)
STATE OF IL GRANT	11,263	0	0	0	0
MISCELLANEOUS	858	0	0	0	0
TRANSFER FROM STREET DIV	19,159	19,159	0	19,159	19,159
TRANSFER FROM WATER FUN	5,404	5,404	0	5,404	5,404
GRAFFITI RECOVERY	8,077	7,829	0	0	0
INTEREST INCOME	<u>3,820</u>	<u>29,096</u>	<u>0</u>	<u>20,000</u>	<u>20,000</u>
PROPERTY FUND TOTAL	<u>2,233,327</u>	<u>2,332,614</u>	<u>2,281,865</u>	<u>2,436,763</u>	<u>154,898</u>

Budget Analysis

- Changes are largely a result of the elimination of maintenance expenses at the Coronado and Davis Park, including \$255,000 in utilities, \$50,000 in service contracts, and other various reductions.
- An addition of \$25,000 to equipment non-capital reflects purchases for necessary office equipment and reflects actual, historical expenditures.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes operations will continue as they are programmed for 2013 and that costs will increase three percent annually. Budgets are developed so that funds are annually available for fixed assets such as building improvements and fueling systems.

Property Division

PROPERTY FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$2,510	\$2,585	\$2,663	\$2,743	\$2,825
Expenses	<u>2,330</u>	<u>2,400</u>	<u>2,472</u>	<u>2,546</u>	<u>2,622</u>
Excess (Deficit)	<u>180</u>	<u>185</u>	<u>191</u>	<u>197</u>	<u>203</u>
Beginning Balance	<u>560</u>	<u>740</u>	<u>925</u>	<u>1,116</u>	<u>1,313</u>
Ending Balance	<u>\$740</u>	<u>\$925</u>	<u>\$1,116</u>	<u>\$1,313</u>	<u>\$1,516</u>

Fixed Assets

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Pickup Truck	<u>2</u>	20,000	<u>40,000</u>
Total	2		\$40,000

Personnel Review

PROPERTY FUND				
		<u>2012</u>	<u>2013</u>	<u>INCREASE/</u>
		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
BENEFITS AND SALARIES				
SALARY				
PERMANENT		\$457,188	\$470,532	\$13,344
TEMPORARY		40,000	40,000	0
OVERTIME		11,300	11,300	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		0	9,411	9,411
TOTAL SALARIES		<u>\$508,488</u>	<u>\$531,243</u>	<u>\$22,755</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		100,646	\$107,793	\$7,147
UNEMPLOYMENT TAX		1,800	1,800	0
WORKMEN'S COMPENSATION		19,554	22,258	2,704
HEALTH INSURANCE		177,658	190,918	13,260
LIFE INSURANCE		780	780	0
PARKING BENEFITS		<u>636</u>	<u>0</u>	<u>(636)</u>
TOTAL BENEFITS		<u>\$301,074</u>	<u>\$323,549</u>	<u>\$22,475</u>
TOTAL COMPENSATION		<u>\$809,562</u>	<u>\$854,792</u>	<u>\$45,230</u>
PERSONNEL				
POSITION TITLE	POSTION	2012	2013	INCREASE/
	RANGE	EMPLOYEES	EMPLOYEES	(DECREASE)
PROPERTY SUPERVISOR	E-8	1.00	1.00	0.00
MAINTENANCE REPAIR WORKER	A-24	7.00	9.00	2.00
MAINTENANCE WORKER	A-20	<u>2.00</u>	<u>0.00</u>	<u>(2.00)</u>
TOTAL PERSONNEL		<u>10.00</u>	<u>10.00</u>	<u>0.00</u>

**PUBLIC WORKS - PROPERTY MAINTENANCE FUND
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	13-12 CHANGE
71112	Salaries Permanent	430,796	457,188	252,830	470,532	13,344
71113	Salaries Temporary	11,366	40,000	12,066	40,000	-
71119	Out of Class Pay	-	-	26	-	-
71122	Salaries Overtime Perm	9,821	11,300	9,224	11,300	-
71129	Salary Adjustment	-	-	-	9,411	9,411
71251	IMRF	89,675	100,646	54,604	107,793	7,147
71253	Unemployment	1,673	1,800	1,748	1,800	-
71262	Workmen's Compensation	23,761	19,554	17,347	22,259	2,705
71263	Health Insurance	163,121	177,658	106,077	190,917	13,259
71264	Life Insurance	710	780	414	780	-
71271	Parking Benefits	510	636	371	-	(636)
TOTAL PERSONNEL		731,433	809,562	454,707	854,792	45,230
72203	Wireless	-	3,800	3,811	3,800	-
72211	Printing & Publication	264	1,575	57	1,500	(75)
72213	Telephone	15,607	11,020	3,711	11,020	-
72214	Travel	-	3,000	426	3,000	-
72216	Subscriptions	247	-	257	-	-
72218	Service Contracts	265,712	249,550	131,444	199,550	(50,000)
72231	Utilities-Bldg & Off	495,170	513,600	162,057	258,500	(255,100)
72232	Utilities-Street Lights	183	-	80	-	-
72251	Maint-Building	126,229	100,830	61,805	81,280	(19,550)
72252	Maint-Equipment	45,953	28,500	1,627	28,500	-
72259	Contracted Janitorial Ser	128,255	147,100	68,510	147,100	-
72263	Microcomputer	17,200	15,780	9,205	15,780	-
72264	Vehicle Repairs	35,873	39,500	21,918	36,000	(3,500)
72265	Fuel	13,639	14,520	6,913	14,240	(280)
72267	Risk Management	167,790	94,410	55,073	92,810	(1,600)
72268	Central Store Services	52,250	42,000	24,500	42,000	-
72271	Rental Equipment	11,508	5,000	5,363	9,000	4,000
72272	Rental Building	25,270	17,820	10,395	17,820	-
72282	Prof Fee Auditing	689	600	-	700	100
72283	Prof Fee Engineering	6,848	-	351	-	-
72290	Education And Training	424	-	945	-	-
72297	Garbage Collection	24,584	21,950	13,021	21,050	(900)
72299	Miscellaneous Contractual	-	2,500	-	2,500	-
TOTAL CONTRACTUAL		1,433,695	1,313,055	581,469	986,150	(326,905)
75520	Small Equipment And Tools	7,716	20,600	6,299	18,600	(2,000)
75524	Clothing	-	3,000	-	3,000	-
75525	Food	-	-	88	-	-
75526	Fuel	2,411	-	-	-	-
75527	Linens And Laundry	14,911	22,850	8,353	16,850	(6,000)
75540	Maint-Building	166,502	119,320	81,735	112,250	(7,070)
75543	Maint-Equipment	130	2,350	130	2,350	-
75546	Maint-Janitorial & Cng	32,042	31,500	18,854	16,500	(15,000)
75560	Office General Supplies	5,418	7,050	4,425	7,050	-
75570	Computer Noncapital	815	2,000	-	4,000	2,000
75591	Improvements Noncapital	3,930	-	-	-	-
75592	Equip & Furniture Noncapital	16,407	-	18,023	25,000	25,000
TOTAL SUPPLIES		250,282	208,670	137,907	205,600	(3,070)
76760	Property Taxes	25,886	24,700	5,940	24,700	-
76780	Depreciation	102,294	100,440	58,590	110,910	10,470
77725	Purch Service-General Fd	87,600	89,200	52,033	89,000	(200)
78839	Lease Interest	-	-	-	950	950
TOTAL OTHER		215,780	214,340	116,563	225,560	10,270
TOTAL PROPERTY DIVISION		2,631,190	2,545,627	1,290,646	2,272,102	(274,475)

Equipment Division

Mission Statement

It is the mission of the Equipment Services Division to service City vehicles.

Primary Functions→ The Equipment Maintenance provides preventative maintenance and repair services for all City vehicles, except the Fire Department's emergency equipment.

2012 Accomplishments →

- Maintained the fleet so that the operating divisions in Public Works and the other City departments could complete their assigned tasks.
- Utilized new on-line diagnostics, which allowed staff to increase their ability to repair all City vehicles more efficiently.
- Made several improvements to snow and ice fleet and equipment, including upgraded plow push frames, new plow wheels, and a new wing plow post, with the intent of decreasing down time for repairs to the fleet.
- Worked with operating departments and Finance staff to implement year one of the four-year lease program to replace all City vehicles.
- Provided efficient, cost effective maintenance and improved customer service on vehicles.

2013 Goals and Objectives →

- Continue to evaluate snow and ice fleet to ensure a continuous effective operation during critical snow and ice events.
- Purchase new tools for repair and analysis that will allow more effective repairs of equipment and vehicles.
- Continue to use cost effective procedures to maintain the fleet so that it will operate effectively.
- Research and implement the usage of eco-friendly oils and lubricants.
- Continue implementation of the City-wide leasing program and prepare new vehicles for service in a timely fashion.

Equipment Division

Budget Summary

EQUIPMENT FUND BUDGET SUMMARY					
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>INCREASE</u>
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
APPROPRIATION					
PERSONNEL	854,978	837,901	955,056	874,879	(\$80,177)
CONTRACTUAL	845,782	1,128,994	843,050	860,330	17,280
SUPPLIES	1,920,427	2,015,446	2,108,850	2,065,130	(43,720)
OTHER	<u>153,309</u>	<u>97,896</u>	<u>99,010</u>	<u>110,360</u>	<u>11,350</u>
TOTAL	<u>4,537,463</u>	<u>4,080,237</u>	<u>4,005,966</u>	<u>3,910,699</u>	<u>(95,267)</u>
STAFFING REVIEW					
TOTAL	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>INCREASE</u>
	11.00	10.00	10.00	9.00	<u>(DECREASE)</u>
					(1.00)
FUNDING SOURCE					
VEHICLE REPAIR CHARGES					
GENERAL FUND	2,022,208	1,870,850	1,891,450	1,841,800	(49,650)
OTHER FUNDS	585,639	504,071	469,500	545,800	76,300
FUEL CHARGES					
GENERAL FUND	1,021,101	1,252,182	1,332,480	1,252,830	(79,650)
OTHER FUNDS	255,976	311,806	248,870	328,150	79,280
MISCELLANEOUS	328	261	0	0	0
INTEREST INCOME	<u>6,230</u>	<u>19,858</u>	<u>0</u>	<u>0</u>	<u>0</u>
EQUIPMENT FUND TOTAL	<u>3,891,482</u>	<u>3,959,028</u>	<u>3,942,300</u>	<u>3,968,580</u>	<u>26,280</u>

Budget Analysis

- A reduction of mechanic staffing of one reflects shifting activity from repair to preventative maintenance with year one of the City's leasing program implemented. Total savings in personnel, offset by fringe benefit increases and a planned 2% wage increase are \$80,200.
- The fuel budget declines slightly, a reflection of the shrinking fleet size. 482,000 gallons are budgeted to be purchased for the year, a reduction from 2012's 501,000 gallons, for a total fuel savings of \$62,000.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes operations will continue as they are programmed for 2013 and that costs will increase three percent annually. Budgets are developed so that funds are annually available for fixed assets such as building improvements and fueling systems.

Equipment Division

EQUIPMENT FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$4,088	\$4,211	\$4,337	\$4,467	\$4,601
Expenses	<u>4,018</u>	<u>4,139</u>	<u>4,263</u>	<u>4,391</u>	<u>4,523</u>
Excess (Deficit)	<u>70</u>	<u>72</u>	<u>74</u>	<u>76</u>	<u>78</u>
Beginning Balance	<u>481</u>	<u>551</u>	<u>623</u>	<u>697</u>	<u>773</u>
Ending Balance	<u>\$551</u>	<u>\$623</u>	<u>\$697</u>	<u>\$773</u>	<u>\$851</u>

Fixed Assets

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Forklift	1	25,000	25,000
Sedan	<u>1</u>	15,000	15,000
Total	2		\$40,000

Personnel Review

EQUIPMENT FUND				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		BUDGET	BUDGET	
PERMANENT		\$591,733	\$520,843	(\$70,890)
OUT OF CLASS PAY		1,200	1,200	0
OVERTIME		29,000	29,000	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		<u>0</u>	10,417	10,417
TOTAL SALARIES		<u>\$621,933</u>	<u>\$561,460</u>	<u>(\$60,473)</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$129,549	\$119,704	(\$9,845)
UNEMPLOYMENT TAX		1,800	1,620	(180)
WORKMEN'S COMPENSATION		28,982	27,455	(1,527)
HEALTH INSURANCE		169,312	161,538	(7,774)
LIFE INSURANCE		780	702	(78)
TOOL ALLOWANCE		<u>2,700</u>	<u>2,400</u>	<u>(300)</u>
TOTAL BENEFITS		<u>\$333,123</u>	<u>\$313,419</u>	<u>(\$19,704)</u>
TOTAL COMPENSATION		<u>\$955,056</u>	<u>\$874,879</u>	<u>(\$80,177)</u>
	POSITION	2012	2013	INCREASE/ (DECREASE)
POSITION TITLE	RANGE	EMPLOYEES	EMPLOYEES	
EQUIPMENT SERVICES SUPERVISOR	E-8	1.00	1.00	0.00
AUTO SHOP SUPERVISOR	A-29	1.00	1.00	0.00
AUTO MECHANIC	A-28	<u>8.00</u>	<u>7.00</u>	<u>(1.00)</u>
TOTAL PERSONNEL		<u>10.00</u>	<u>9.00</u>	<u>(1.00)</u>

**EQUIPMENT FUND
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Salaries Permanent	523,339	591,733	318,535	520,843	(70,890)
71119	Out Of Class Pay	557	1,200	473	1,200	-
71122	Salaries Overtime Perm	25,046	29,000	15,034	29,000	-
71129	Salary Adjustment	-	-	-	10,417	10,417
71251	IMRF	112,501	129,549	68,963	119,704	(9,845)
71253	Unemployment	1,768	1,800	1,549	1,620	(180)
71262	Workmen's Compensation	19,200	28,982	13,907	27,455	(1,527)
71263	Health Insurance	152,637	169,312	97,527	161,538	(7,774)
71264	Life Insurance	720	780	429	702	(78)
71273	Tool Allowance	2,133	2,700	1,997	2,400	(300)
TOTAL PERSONNEL		837,901	955,056	518,414	874,879	(80,177)
72203	Wireless	-	385	464	385	-
72211	Printing & Publication	-	50	-	50	-
72212	Postage	20	150	64	150	-
72213	Telephone	7,150	6,655	1,916	6,655	-
72215	Dues	-	100	-	100	-
72218	Service Contracts	15,322	13,450	15,768	17,500	4,050
72252	Maint-Equipment	10,409	8,000	2,203	8,000	-
72257	Maint-Communication Equip	7,940	8,000	3,243	8,000	-
72259	Contracted Janitorial Ser	715	-	1,240	-	-
72263	Microcomputer	18,540	16,710	9,748	16,710	-
72264	Vehicle Repairs	17,918	12,200	23,333	18,000	5,800
72265	Fuel	5,321	7,560	4,234	7,610	50
72266	Vehicle Vendor Service	855,865	615,000	391,158	615,000	-
72267	Risk Management	630	1,350	4,428	9,290	7,940
72268	Central Store Services	34,430	37,800	22,050	37,800	-
72271	Rental Equipment	824	2,500	-	2,500	-
72272	Rental Building	151,620	109,790	62,370	109,080	(710)
72282	Prof Fee Auditing	984	850	-	1,000	150
72290	Education And Training	1,006	2,500	565	2,500	-
72297	Garbage Collection	300	-	175	-	-
72299	Misc Contractual	-	-	74	-	-
TOTAL CONTRACTUAL		1,128,994	843,050	543,033	860,330	17,280
75520	Small Equipment And Tools	13,328	17,000	6,431	17,000	-
75521	Medicine And Drugs	-	50	-	50	-
75526	Fuel And Lubricants	1,511,812	1,642,700	876,937	1,580,980	(61,720)
75527	Linens And Laundry	6,083	9,000	3,924	9,000	-
75543	Maint-Equipment	-	1,500	-	1,500	-
75544	Maint-Vehicles	459,829	417,000	220,077	435,000	18,000
75546	Maint-Janitorial & CIng	9	500	-	500	-
75547	Maint-Vehicle Miscel	20,565	20,000	9,559	20,000	-
75560	Office General Supplies	320	1,100	644	1,100	-
75592	Equipment Noncapital	3,500	-	-	-	-
TOTAL SUPPLIES		2,015,446	2,108,850	1,117,572	2,065,130	(43,720)
76780	Depreciation	22,796	21,110	12,314	31,410	10,300
77725	Purch Service-General Fd	75,100	77,900	45,442	78,000	100
78838	Lease Interest	-	-	-	950	950
TOTAL OTHER		97,896	99,010	57,756	110,360	11,350
TOTAL EQUIPMENT DIVISION		4,080,237	4,005,966	2,236,775	3,910,699	(95,267)

Central Supply Division

Mission Statement

It is the mission of the Central Supply Division to service City departments with necessary parts, tools, and equipment to accomplish their respective missions.

Primary Functions→ Central Supply is responsible for providing centralized inventory to the operating divisions in Public Works, providing purchasing, budgeting, and accounting support at various levels as needed.

2012 Accomplishments →

- Began implementing plan for low-lead brass changeover, mandated to be completed by January 1, 2014.
- Monitored safety equipment for OSHA compliance.
- Continued to provide parts and services to the operating divisions in a timely manner.
- Continued updating and implementing changes in the finance area of Central Supply.
- Effectively operated the small tool room.

2013 Goals and Objectives →

- Complete preparation for low-lead brass changeover, to be complete by year end.
- Continue monitoring safety equipment for OSHA compliance.
- Continue to provide parts and services to the operating divisions in a timely manner.
- Continue updating and implementing changes in the finance area of Central Supply.
- Continue operating the small tool room in an effective manner.

Central Supply Division

Budget Summary

CENTRAL SUPPLY FUND BUDGET SUMMARY					
	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERSONNEL	275,963	260,216	305,727	302,440	(\$3,287)
CONTRACTUAL	60,661	47,105	57,510	53,870	(3,640)
SUPPLIES	33,682	32,814	31,600	31,500	(100)
OTHER	19,756	22,000	23,460	24,160	700
TOTAL	<u>\$390,062</u>	<u>\$362,135</u>	<u>\$418,297</u>	<u>\$411,970</u>	<u>(\$6,327)</u>

STAFFING REVIEW					
	2010	2011	2012	2013	INCREASE
	<u>3.15</u>	<u>4.00</u>	<u>4.00</u>	<u>4.00</u>	<u>(DECREASE)</u>
CENTRAL SUPPLY	<u>3.15</u>	<u>4.00</u>	<u>4.00</u>	<u>4.00</u>	<u>0.00</u>
TOTAL	<u>3.15</u>	<u>4.00</u>	<u>4.00</u>	<u>4.00</u>	<u>0.00</u>

FUNDING SOURCE					
	2010	2011	2012	2013	INCREASE
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
INVENTORY CONTROL CHARGES					
STREET DIVISION	90,500	91,800	91,800	100,800	9,000
TRAFFIC DIVISION	41,480	42,080	46,200	46,200	0
PARKING DIVISION	29,360	29,630	21,000	21,000	0
PROPERTY UNIT	51,710	52,250	42,000	42,000	0
EQUIPMENT UNIT	33,940	34,430	37,800	37,800	0
WATER DIVISION	165,110	167,330	172,200	172,200	0
INTEREST INCOME	<u>2,304</u>	<u>8,292</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>414,404</u>	<u>425,812</u>	<u>411,000</u>	<u>420,000</u>	<u>9,000</u>

Budget Analysis

- Wage increases, including a budgeted 2% wage increase for 2013, are offset by changes in health coverage for a net reduction in personnel expenses.
- Contractual expenses reduce due to changes purchase of services charges.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes operations will continue as they are programmed for 2013 and that costs will increase three percent annually. Budgets are developed so that funds are annually available for fixed assets such as building improvements and fueling systems.

CENTRAL SUPPLY FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$424	\$437	\$459	\$482	\$506
Expenses	<u>424</u>	<u>437</u>	<u>450</u>	<u>464</u>	<u>478</u>
Excess (Deficit)	<u>0</u>	<u>0</u>	<u>9</u>	<u>18</u>	<u>28</u>
Beginning Balance	<u>27</u>	<u>27</u>	<u>27</u>	<u>36</u>	<u>54</u>
Ending Balance	<u>\$27</u>	<u>\$27</u>	<u>\$36</u>	<u>\$54</u>	<u>\$82</u>

Central Supply Division

Personnel Review

CENTRAL SUPPLY FUND				
BENEFITS AND SALARIES		2012	2013	INCREASE/
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERMANENT		\$194,233	\$199,502	\$5,269
OVERTIME		6,000	6,000	0
MERIT PAY		0	0	0
SALARY ADJUSTMENT		<u>0</u>	<u>3,990</u>	<u>3,990</u>
TOTAL SALARIES		<u>\$200,233</u>	<u>\$209,492</u>	<u>\$9,259</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$41,709	\$44,664	\$2,955
UNEMPLOYMENT TAX		720	720	0
WORKMEN'S COMPENSATION		561	608	47
HEALTH INSURANCE		62,192	46,644	(15,548)
LIFE INSURANCE		312	312	0
TOTAL BENEFITS		<u>\$105,494</u>	<u>\$92,948</u>	<u>(\$12,546)</u>
TOTAL COMPENSATION		<u>\$305,727</u>	<u>\$302,440</u>	<u>(\$3,287)</u>
	POSITION	2012	2013	INCREASE/
POSITION TITLE	<u>RANGE</u>	<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	<u>(DECREASE)</u>
CENTRAL SUPPLY SUPERVISOR	E-6	1.00	1.00	0.00
SENIOR ACCOUNT CLERK	A-21	1.00	1.00	0.00
INVENTORY CONTROL CLERK	A-21	<u>2.00</u>	<u>2.00</u>	<u>0.00</u>
TOTAL PERSONNEL		<u>4.00</u>	<u>4.00</u>	<u>0.00</u>

**CENTRAL SUPPLY FUND
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	Description	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112	Salaries Permanent	183,226	194,233	113,855	199,502	5,269
71122	Salaries Overtime Perm	762	6,000	-	6,000	-
71129	Salary Adjustment	-	-	-	3,990	-
71251	IMRF	36,956	41,709	23,476	44,664	2,955
71253	Unemployment	426	720	523	720	-
71262	Workmen's Compensation	430	561	319	608	47
71263	Health Insurance	38,138	62,192	25,663	46,644	(15,548)
71264	Life Insurance	278	312	169	312	-
TOTAL PERSONNEL		260,216	305,727	164,005	302,440	(7,277)
72203	Wireless	-	110	-	110	-
72213	Telephone	2,314	2,350	594	2,350	-
72252	Maint-Equipment	16,235	20,000	6,010	20,000	-
72263	Microcomputer	12,040	15,390	8,978	15,390	-
72264	Vehicle Repairs	2,329	5,000	2,939	2,500	(2,500)
72265	Fuel	839	530	377	530	-
72267	Risk Management	610	2,120	1,237	1,800	(320)
72271	Rental Equipment	-	3,000	-	2,000	(1,000)
72272	Rental Building	12,640	8,910	5,198	9,090	180
72282	Prof Fee Auditing	98	100	-	100	-
TOTAL CONTRACTUAL		47,105	57,510	25,333	53,870	(3,640)
75501	Public Works	12,031	10,000	5,649	10,000	-
75520	Small Equipment And Tools	18,616	20,000	18,132	20,000	-
75527	Linens And Laundry	1,315	1,000	1,024	1,000	-
75560	Office General Supplies	466	600	187	500	(100)
75570	Computer Noncapital	386	-	-	-	-
TOTAL SUPPLIES		32,814	31,600	24,992	31,500	(100)
76780	Depreciation	-	460	268	460	-
77725	Purch Service-General Fd	22,000	23,000	13,417	23,700	700
TOTAL OTHER		22,000	23,460	13,685	24,160	700
TOTAL CENTRAL STORES		362,135	418,297	228,015	411,970	(10,317)

Water Division

Mission Statement

The Water Division will operate and maintain the Rockford public water system in a manner that:

- Protects public health and enhances the community (*Our Product*)
- Focuses on our customers (*Our Service*)
- Upholds the highest standards of public trust (*Our Reputation*)

Primary Functions → The Water Division is comprised of three operating sections and Administration. The Division is responsible for production, quality control, storage, distribution, and related services to approximately 52,000 water customers.

- **Production** → The Production Section is responsible for overseeing the operation of the water system wells/booster pumps, ground-level and elevated storage, water treatment equipment, water quality, cross-connection control, and facility maintenance.
- **Distribution** → The Distribution Section oversees the maintenance, repair, and replacement of water mains and associated service branches, valves, and fire hydrants.
- **Customer Service** → The Customer Service Section is primarily responsible for responding to customer concerns, meter readings, installation/replacement of water meters, and responding to service calls.
- **Administration** → The Administrative Section is responsible for all division administration, fiscal control, system planning, service contract administration, and payroll.

2012 Accomplishments →

- Met customer demands for drinking water and fire protection by producing over 7 billion gallons of water.
- Maintained an average water service pressure of at least 40 psi throughout the water system and provided consistent water pressure throughout to all customers using new system operating program with four hydraulic zones.
- Accomplished 99.99% reliability in the delivery of water to our customers.
- Provided safe drinking water to our customers:
 - * 80% reduction in Water Quality complaints.
 - * 100% Compliance with all State and Federal Drinking Water Regulations.
 - * Conducted over 40,000 tests to ensure the safety of our water.
 - * Flushed over 350 miles of water main to ensure our water is fresh.
- Provided drinking water at reasonable rates through good stewardship of financial resources, water rates remain below the national average for comparable-sized water systems.

Water Division

- Assisted with implementation of new Water Service Application process using the Hansen Building Permits Module.
- Provided customers with prompt and courteous service.
 - * Over 500 winter-job site renovations completed by the end of May.
 - * Reduced average water outage to less than 90 minutes.
 - * Average first available appointment for non-emergency house call is ½-day.
- Implemented a new Customer Service Center that consolidated Customer Service, Billing, Revenue, and Metering within the Finance Department.
- Continued to implement improvements in technology (laptops in the field, GIS, Asset Management, Utility Billing Customer Information System) to enhance our service to customers.
- Expanded the customer base for the new Environmental Laboratory.
- Over 80% of work on distribution system was planned (target is 70%).
- Constructed over 1,000 feet of new water main.
- Completed the new Training Center at 1111 Cedar Street.
- Maintained a skilled and well-trained workforce by averaging 12 hours of training per employee.
- Promoted worker safety and public safety in all activities in conformance with OSHA standards.
- Reduced carbon footprint with new solar powered electricity generation system.
- Implemented Water Utility Master Plan.
- Implemented Production Section Asset Management and Work Order System.
- Reduced water system loss by 250 million gallons since 2010, saving about \$25,000 per year.

2013 Goals and Objectives →

- Initiate Asset Management in Distribution Section.
- Develop Long-Term Infrastructure Replacement Program.
- Continue to reduce Water Loss through Leak Detection Program.
- Improve the quality of water delivered to customers by reducing iron, manganese, and radium concentrations by using new water filtration facilities and reducing excursions in concentration of treatment chemicals.
- Continue to improve customer service by reducing number of complaints throughout Water Division operations and improving responsiveness when a complaint is received.
- Implement Drought Response Plan.

Water Division

- Enhance community awareness of the importance of drinking water and the Rockford Water System (public service announcements, secondary school demonstrations and presentations, etc.).
- Promote Rockford Environmental Laboratory and increase number of outside customers.
- Continue to promote worker safety and reduce number of on the job injuries by training employees and addressing all safety concerns in a timely manner.
- Continue to develop and implement Rockford Water Academy to train and cross-train employees with a goal averaging over 10 hours per employee of training per year.
- Continue to improve office environment at 1111 Cedar Street.
- Improve organizational efficiency through enhancing communication using the intranet across the organization and through implementation of accountability programs for managers and supervisors.
- Continue implementation of laptop program to make field operations more efficient.

Budget Summary

PUBLIC WORKS WATER DIVISION BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$5,982,256	\$5,771,279	\$6,410,098	\$6,027,302	(\$382,796)
CONTRACTUAL	4,351,358	4,338,844	5,663,895	6,100,330	436,435
SUPPLIES	1,029,114	986,501	1,365,450	1,527,920	162,470
OTHER	6,383,497	7,321,149	7,903,624	8,240,214	336,590
INTEREST	<u>2,273,154</u>	<u>2,242,842</u>	<u>2,289,000</u>	<u>2,127,000</u>	<u>(162,000)</u>
TOTAL	<u>\$20,019,379</u>	<u>\$20,660,615</u>	<u>\$23,632,067</u>	<u>\$24,022,766</u>	<u>\$390,699</u>

STAFFING REVIEW	2010	2011	2012	2013	INCREASE (DECREASE)
OPERATIONS	74.00	68.00	68.00	60.00	(8.00)
FIXED ASSETS	<u>4.00</u>	<u>4.00</u>	<u>4.00</u>	<u>4.00</u>	<u>0.00</u>
TOTAL	<u>78.00</u>	<u>72.00</u>	<u>72.00</u>	<u>64.00</u>	<u>(8.00)</u>

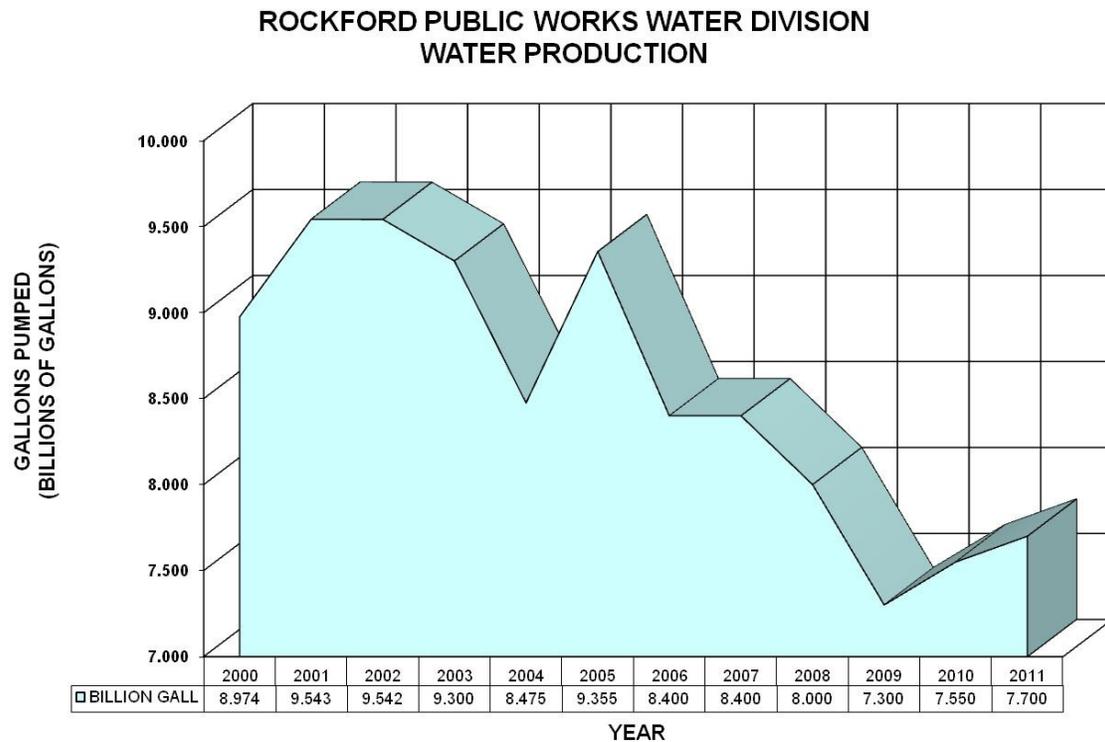
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
WATER USER FEES	\$21,732,403	\$21,908,636	\$23,321,000	\$23,564,000	\$243,000
INSTALLATIONS & CONNECTIONS	854,398	639,556	651,000	636,000	(15,000)
INTEREST INCOME	242,523	820,656	160,000	200,000	40,000
FROM OTHER GOVERNMENTS	3,073,800	2,273,425	0	0	0
MISCELLANEOUS	130,823	34,380	109,000	115,000	6,000
PURCHASE OF SERVICES	<u>92,173</u>	<u>92,971</u>	<u>97,400</u>	<u>99,000</u>	<u>1,600</u>
TOTAL	<u>\$26,126,120</u>	<u>\$25,769,624</u>	<u>\$24,338,400</u>	<u>\$24,614,000</u>	<u>\$275,600</u>

Water Division

Budget Analysis

- Salaries decrease \$297,200 due to the implementation of a Customer Service Center that consolidated metering and customer service staff with Finance Department billing and revenue. This decrease was offset by general step and longevity increases and a 3% wage increase for non-union employees.
- IMRF decrease \$27,900 and Health Insurance decrease \$132,200 in correlation with the consolidation of metering and customer service staff to Finance Department.
- A 2% wage increase is budgeted for all department staff for a total cost of \$66,200.
- Service contracts increase \$312,550 as well as an increase to professional engineering expenses \$50,000.
- Risk Management charges increase \$51,000.
- Water supplies and materials increase \$67,000 after a large reduction in the previous year.
- Vehicle maintenance increase \$93,700 as vehicles continue to age. This expense should decrease as replacements are purchased through the City-wide leasing program.
- Depreciation increase \$133,600 which is offset by an interest expense decrease \$162,000.
- Purchase of service from the General Fund increase \$207,600 due to the implementation of the Customer Service Center and the Finance Department's increased staffing levels.

Information and Statistics



SOURCE: WATER DIVISION

Water Division

As the graph shows, water production has fluctuated somewhat in the last ten years. Since 1998, when gallons pumped was at 8.9 billion, production has decreased 21%, to an estimated 7.03 billion gallons in 2011. The average production for the past seven years is 8.006 billion gallons, which is more than estimated 2012 production of 7.4 billion. Weather conditions, particularly annual rainfall, impact water usage in the City.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes annual three and a half percent rate increases with consumption rising three-quarters of one percent each year. Expenses for this forecast range from five to six percent.

WATER FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$25,498	\$26,416	\$27,367	\$28,352	\$29,373
Expenses	<u>25,224</u>	<u>26,485</u>	<u>27,809</u>	<u>29,199</u>	<u>30,659</u>
Excess (Deficit)	<u>274</u>	<u>(69)</u>	<u>(442)</u>	<u>(847)</u>	<u>(1,286)</u>
Beginning Balance	<u>124,176</u>	<u>124,450</u>	<u>124,381</u>	<u>123,939</u>	<u>123,092</u>
Ending Balance	<u>\$124,450</u>	<u>\$124,381</u>	<u>\$123,939</u>	<u>\$123,092</u>	<u>\$121,806</u>
Bond Issues	\$0	\$0	\$0	\$0	\$0
Rate Increases	3.6%	3.6%	3.6%	3.6%	3.6%

After completion of the \$75 million rehabilitation program, the City will finance future improvements on a pay as you go basis.

Fixed Assets

The 2013 budget of \$351,500 for fixed assets includes a variety of vehicles, operating equipment, and building improvements.

FIXED ASSETS PUBLIC WORKS WATER DIVISION 2013 BUDGET			
<u>DESCRIPTION</u>	<u>COST CENTER</u>	<u>ACCOUNT</u>	<u>AMOUNT</u>
Hammer Head Mole	3510	79922	\$5,000
Leak Noise Logger Kit	3510	79922	\$10,000
Air Conditioner for Well 29	3502	79922	\$7,500
Upgrades to SCADA System	3500	79922	\$200,000
			<u>\$222,500</u>
Ladder for Well 13 Reservoir	3502	79910	\$20,000
Rebuild Cage in Cedar St. Garage	3502	79910	\$75,000
Spray foam insulation at Wells 34, 35, 42	3502	79910	\$24,000
			<u>\$119,000</u>
			<u>\$341,500</u>
TOTAL CURRENT FIXED ASSETS			\$341,500

Water Division

Planned capital replacements under the City-wide leasing program for 2013 include:

Vehicle	Quantity	Budget Each	Total Budget
Tandem Axle Dump Truck	3	115,000	345,000
Pickup Truck	1	20,000	20,000
Sedan	2	15,000	30,000
Service Truck	2	35,000	70,000
SUV	5	26,600	133,000
Van	3	19,000	57,000
Compressor	1	30,000	30,000
Backhoe	2	56,000	112,000
Scissor Lift	<u>1</u>	10,000	<u>10,000</u>
Total	20		\$807,000

Water Division

Personnel Review

PUBLIC WORKS WATER DIVISION					
BENEFITS AND SALARIES		2012	2013	INCREASE/	
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>	
PERMANENT		\$3,607,613	\$3,310,394	(\$297,219)	
TEMPORARY		105,400	102,900	(2,500)	
OVERTIME		478,000	485,000	7,000	
MERIT PAY		0	0	0	
SALARY ADJUSTMENT		0	66,208	66,208	
TOTAL SALARIES		<u>\$4,191,013</u>	<u>\$3,964,502</u>	<u>(\$226,511)</u>	
BENEFITS					
ILLINOIS MUNICIPAL RETIREMENT		\$859,096	\$831,166	(\$27,930)	
UNEMPLOYMENT TAX		12,960	11,520	(1,440)	
WORKER'S COMPENSATION		144,857	150,532	5,675	
HEALTH INSURANCE		1,177,644	1,045,486	(132,158)	
RETIREE HEALTH INSURANCE		16,848	16,848	0	
LIFE INSURANCE		5,616	4,992	(624)	
PARKING		2,064	2,256	192	
TOTAL BENEFITS		<u>\$2,219,085</u>	<u>\$2,062,800</u>	<u>(\$156,285)</u>	
TOTAL COMPENSATION		<u>\$6,410,098</u>	<u>\$6,027,302</u>	<u>(\$382,796)</u>	
	POSITION	2012	2013	INCREASE/	
POSITION TITLE	<u>RANGE</u>	<u>EMPLOYEES</u>	<u>EMPLOYEES</u>	<u>(DECREASE)</u>	
WATER SUPERINTENDENT	E-12	1.00	1.00	0.00	
WATER OPERATIONS MANAGER	E-10	1.00	1.00	0.00	
ASSISTANT SUPERINTENDENT	E-9	1.00	1.00	0.00	
WATER QUALITY SUPERVISOR	E-9	1.00	1.00	0.00	
WATER OPERATIONS SUPERVISOR - DISTRIBUTION	E-8	3.00	3.00	0.00	
WATER PLANT OP. & MAINT.SUPERVISOR	E-8	2.00	2.00	0.00	
WATER SYSTEMS & SCADA SUPERVISOR	E-8	1.00	1.00	0.00	
WATER SERVICES COORDINATOR	E-8	2.00	1.00	(1.00)	
ACCOUNTANT	E-7	1.00	1.00	0.00	
WATER QUALITY TECHNICIAN	E-5	2.00	2.00	0.00	
WATER CONTROL SYSTEM TECHNICIAN	E-5	1.00	1.00	0.00	
SENIOR ENGINEERING TECHNICIAN	E-6	1.00	1.00	0.00	
ENGINEERING TECHNICIAN	E-5	1.00	1.00	0.00	
PUBLIC WORKS CREW LEADER	A-28	5.00	5.00	0.00	
WATER PLANT OPERATOR	A-28	12.00	12.00	0.00	
WATER SERVICE INSPECTOR	A-26	8.00	8.00	0.00	
EQUIPMENT OPERATOR	A-23	6.00	6.00	0.00	
SENIOR ACCOUNT CLERK	A-21	1.00	1.00	0.00	
MAINTENANCE WORKER	A-20	10.00	10.00	0.00	
SENIOR SECRETARY	A-20	1.00	1.00	0.00	
SENIOR CLERK	A-19	8.00	4.00	(4.00)	
WATER METER READER	A-18	3.00	0.00	(3.00)	
TOTAL PERSONNEL		<u>72.00</u>	<u>64.00</u>	<u>(8.00)</u>	

Water Division

Performance Measures

	2010 Actual	2011 Actual	2012 Estimated	2013 Projected
Annual water production (billion gallons)	7.40	7.03	7.40	7.20
Hydrants replaced or installed	53	43	40	50
Services replaced or installed	50	52	60	140
Valves replaced or installed	38	13	30	60
Number of radio frequency meters installed	958	444	600	720
Number of customer meters	53,055	52,725	51,000	51,000
Radio frequency meters as a % of total	99.8%	99.8%	99.8%	99.8%

**WATER DIVISION
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112 Salaries Permanent	3,347,341	3,607,613	1,837,271	3,310,394	(297,219)
71113 Salaries Temporary	18,846	105,400	15,008	102,900	(2,500)
71119 Out Of Class Pay	2,091	-	1,168	-	-
71122 Salaries Overtime Perm	436,294	478,000	266,880	485,000	7,000
71129 Salary Adjustment	-	-	-	66,208	66,208
71180 Employee Agency Wages	32,621	-	22,010	-	-
71251 IMRF	768,893	859,096	435,081	831,166	(27,930)
71253 Unemployment	12,409	12,960	10,402	11,520	(1,440)
71262 Workmen's Compensation	118,188	144,857	84,159	150,532	5,675
71263 Health Insurance	1,010,853	1,177,644	570,783	1,045,486	(132,158)
71264 Life Insurance	5,337	5,616	2,793	4,992	(624)
71265 Retiree Health Insurance	16,006	16,848	9,828	16,848	-
71271 Parking Benefits	2,400	2,064	1,204	2,256	192
TOTAL PERSONNEL	5,771,279	6,410,098	3,256,587	6,027,302	(382,796)
72203 Wireless Expense	-	23,450	13,093	23,300	(150)
72211 Printing & Publication	22,172	28,700	9,068	27,800	(900)
72212 Postage	19,515	21,950	9,627	20,200	(1,750)
72213 Telephone	51,271	45,700	7,794	25,200	(20,500)
72214 Travel	209	400	-	400	-
72215 Dues	9,458	9,670	8,731	10,005	335
72216 Subscriptions	1,685	2,080	161	1,900	(180)
72217 Advertising	687	2,000	-	2,000	-
72218 Service Contracts	940,381	2,034,450	673,181	2,347,000	312,550
72219 Other Contractual Service	44,403	120,325	61,548	115,835	(4,490)
72230 Water Power Expense	1,699,769	1,600,000	754,018	1,600,000	-
72231 Utilities-Bldg & Off	16,899	16,700	5,466	17,400	700
72235 Backwash Treatment	51,628	90,000	18,652	90,000	-
72251 Maint-Building	14,477	9,700	13,796	19,200	9,500
72252 Maint-Equipment	28,791	26,300	17,230	44,600	18,300
72253 Maint-Public Works	-	4,000	-	4,000	-
72258 Water Reservoir Repair	9,328	8,700	4,035	14,800	6,100
72259 Contracted Janitorial Ser	-	-	-	-	-
72263 Microcomputer	165,610	181,310	105,764	189,110	7,800
72264 Vehicle Repairs	294,795	360,000	162,311	335,000	(25,000)
72265 Fuel	202,325	208,710	123,199	233,340	24,630
72267 Risk Management	79,850	117,850	68,746	168,900	51,050
72268 Central Store Services	167,330	172,200	100,450	172,200	-
72271 Rental Equipment	101,225	123,200	49,021	127,540	4,340
72272 Rental Building	355,950	331,900	193,608	343,500	11,600
72273 Rental Land	2,064	3,000	1,256	3,000	-
72282 Prof Fee Auditing	10,433	-	-	10,500	10,500
72283 Prof Fee Engineering	4,510	50,000	3,863	100,000	50,000
72284 Medical	1,364	-	(455)	-	-
72288 Prof Fees - Misc	26,127	30,000	-	12,000	(18,000)
72290 Education And Training	15,267	35,700	5,223	35,700	-
72298 Garbage Disposal	1,291	5,900	541	5,900	-
72299 Miscellaneous Contractual	30	-	1,224	-	-
TOTAL CONTRACTUAL	4,338,844	5,663,895	2,411,151	6,100,330	436,435

**WATER DIVISION
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

Account	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
75502 Water Supplies & Material	767,586	1,099,800	452,910	1,166,800	67,000
75520 Small Equipment And Tools	53,006	69,050	11,669	43,250	(25,800)
75521 Medicine And Drugs	9	-	67	-	-
75522 Laboratory	34,593	45,800	15,810	45,800	-
75524 Clothing	1,362	2,100	383	1,900	(200)
75525 Food	1,025	2,650	548	2,650	-
75526 Fuel And Lubricants	4,134	9,200	2,521	9,200	-
75527 Linens And Laundry	9,327	9,500	4,562	8,800	(700)
75540 Maint-Building	365	5,000	223	5,000	-
75543 Maint-Equipment	38,971	55,600	16,689	62,200	6,600
75544 Maint-Vehicles	-	-	3,399	93,720	93,720
75546 Maint-Janitorial & CIng	88	500	-	500	-
75560 Office General Supplies	-	18,000	6,242	17,200	(800)
75561 Photography & Reproductn	13,188	2,000	-	2,000	-
75565 Public Relations	2,707	5,000	4,773	10,000	5,000
75569 Miscellaneous Supplies	89	500	-	500	-
75570 Computer Noncapital	36,646	34,500	3,193	43,900	9,400
75592 Equip & Furniture Noncapit	23,405	6,250	8,280	14,500	8,250
TOTAL SUPPLIES	986,501	1,365,450	531,269	1,527,920	162,470
76709 Loans and Grants	-	-	-	-	-
76780 Depreciation	5,068,740	5,408,930	2,669,823	5,542,530	133,600
76799 Loss From Asset Disposal	22,158	-	-	-	-
77725 Purch Service-General Fd	2,127,600	2,411,890	1,406,936	2,619,505	207,615
77733 Tranf To Bldg Maint	5,404	5,404	3,152	5,404	-
78751 Debt Service Fee	4,350	4,500	2,300	4,500	-
78833 Interest Gob	2,242,842	2,289,000	398,585	2,127,000	(162,000)
78885 Amortization	92,897	72,900	36,653	68,275	(4,625)
TOTAL OTHER	9,563,991	10,192,624	4,517,449	10,367,214	174,590
TOTAL WATER DIVISION	20,660,615	23,632,067	10,716,456	24,022,766	390,699

Police and Fire Pension Fund

Mission Statement

It is the mission of the Police and Fire Pension Funds to provide retirement benefits for retired police officers and firefighters and their beneficiaries through employer and employee contributions and investment earnings.

Primary Functions → The primary function of this non-operating budgetary unit is to finance pensions for employees by both employer and employee contributions combined with interest earnings on those contributions. These three revenue streams, if based on sound actuarial assumptions, should generate sufficient funds for employee retirement without placing either an undue burden on the employer or a risk to the pension due the employee.

Budget Summary

POLICE PENSION FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$11,640,324	\$12,387,023	\$4,463,520	\$4,770,072	\$306,552
CONTRACTUAL	350,142	358,869	383,950	371,190	(12,760)
SUPPLIES	31	0	200	70	(130)
OTHER	101,700	99,900	109,200	111,600	2,400
TOTAL	<u>12,092,197</u>	<u>12,845,792</u>	<u>4,956,870</u>	<u>5,252,932</u>	<u>296,062</u>
NON-APPROPRIATION	0	0	8,725,000	8,725,000	0
TOTAL EXPENSE	<u>\$12,092,197</u>	<u>\$12,845,792</u>	<u>\$13,681,870</u>	<u>\$13,977,932</u>	<u>\$296,062</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
POLICE DEPARTMENT TRANSFER					
POLICE PENSION PROPERTY TAX	\$2,766,826	\$4,316,216	\$3,673,220	\$3,847,272	\$174,052
POLICE PENSION REPLACE TAX	<u>978,600</u>	<u>862,600</u>	<u>790,300</u>	<u>922,800</u>	<u>132,500</u>
TOTAL CITY CONTRIBUTION	3,745,426	5,178,816	4,463,520	4,770,072	306,552
INTEREST INCOME	18,324,394	11,037,385	5,800,000	3,450,000	(2,350,000)
APPRECIATION(DEPRECIATION)	<u>-3,057,997</u>	<u>(8,966,330)</u>	<u>1,500,000</u>	<u>4,000,000</u>	<u>2,500,000</u>
INVESTMENT INCOME	15,266,397	2,071,055	7,300,000	7,450,000	150,000
EMPLOYEE CONTRIBUTIONS	<u>1,975,149</u>	<u>1,986,500</u>	<u>1,981,300</u>	<u>2,000,800</u>	<u>19,500</u>
TOTAL	<u>\$20,986,972</u>	<u>\$9,236,371</u>	<u>\$13,744,820</u>	<u>\$14,220,872</u>	<u>\$476,052</u>
ACTUARY'S CONTRIBUTION					
REQUIREMENT	\$5,900,674	\$5,178,816	\$4,463,520	\$4,770,072	\$306,552
AMORTIZATION AMOUNT			\$62,950	\$242,940	

Police and Fire Pension Fund

Budget Summary

FIRE PENSION FUND BUDGET SUMMARY					
	2010	2011	2012	2013	INCREASE
APPROPRIATION	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
PERSONNEL	\$12,929,522	\$13,471,483	\$5,082,279	\$5,776,061	\$693,782
CONTRACTUAL	480,220	437,045	499,400	514,975	15,575
SUPPLIES	0	300	300	100	(200)
OTHER	101,700	99,900	109,200	111,600	2,400
TOTAL	<u>13,511,442</u>	<u>14,008,728</u>	<u>5,691,179</u>	<u>6,402,736</u>	<u>711,557</u>
NON-APPROPRIATION	<u>0</u>	<u>0</u>	<u>9,275,000</u>	<u>9,275,000</u>	<u>0</u>
TOTAL EXPENSE	<u>\$13,511,442</u>	<u>\$14,008,728</u>	<u>\$14,966,179</u>	<u>\$15,677,736</u>	<u>\$711,557</u>
FUNDING SOURCE	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>INCREASE</u>
	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
FIRE DEPARTMENT TRANSFER					
FIRE PENSION PROPERTY TAX	\$4,483,682	\$5,200,985	\$4,133,879	\$4,668,661	\$534,782
FIRE PENSION REPLACE TAX	<u>1,174,300</u>	<u>1,035,100</u>	<u>948,400</u>	<u>1,107,400</u>	159,000
TOTAL CITY CONTRIBUTION	5,657,982	6,236,085	5,082,279	5,776,061	693,782
INTEREST INCOME	6,757,037	9,142,053	4,700,000	4,000,000	(700,000)
APPRECIATION(DEPRECIATION)	<u>3,344,267</u>	<u>(6,046,886)</u>	<u>5,000,000</u>	<u>4,000,000</u>	<u>(1,000,000)</u>
INVESTMENT INCOME	10,101,304	3,095,167	9,700,000	8,000,000	(1,700,000)
EMPLOYEE CONTRIBUTIONS	<u>1,798,076</u>	<u>1,699,844</u>	<u>1,912,200</u>	<u>1,969,100</u>	56,900
TOTAL	<u>\$17,557,362</u>	<u>\$11,031,096</u>	<u>\$16,694,479</u>	<u>\$15,745,161</u>	<u>(\$949,318)</u>
ACTUARY'S CONTRIBUTION REQUIREMENT	\$6,951,034	\$7,045,842	\$5,082,279	\$5,776,061	\$693,782
AMORTIZATION AMOUNT			\$1,728,300	\$67,425	

Budget Analysis

- The City utilizes an independent actuary to determine the necessary funding for these two plans on an annual basis. Once this is determined, the City funds the plans based on the recommendations of the independent actuary. There were no changes with respect to plan provisions, actuarial methods, or actuarial assumptions from the prior year.
- For 2011, the Police plan earned \$1.8 million in interest income while the Fire plan earned \$2.6 million in interest income.
- The Police and Fire plans also realized \$10.1 and \$6.5 million respectively on the sale of investments.
- In addition, reflecting fair market value adjustments of investments, the Police Pension Fund recorded a loss of \$8.9 million while the Fire Pension Fund recorded a loss of \$6.0 million.
- Investment expenses were \$240,800 for Police and \$420,100 for Fire.
- Approximate annual rates of return were relatively small, but positive for both, 1.3% for Police and 2.2% for Fire. The rate of return assumption is 7.5%.

Police and Fire Pension Fund

- Police contribution requirement increases to \$4,770,072. The increase in the contribution requirement is due to the increase in the annual payroll. The percent funded has decreased from 75.3% to 73.8%.
- Fire contribution requirement increases to \$5,776,061. The increase in the contribution requirement is due to the increase in the annual payroll. The percent funded has decreased from 68.7% to 67.2%.

History

In 1993, the State of Illinois increased the pension benefits for these two plans. This increase not only increased costs for the future but it also significantly increased the unfunded liability for service already earned. With the member contribution rate increased only marginally, the bulk of the cost of paying for these improved benefits fell to local governments. To mitigate this, the State replaced the existing forty year level dollar amortization schedule begun in 1980 with a new 1993 forty year level percentage schedule. The City has elected, as long as was possible, to fund the annual contribution based on the 1980 schedule. For 2002, the last year that making this additional contribution was possible, this election added \$406,805 to the Police contribution and \$1,002,874 to the Fire contribution. Due to continuing and increasingly severe property tax cap restrictions, the City in 2003 changed and began to recognize the 1993 amortization method. The impact of this change for 2003 was estimated to be \$980,000 for the Police contribution and \$1,750,000 for the Fire contribution.

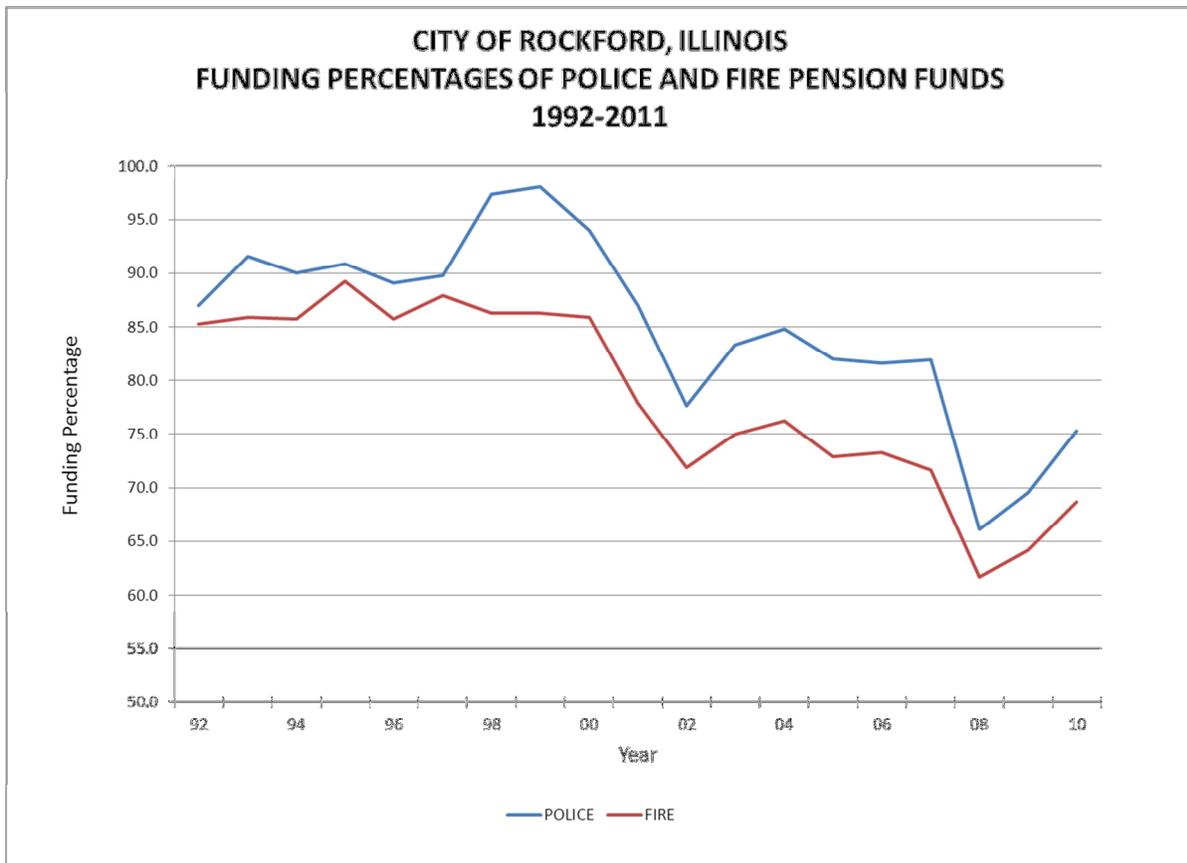
Effective July 1, 2004, the State changed the surviving spouse formula for the Fire Pension from 54% of ending salary to 100% of ending pension. To help fund this increased benefit, the firefighter contribution from salary was increased from 8.455% to 9.455%, an addition of \$166,400 and the municipal contribution was calculated at \$365,000, 2.1 cents on the tax rate. 30 of the 48 surviving spouses accounted for a first year cost increase of \$215,000. For the future, this will add an additional \$20,000 annually for each new surviving spouse. The State exempted the property tax necessary for this new benefit from the tax cap law.

The State of Illinois enacted a reform package for Illinois police and fire pension plans in 2010. Benefit changes, for new hires beginning January 1, 2011, included the following: (1) normal retirement age of 55 (currently 50); (2) early retirement at age 50 with 6% reduction for each year prior to 55; (2) pensionable salary cap of \$106,800 indexed to ½ of CPI-U; (3) final average salary calculated using the last 8 of 10 years (currently final day's salary); (4) survivor benefit of 66 2/3% of pension earned at date of death (currently 100% of pension); and (5) cost of living adjustments beginning the year after a retiree or survivor turns age 60 with annual increases equaling the lesser of 3% simple or ½ of CPI-U (currently 3% compounded each year after pension becomes payable).

In addition, the following changes were made to public safety pension funding: (1) 30 year closed amortization period with a funding target of 90% by the end of 2040 (currently expires in 2033 with a funding target of 100%); (2) state shared revenue diversions to pension funds beginning in 2016 equaling the difference between the employer contribution and the required

Police and Fire Pension Fund

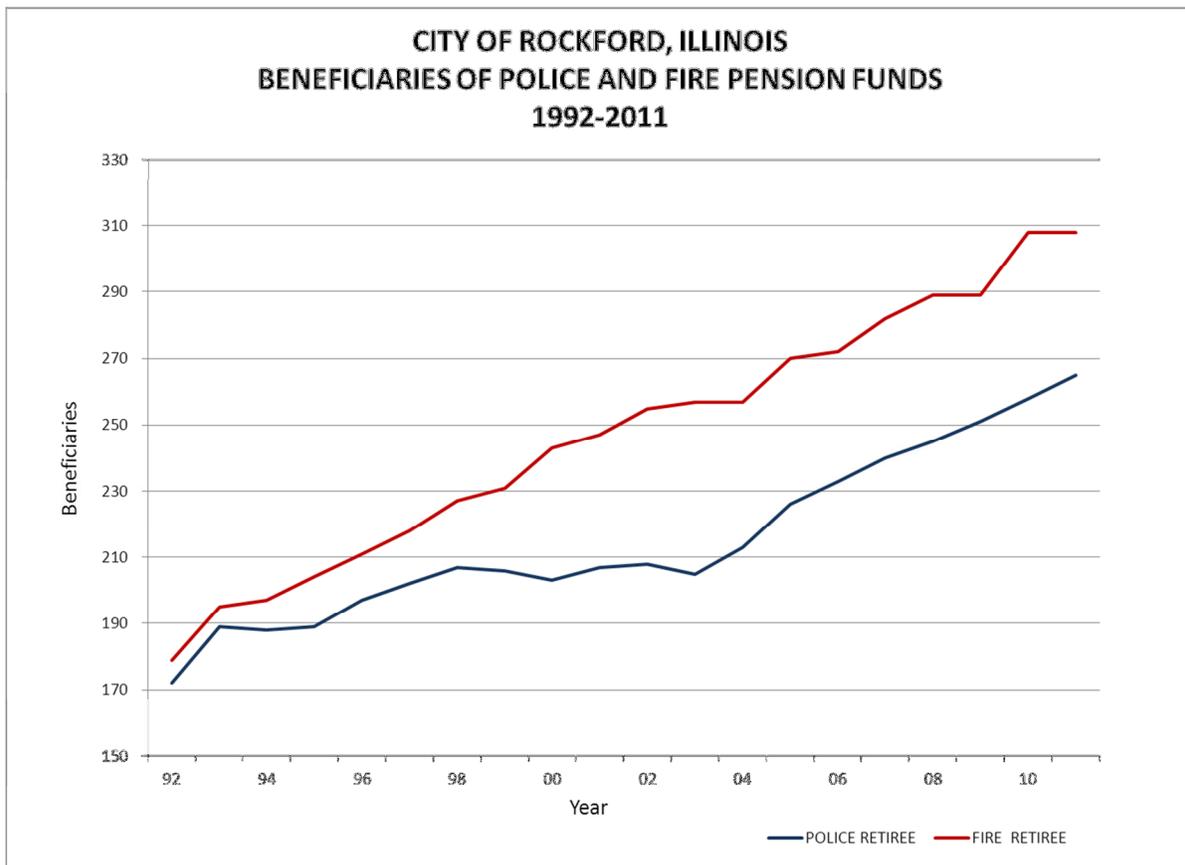
actuarial contribution. Three year phase in with up to 1/3 of state shared revenue diverted in 2016, up to 2/3 in 2017, and up to the full contribution difference beginning in 2018; (3) expanded investment authority including corporate bonds for all funds and greater equity investments for funds with assets of at least \$10 million; and (4) 5 year smoothing of actuarial gains and losses. The first actuarial study to be performed using these changes was completed in the spring of 2011 for the year ended 2010 for the 2012 budget levy and the 2013 budget expense.



Police and Fire Pension Fund

ROCKFORD PENSION PLANS			
	<u>Police</u>	<u>Fire</u>	<u>IMRF/SS</u>
Employees	Sworn	Sworn	All Other
2013 Employer Rate	23.63%	27.73%	19.87%
2013 Employee Rate	9.910%	9.455%	10.70%
Social Security Included	No	No	Yes (6.2%)
Plan Administration	Local	Local	State
Retirement Age	50	50	55/60
Vesting (Years)	10	10	8
Pension (20 Years Service)	50%	50%	35%
Pension Limit	75%	75%	75%
Years To Achieve Limit	30	30	40
Pension Based On	Last Pay	Last Pay	Average of (4) Highest Consecutive Years In Last (10)

Trends for the two funds include active member percentage decreasing as the number of retirees increase as well as dollar costs rising.



Police and Fire Pension Fund

Approximately one-half of the annual revenues for these two plans are generated from investment earnings. While member contributions have increased to 9.91% for Police in 2000 and 9.455% for Fire in 2005, employee contributions are still the smallest element of the financing equation.

The City contributions are funded from property taxes and state replacement taxes. Pension property taxes are unlimited; however, property tax extension limitations (tax caps) do apply on a total city basis except for the new benefit provision for Fire Pension surviving spouses. For 2013, estimated rates are 20.9 cents for the Police plan and 23.5 cents for the Fire plan. 2011 rates are estimated at 25.7 and 31.8 cents respectively. The property tax rate decrease is due to the plan cost decrease. For the Fire plan, 3.4 cents for 2011 and 2.5 cents for 2012 are exempt from tax caps.

Both plans utilize the Finance Department's staff. The Police pension fund will also utilize a consultant, \$40,000, and a custodian, \$2,200, as well as five money managers at an estimated cost of \$199,000 (2011-\$192,258). The Fire pension uses a consultant, \$60,000, a custodian, \$8,800, and nine money managers at an estimated cost of \$401,000 (2011-\$384,923). Each fund pays the State Department of Insurance \$8,000 annually for oversight services.

Five Year Financial Forecast

The 2014-2018 five-year forecast on a traditional basis, assumes five percent City and employee contribution increases as well as a 7.5% return on investments. The combined impact for the two plans of this increase on the property tax rate would be approximately four cents annually. Costs are assumed to rise annually due to three percent pension increases and an annual new retiree group of five. This forecast does not address a number of issues such as mortality, disability, and withdrawal that an actuary would. In addition, it makes no assumptions as to the likelihood of additional benefits gained through the legislative process in Springfield.

POLICE PENSION FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$22,000	\$23,066	\$24,225	\$25,448	\$26,757
Expenditures	14,633	15,177	15,738	16,315	16,909
Excess (Deficit)	7,367	7,889	8,487	9,133	9,848
Beginning Balance	175,287	182,654	190,543	199,030	208,163
Ending Balance	<u>\$182,654</u>	<u>\$190,543</u>	<u>\$199,030</u>	<u>\$208,163</u>	<u>\$218,011</u>
Property Tax Rates (in cents)	25.8	27.8	30.0	32.4	34.8

FIRE PENSION FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$21,006	\$21,932	\$22,903	\$23,939	\$25,033
Expenditures	15,903	16,485	17,085	17,702	18,338
Excess (Deficit)	5,103	5,447	5,818	6,237	6,695
Beginning Balance	156,300	161,404	166,851	172,669	178,906
Ending Balance	<u>\$161,404</u>	<u>\$166,851</u>	<u>\$172,669</u>	<u>\$178,906</u>	<u>\$185,601</u>
Property Tax Rates (in cents)	28.9	31.1	33.5	36.1	38.8

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
POLICE PENSION FUND**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	CHANGE 13-12
71276 Pension Payment	12,236,726	4,463,520	-	4,770,072	306,552
71277 Contribution Refund	150,297	-	-	-	-
TOTAL PERSONNEL	12,387,023	4,463,520	-	4,770,072	306,552
72212 Postage	1,630	2,250	-	2,250	-
72214 Travel	1,404	2,500	-	2,740	240
72215 Dues	775	2,650	-	2,650	-
72218 Service Contracts	232,818	287,200	-	249,200	(38,000)
72221 Admin Srvc Fee	-	-	-	-	-
72281 Legal	79,199	50,000	-	75,000	25,000
72290 Education	1,937	2,150	-	2,150	-
72299 Misc Contracts	8,000	200	-	200	-
72284 Medical Expenses	33,106	37,000	-	37,000	-
TOTAL CONTRACTUAL	358,869	383,950	-	371,190	(12,760)
75560 Office Supplies	-	200	-	70	(130)
TOTAL OFFICE SUPPLIES	-	200	-	70	(130)
77725 P/S-GF	99,900	109,200	-	111,600	2,400
TOTAL OTHER	99,900	109,200	-	111,600	2,270
TOTAL POLICE PENSION FUNI	12,845,792	4,956,870	-	5,252,932	296,062

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
FIRE PENSION FUND**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	CHANGE 13-12
71276 Pension Payment	13,427,350	5,082,279	-	5,776,061	693,782
71277 Contribution Refund	44,133	-	-	-	-
TOTAL PERSONNEL	13,471,483	5,082,279	-	5,776,061	693,782
72212 Postage	1,848	2,200	-	2,200	-
72214 Travel	111	1,300	-	1,300	-
72215 Dues	1,550	2,000	-	2,275	275
72218 Service Contracts	412,184	477,800	-	491,500	13,700
72281 Legal	10,636	10,000	-	12,100	2,100
72290 Education	985	3,100	-	2,800	(300)
72299 Misc Contracts	8,000	500	-	300	(200)
72284 Medical Expenses	1,731	2,500	-	2,500	-
TOTAL CONTRACTUAL	437,045	499,400	-	514,975	15,575
75560 Office Supplies	300	300	-	100	(200)
TOTAL OFFICE SUPPLIES	300	300	-	100	(200)
77725 P/S-GF	99,900	109,200	-	111,600	2,400
TOTAL OTHER	99,900	109,200	-	111,600	2,400
TOTAL FIRE PENSION FUND	14,008,728	5,691,179	-	6,402,736	711,557

IMRF Pension Fund

Mission Statement

It is the mission of the Illinois Municipal Retirement Fund to provide retirement benefits for all retired non-sworn City employees and their beneficiaries.

Primary Functions → The primary function of the IMRF Fund is to account for all benefits for retired non-sworn City employees and their beneficiaries through employer and employee contributions.

Fund Highlights

Based on sound professional actuarial advice and adequate funding, former employees are able to draw retirement benefits from the plan.

Budget Summary

IMRF PENSION FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$6,525,637	\$6,325,205	\$6,678,511	\$6,997,948	\$319,437
CONTRACTUAL	211	246	250	250	0
OTHER	<u>125,000</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$6,650,848</u>	<u>\$6,325,451</u>	<u>\$6,678,761</u>	<u>\$6,998,198</u>	<u>\$319,437</u>
RATES APPLIED					
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>
IMRF	9.35	12.53	12.81	13.18	13.67
SOCIAL SECURITY	<u>7.65</u>	<u>7.65</u>	<u>7.65</u>	<u>7.65</u>	<u>7.65</u>
TOTAL	<u>17.00</u>	<u>20.18</u>	<u>20.46</u>	<u>20.83</u>	<u>21.32</u>
FUNDING SOURCE					
PROPERTY TAXES	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
IMRF FUND	\$3,133,424	\$3,190,674	\$3,070,675	\$3,219,787	\$149,112
REPLACEMENT TAXES	400,000	308,100	282,300	328,700	46,400
INTERFUND TRANSFERS	3,303,243	3,654,574	3,325,785	3,449,710	123,925
INTEREST INCOME	4,119	17,130	10,000	10,000	0
TOTAL	<u>\$6,840,786</u>	<u>\$7,170,478</u>	<u>\$6,688,760</u>	<u>\$7,008,197</u>	<u>\$319,437</u>

IMRF Pension Fund

Budget Analysis

- The Social Security rate, last increased in 1990 to 7.65%, remains unchanged for 2013. The taxable wage base for the 1.45% Medicare portion continues to be unlimited; the ceiling for Social Security (6.2%) remains unchanged from 2012's \$106,800.
- The 2013 IMRF rate increases slightly to 13.67% from 2012's 13.18% (2.9%) due to the performance of equity markets. The City's IMRF plan is 77.5% funded (2010 market value). Funding for the City's Police and Fire plans is 73.8% and 67.2% respectively (2011 market value).
- The property tax rate for IMRF and Social Security retirement is unlimited by statute. The 2013 estimated rate is 18.9 cents, a slight increase from the prior year's 17.1 cents.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes that retirement costs will increase 5% annually due to salary adjustments, that the Social Security and IMRF rates will remain constant, that the Social Security base will continue to increase incrementally and that the revenue mix of property and transfer reimbursements will remain constant. Given the performance of the equity markets, the IMRF rate and consequently the City's expense may need to increase during this time period.

IMRF FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$7,499	\$8,024	\$8,586	\$9,187	\$9,830
Expenses	<u>7,488</u>	<u>8,012</u>	<u>8,573</u>	<u>9,173</u>	<u>9,815</u>
Excess (Deficit)	<u>11</u>	<u>12</u>	<u>13</u>	<u>14</u>	<u>15</u>
Beginning Balance	<u>71</u>	<u>82</u>	<u>94</u>	<u>107</u>	<u>121</u>
Ending Balance	<u>\$82</u>	<u>\$94</u>	<u>\$107</u>	<u>\$121</u>	<u>\$136</u>
Property Tax Rates (in cents)	20.7	23.4	25.2	27.0	29.0

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
IMRF RETIREMENT FUND**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	CHANGE 13-12
71251 IMRF Retirement	6,325,205	6,678,511	2,279,585	6,997,948	319,437
<i>TOTAL PERSONNEL</i>	6,325,205	6,678,511	2,279,585	6,997,948	319,437
72282 Audit	246	250	-	250	-
<i>TOTAL CONTRACTUAL</i>	246	250	-	250	-
TOTAL IMRF RETIREMENT FUND	6,325,451	6,678,761	2,279,585	6,998,198	319,437

Health Insurance Fund

Mission Statement

It is the mission of the Health Insurance Fund is to provide health insurance benefits for City employees, City retirees, and outside participants through a self-insured plan (since 1978) financed by employer and partial premiums for employees in addition to those by retirees and outside participants.

Primary Functions → The primary function of the health insurance fund is to account for all health insurance costs including medical dental expenses, administrative expenses and excess coverage policies.

Fund Highlights

With health insurance costs continuing to escalate as a percentage of the City's cost of doing business, the City refined its traditional PPO plan and introduced a new health savings account (HSA) offering in 2007. A multi-year schedule designed to increase employee deductibles and co-pays was approved. For 2009, the City secured new agreements for the dental, life insurance, health savings account, and flex care programs. In 2011, a change in network and third party administrators was expected to save additional funds. With these changes, the City continues to offer its employees excellent health insurance at a reasonable cost.

The City will work to maintain a 25% reserve for this fund.

Budget Summary

HEALTH INSURANCE FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$18,617,625	\$18,109,845	\$21,413,400	\$21,627,400	\$214,000
SUPPLIES	164	16,513	0	500	500
OTHER EXPENSE	<u>108,500</u>	<u>1,212,100</u>	<u>115,500</u>	<u>165,980</u>	<u>50,480</u>
TOTAL	<u>\$18,726,289</u>	<u>\$19,338,458</u>	<u>\$21,528,900</u>	<u>\$21,793,880</u>	<u>\$264,980</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
EMPLOYER PREMIUMS	\$16,432,026	\$17,044,452	\$19,000,118	\$18,839,081	(\$161,037)
EMPLOYEE PREMIUMS	1,186,858	1,067,685	1,110,330	1,094,665	(15,665)
RETIREES	2,091,159	2,269,368	2,377,692	1,987,990	(389,702)
OUTSIDE AGENCIES	0	10,714	33,696	0	(33,696)
OTHER	630,072	330,412	(173,000)	(112,000)	61,000
INTEREST INCOME	<u>37,077</u>	<u>241,958</u>	<u>54,500</u>	<u>96,100</u>	<u>41,600</u>
TOTAL	\$20,377,192	\$20,964,589	\$22,403,336	\$21,905,836	(\$497,500)

Health Insurance Fund

Budget Analysis

- Minimal growth in expenses over 2012 reflects the continued impact of the decision to implement Blue Cross Blue Shield as both network and third party administrator. Wellness efforts are also impacting claims expenses.
- Revenue reflects no change in premiums from 2012, and changes in coverage elections from year to year. In addition, the number of retirees on the plan decreases for 2013.

	<u>REVENUE</u>	<u>EXPENSE</u>	<u>NET</u>	<u>BALANCE</u>	<u>BALANCE %</u>	<u>RATIO</u>	<u>% INC(DEC)</u>
1988	2,777,020	2,549,611	227,409	560,612		91.8	10 ALL
1989	3,144,942	2,967,420	177,522	738,134	18.9	94.4	10 ALL
1990	3,176,403	3,365,489	(189,086)	549,048	21.9	106.0	0
1991	3,483,241	4,452,611	(969,370)	(420,322)	12.3	127.8	10 ALL
1992	4,095,408	4,954,672	(859,264)	(1,279,586)	(8.5)	121.0	25 ALL
1993	5,296,853	5,404,698	(107,845)	(1,387,430)	(23.7)	102.0	25 ALL
1994	6,392,149	5,364,154	1,027,995	(359,435)	(25.9)	83.9	25 ALL
1995	6,359,549	5,500,937	858,612	499,177	(6.5)	86.5	0
1996	6,535,598	6,124,402	411,196	910,373	8.2	93.7	0
1997	7,367,537	7,645,259	(277,722)	632,651	11.9	103.8	5 ALL
1998	7,217,807	7,652,194	(434,387)	198,264	8.3	106.0	0
1999	7,564,951	7,613,746	(48,795)	149,468	2.6	100.6	5 ALL
2000	8,076,883	9,246,188	(1,169,305)	(1,019,837)	1.6	114.5	5 ALL
2001	10,042,763	10,374,979	(332,216)	(1,352,053)	(9.8)	103.3	20 ALL
2002	11,538,109	12,533,560	(995,451)	(2,347,504)	(10.8)	108.6	20 ALL
2003	13,260,622	12,542,048	718,574	(1,628,930)	(18.7)	94.6	20 ALL
2004	15,020,931	15,513,193	(492,262)	(2,121,192)	(10.5)	103.3	10 ALL
2005	16,122,114	16,816,537	(694,423)	(2,815,615)	(12.6)	104.3	5 ALL
2006	18,135,153	17,364,862	770,291	(2,045,324)	(16.2)	95.8	15 ALL
2007	19,324,075	17,904,315	1,419,760	(625,564)	(11.4)	92.7	10 ALL
2008	20,671,082	19,308,042	1,363,040	737,476	(3.2)	93.4	5 ALL
2009	21,156,324	19,641,928	1,514,396	2,251,872	3.8	92.8	5 ALL
2010	20,381,094	18,726,289	1,654,805	3,906,677	12.0	91.9	5 ALL
2011	20,915,957	19,615,259	1,300,698	5,207,375	19.9	93.8	5 ALL
2012	22,403,336	21,528,900	874,436	6,081,811	24.2	96.1	5 ALL
2013	21,905,836	21,793,880	111,956	6,193,767	27.9	99.5	0
2014	23,899,065	23,783,700	115,365	6,309,132	26.0	99.5	10 ALL
2015	26,068,765	25,964,700	104,065	6,413,197	24.3	99.6	10 ALL
2016	28,456,765	28,355,200	101,565	6,514,762	22.6	99.6	10 ALL
2017	31,081,765	30,978,800	102,965	6,617,727	21.0	99.7	10 ALL
2018	33,970,265	33,853,200	117,065	6,734,792	19.5	99.7	10 ALL

Five Year Financial Forecast

The 2014-2018 five year plan assumes that medical costs will increase up to 10% annually and that the revenue mix of employer, employee, and third party contributions will continue to evolve in order to continue a financially viable plan. Revenue increases are anticipated to be 5% to 10% per year for 2014-2018. Full participation in the plan by the two public safety unions would be beneficial for all employees as well as for Rockford taxpayers. As information evolves about the new federal health insurance program, changes will be made to the City's program. Those changes are anticipated to add cost to the City's plan.

Health Insurance Fund

HEALTH INSURANCE FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$23,899	\$26,069	\$28,457	\$31,082	\$33,970
Expenses	<u>23,784</u>	<u>25,965</u>	<u>28,355</u>	<u>30,979</u>	<u>33,853</u>
Excess (Deficit)	115	104	102	103	117
Beginning Balance	<u>6,516</u>	<u>6,632</u>	<u>6,736</u>	<u>6,837</u>	<u>6,940</u>
Ending Balance	<u>\$6,631</u>	<u>\$6,736</u>	<u>\$6,838</u>	<u>\$6,940</u>	<u>\$7,057</u>
Rate Increase	10.0	10.0	10.0	10.0	10.0
Reserve Percentage	27.4	25.5	23.8	22.1	20.5

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
HEALTH INSURANCE FUND**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
72211 Printing	-	500	-	500	-
72212 Postage	-	1,500	62	1,500	-
72215 Dues	4,000	4,000	-	4,000	-
72218 Service Contracts	163,079	455,500	17,860	87,000	(368,500)
72221 Admin Service Fee	98,065	466,400	367,832	762,500	296,100
72222 Medical Claims	13,266,840	15,352,000	6,799,645	15,352,000	-
72223 Dental	1,054,844	1,164,100	631,517	1,222,000	57,900
72224 Life Insurance	19	-	83	-	-
72228 Refunds	-	(50,000)	-	-	50,000
72234 Pharmacy	2,472,452	3,054,000	1,343,018	3,089,000	35,000
72241 Insurance	942,894	948,400	239,350	981,400	33,000
72282 Audit	984	1,000	-	1,000	-
72284 Medical Fees	-	12,000	-	12,500	500
72288 Miscellaneous Prof Fee	-	4,000	-	4,000	-
72292 Consulting Fee	104,845	-	84,133	110,000	110,000
72299 Miscellaneous Cont	1,823	-	-	-	-
TOTAL CONTRACTUAL	18,109,845	21,413,400	9,483,500	21,627,400	214,000
75525 Food	356	-	-	-	-
75560 Office Supplies	38	-	-	500	500
75592 Equip Noncapital	16,119	-	-	-	-
TOTAL SUPPLIES	16,513	-	-	500	500
77725 Purchase of Services	1,212,100	115,500	67,375	165,980	50,480
TOTAL OTHER	1,212,100	115,500	67,375	165,980	50,480
TOTAL HEALTH INSURANCE FUND	19,338,458	21,528,900	9,550,875	21,793,880	264,980

Unemployment Fund

Mission Statement

It is the mission of the Unemployment Tax Fund to provide services for unemployment costs to former employees.

Primary Functions → The primary function of the Unemployment Tax Fund is to account for all unemployment expenditures.

Fund Highlights

The City operates a self-insured unemployment tax program with financing provided by premiums charged to departments based on a percentage of the first \$9,000 salary. The City will continue to strive to provide this program to former employees while maintaining responsible costs for the taxpayers through its self-insured program.

Budget Summary

UNEMPLOYMENT TAX FUND BUDGET SUMMARY					
	2010	2011	2012	2013	INCREASE
APPROPRIATION	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
CONTRACTUAL	<u>\$188,808</u>	<u>\$104,483</u>	<u>\$169,720</u>	<u>\$168,307</u>	<u>(\$1,413)</u>
TOTAL	<u>\$188,808</u>	<u>\$104,483</u>	<u>\$169,720</u>	<u>\$168,307</u>	<u>(\$1,413)</u>
FUNDING SOURCE	2010	2011	2012	2013	INCREASE
PROPERTY TAXES	<u>ACTUAL</u>	<u>ACTUAL</u>	<u>BUDGET</u>	<u>BUDGET</u>	<u>(DECREASE)</u>
UNEMPLOYMENT TA	<u>\$55,920</u>	<u>\$51,989</u>	<u>\$169,720</u>	<u>\$168,307</u>	<u>(\$1,413)</u>
INTERFUND TRANSFE	<u>10,833</u>	<u>29,145</u>	<u>29,502</u>	<u>27,860</u>	<u>(1,642)</u>
INTEREST INCOME	<u>1,174</u>	<u>279</u>	<u>900</u>	<u>900</u>	<u>0</u>
TOTAL	<u>\$67,927</u>	<u>\$81,413</u>	<u>\$200,122</u>	<u>\$197,067</u>	<u>(\$3,055)</u>

Budget Analysis

- The property tax rate for unemployment compensation is unlimited by statute. The 2013 estimated rate is 0.82 cents, changed from the prior year's 0.78 cent rate.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes that unemployment costs will increase 3% annually and that premiums will be adjusted as necessary during this period. The unemployment rate charged to operating departments has remained stable at 2.0% (180) of the first \$9,000 of salary per employee for 2013. For the 2014 – 2018 planning period, the rate will be 2.0% (\$180).

Unemployment Fund

UNEMPLOYMENT COMPENSATION FUND 2014-2018 FINANCIAL FORECAST (IN \$000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$171	\$175	\$179	\$184	\$188
Expenses	<u>60</u>	<u>1</u>	<u>63</u>	<u>65</u>	<u>66</u>
Excess (Deficit)	<u>111</u>	<u>174</u>	<u>116</u>	<u>119</u>	<u>122</u>
Beginning Balance	<u>(20)</u>	<u>91</u>	<u>265</u>	<u>381</u>	<u>500</u>
Ending Balance	<u>\$91</u>	<u>\$265</u>	<u>\$381</u>	<u>\$500</u>	<u>\$622</u>
Property Tax Rates (in cents)	0.9	0.9	0.9	0.9	0.9

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
UNEMPLOYMENT COMPENSATION FUND**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	CHANGE 13-12
72229 Unemployment Benefit	104,237	169,470	23,948	168,057	(1,413)
72282 Audit	246	250	-	250	-
TOTAL CONTRACTUAL	104,483	169,720	23,948	168,307	(1,413)
 TOTAL UNEMPLOYMENT COMPENSATION FUND	 104,483	 169,720	 23,948	 168,307	 (1,413)

Worker's Compensation Fund

Mission Statement

It is the mission of the Worker's Compensation Fund to provide for all administrative, reinsurance and benefit expenses associated with employees' worker's compensation claims.

Primary Functions → The primary function is to account for all workers' compensation expenditures. The City operates a self-insured worker's compensation program with financing provided by premiums charged to departments based on job type and likelihood of injury.

Budget Summary

WORKER'S COMPENSATION FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$2,446,837	\$1,483,367	\$2,407,695	\$2,586,971	\$179,276
OTHER EXPENSE	<u>79,900</u>	<u>82,800</u>	<u>83,500</u>	<u>92,400</u>	<u>8,900</u>
TOTAL	<u>\$2,526,737</u>	<u>\$1,566,167</u>	<u>\$2,491,195</u>	<u>\$2,679,371</u>	<u>\$188,176</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
WORKER'S COMP	\$3,213,999	\$2,525,473	\$2,216,812	\$2,408,353	\$191,541
INTERFUND TRANSFERS	435,604	294,712	274,383	274,383	0
REIMBURSEMENTS	4,405	53,278	0	0	0
INTEREST INCOME	<u>(1,730)</u>	<u>62,262</u>	<u>15,000</u>	<u>15,000</u>	<u>0</u>
TOTAL	<u>\$3,652,278</u>	<u>\$2,935,725</u>	<u>\$2,506,195</u>	<u>\$2,697,736</u>	<u>\$191,541</u>

Budget Analysis

- Incurred claims currently stand at \$ 2,525,540, as of June 30, 2012.
- Rates were increased 5% for 2013 due to increased expenses.
- With a fund deficit of \$3.0 million at the end of 2010, a \$1.6 million surcharge was assessed to all participating funds in 2010. An additional surcharge was assessed at the end of 2011, \$656,000, to bring the fund to a positive fund balance at the start of 2012.
- The property tax rate for worker's compensation is unlimited by statute. The 2011 rate is 9.3 cents, slightly more than the prior year's 8.6 cents.

Five Year Financial Forecast

The 2014-2018 five-year forecast assumes that worker's compensation costs will increase five percent annually and that the revenue mix of property and transfer reimbursements will remain constant. Premiums will be increased 5% annually.

Worker's Compensation Fund

WORKER'S COMPENSATION FUND 2014-2018 FINANCIAL FORECAST (IN \$000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$2,762	\$2,899	\$3,043	\$3,194	\$0
Expenses	<u>2,747</u>	<u>2,884</u>	<u>3,028</u>	<u>3,179</u>	<u>0</u>
Excess (Deficit)	<u>15</u>	<u>15</u>	<u>15</u>	<u>15</u>	<u>0</u>
Beginning Balance	<u>30</u>	<u>45</u>	<u>60</u>	<u>75</u>	<u>90</u>
Acctg Int Adjust	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$45</u>	<u>\$60</u>	<u>\$75</u>	<u>\$90</u>	<u>\$90</u>
Property Tax Rates (in cents)	13.9	14.6	15.4	16.1	16.9
Expense Rate Change	5%	5%	5%	5%	5%

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
WORKER'S COMPENSATION FUND**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
72221 Admin Service Fee	47,822	50,000	24,151	50,000	-
72225 WC Temporary Benefit	519,676	500,000	107,772	500,000	-
72226 WC Indemnity Benefit	103,556	1,407,445	35,588	1,581,721	174,276
72227 WC Medical/Legal	707,204	350,000	362,869	350,000	-
72241 Insurance	104,863	100,000	104,863	105,000	5,000
72282 Audit	246	250	-	250	-
TOTAL CONTRACTUAL	1,483,367	2,407,695	635,243	2,586,971	179,276
77725 Purchase of Services	82,800	83,500	41,750	92,400	8,900
TOTAL OTHER	82,800	83,500	41,750	92,400	8,900
TOTAL WORKER'S COMPENSATION FUND	1,566,167	2,491,195	676,993	2,679,371	188,176

Auditing Fund

Mission Statement

It is the mission of the Auditing Fund to provide and pay for auditing services in the most efficient and economical manner in order to produce the most accurate financial records.

Primary Functions → The primary function of the Auditing Fund is to pay for all auditing services associated with the City's financial records.

Budget Summary

AUDITING FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$276,921	\$250,492	\$184,061	\$240,000	\$55,939
SUPPLIES	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$276,921</u>	<u>\$250,492</u>	<u>\$184,061</u>	<u>\$240,000</u>	<u>\$55,939</u>

FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
AUDITING	\$184,467	\$182,240	\$138,251	\$180,990	\$42,739
INTERFUND TRANSFER	<u>54,200</u>	<u>55,710</u>	<u>45,810</u>	<u>59,010</u>	<u>13,200</u>
TOTAL	<u>\$238,667</u>	<u>\$237,950</u>	<u>\$184,061</u>	<u>\$240,000</u>	<u>\$55,939</u>

Budget Analysis

- The Fund reimburses the Finance Department for staff costs associated with auditing. 2013 costs include \$80,000 for auditors, \$158,350 for internal staff costs, and \$3,000 for printing the annual report in the newspaper.
- The property tax rate for auditing is unlimited by statute. The 2013 proposed rate is 1.0 cents, increased from the prior year's 0.9 cent rate.

Five Year Financial Forecast

The 2014-2018 five year forecast assumes that audit costs will increase three percent annually and that the revenue mix of property taxes and transfer reimbursements will remain constant.

Auditing Fund

AUDITING FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$247	\$254	\$262	\$270	\$278
Expenses	<u>247</u>	<u>254</u>	<u>262</u>	<u>270</u>	<u>278</u>
Excess (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Beginning Balance	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Ending Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Property Tax Rates (in cents)	1.0	1.0	1.0	1.1	1.1

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
AUDITING FUND**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	CHANGE 13-12
72211 Printing	10,900	3,000	-	3,000	-
72282 Audit	239,592	181,061	82,225	237,000	55,939
72287 Internal Audit	-	-	-	-	-
TOTAL CONTRACTUAL	250,492	184,061	82,225	240,000	55,939
TOTAL AUDITING FUND	250,492	184,061	82,225	240,000	55,939

Debt Management

Mission Statement

It is the mission of the Debt Management Fund to account for bonded indebtedness incurred by the City, including all bond and interest payments.

Primary Functions → The primary function of the Debt Management Fund is to retire debt incurred by the City for long-term capital projects and short-term cash flow financing, by making annual payments of interest and principal based upon a planned schedule.

Fund Highlights →

Since 1982 when the first Capital Improvements Program was adopted, the City has gone to the market annually to seek financing for its infrastructure improvements. In addition to retiring debt through property taxes, sales taxes, and water revenues, the City also utilizes tax increment financing (TIF) and special service area (SSA) districts property taxes to retire bonds.

In 2006, the City submitted a referendum question to the voters to determine whether or not they would, rather than financing streets and drainage improvements through voter approved bond issue referendums and the resulting property tax financed debt service, rather pay for infrastructure improvements through a one percent sales tax. While the voters defeated that proposal, they approved the same proposal, but with a five year limitation, in the spring 2007 election. Effective July 1, 2007, the tax generates \$15 million annually. The tax was extended by referendum for an additional five year period on April 5, 2011. Property tax financed debt service will end in 2017.

For the future, the City will only issue bonds for development purposes that are financed by TIF district property tax increment or other non-property tax alternate revenue sources.

The City's current bond (long-term debt) rating is Aa3 from Moody's Investors Service.

Debt Management

CITY OF ROCKFORD, ILLINOIS OUTSTANDING AND PROPOSED DEBT ISSUES

<u>ISSUE AND AMOUNT</u>	<u>PAYMENT SOURCE</u>	<u>FINAL LEVY YEAR</u>
1995 \$1,745,924 Kishwaukee Trunk Sewer (RRWRD)	7	2015
2004 \$15.9 GOB (Series A-\$8.5 Street, Series B-\$2.2 Water, Series C-\$2.0 7th St Taxable TIF Project, and Series D-\$3.2 Equipment)	1, 2, 3, 7	2016
2004 \$14.570 GOB Alternate and Refunding, (Alternate, Series E-\$4.65, N Main TIF \$1.65, Airport TIF \$3.0, Refundings, Series F-\$4.375 Street/ Drainage, Series G-\$2.475 Water, Series H-\$3.070 S Rockford TIF)	1, 2, 3	2023
2005 \$10.9 GOB (Series A-\$8.7 Street, Series B-\$2.2 Water)	1, 2	2017
2005 \$10.6 GOB (Alternate, Series C-\$7.550, Airport TIF \$0.915, Lincolnwood II TIF \$0.560, Springfield Corners TIF \$1.795, River Oaks \$2.450, Garrison TIF \$1.500, Lincolnwood I Refunding, \$0.530, Series D-\$2.850 Taxable Alternate Southwest Rockford Econ Dev	3,7	2017
2006 \$17.5 GOB (Series A-\$15.0 Water, Series B-\$2.5 Hope 6 TIF)	2,3	2025
2007 \$29.865 GOB Alternate and Refunding (\$23.0 Metro Centre Improvements (Series A-\$6.635 Tax Exempt, Series B-\$16.365 Taxable), Series C-\$6.865 GOBA Refunding)	6,9	2026
2007 \$8.75 GOB Alternate (Series D-\$3.5 Water, Series E-\$1.1 Preston Central TIF, Series F-\$1.1 Sewer, Series G-\$3.05 Library)	6	2026
2007 \$8.75 GOB Alternate (Series D-\$3.5 Water, Series E-\$1.1 Preston Central TIF, Series F-\$1.1 Sewer, Series G-\$3.05 Library)	2	2026
2008 \$12.15 GOB Alternate (Series A-\$9.0 Water, Series B-\$1.3 TIF (\$1.1 Airport, \$0.2 Jackson School), Series C-\$1.85 Taxable Parking	2,3,7,8	2026
2008 \$12.15 GOB Alternate (Series A-\$9.0 Water, Series B-\$1.3 TIF (\$1.1 Airport, \$0.2 Jackson School), Series C-\$1.85 Taxable Parking	2,3,5	2028
2009 \$2.6 GOB Alternate (Series A-\$1.65 TIF (\$0.35 River North, \$1.3 Main Whitman), Series B-\$0.95 Taxable Global Trade #1)	3	2028
2009 \$2.6 GOB Alternate (Series A-\$1.65 TIF (\$0.35 River North, \$1.3 Main Whitman), Series B-\$0.95 Taxable Global Trade #1)	3	2029, 2024
2009 \$8.065 Series C - GOB Alternate Refunding Taxable (Metro Center)	6,9	2026
2009 \$1.7 GOB Alternate (Series D-\$1.35 Springfield Corners TIF, Series E-\$0.35 River East TIF)	3	2023
2009 \$13.585 Refunding (Series E-\$7.93 GOB Street, Series F-\$4.325 Water, Series G-\$1.33 7th Street TIF District)	3	2014, 2018,
2009 \$13.585 Refunding (Series E-\$7.93 GOB Street, Series F-\$4.325 Water, Series G-\$1.33 7th Street TIF District)	1,2,3	2015
2010 \$3.4 GOB Alternate Water	2	2029
2012 \$1.47 Refunding (Series B-\$0.64 GOB Water, \$0.83 GOB Water)	2	2016
2012 \$7.73 Refunding (\$2.7 GO, Series C-\$0.88 7th Street TIF, Series C-\$0.93 Springfield Corners TIF, Series A-\$3.22 GO)	2, 3, 7	2016, 2021

2010 bonds issued

Amounts are in Millions

ALTERNATE - General Obligation Bonds (Alternate)

ALTERNATE CERTIFICATE - General Obligation Certificates

GOB - General Obligation Bonds (Exempt)

GOB TAXABLE - General Obligation Bonds (Taxable)

WRB - Water Revenue Bonds

PAYMENT SOURCES

- | | |
|---|---|
| 1 | Property Taxes |
| 2 | Water Fund Revenues |
| 3 | Tax Increment Financing District Revenues |
| 4 | Special Service Area Property Taxes |
| 5 | Parking Fund Revenues |
| 6 | Redevelopment Fund Revenues |
| 7 | Sales Taxes |
| 8 | Off Track Betting Revenues |
| 9 | Private, Other |

Debt Management

Budget Summary

DEBT SERVICE FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
BOND INTEREST	\$3,814,422	\$5,807,906	\$5,039,476	\$4,329,146	(\$710,330)
REFUNDING ESCROW	0	0	0	0	0
BOND PRINCIPAL	<u>9,096,764</u>	<u>9,015,000</u>	<u>12,923,221</u>	<u>12,859,335</u>	<u>(63,886)</u>
TOTAL	<u>\$12,911,186</u>	<u>\$14,822,906</u>	<u>\$17,962,697</u>	<u>\$17,188,481</u>	<u>(\$774,216)</u>
Actuals exclude water system debt					
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
DEBT SERVICE-CITYWIDE	\$6,334,895	\$5,546,650	\$4,866,320	\$4,849,256	(\$17,065)
DEBT SERVICE-SPECIAL DI	0	0	0	0	0
	6,334,895	5,546,650	4,866,320	4,849,256	(17,065)
ABATEMENTS-TAX EXEMPT					
PUBLIC WORKS WATER DI	4,294,979	6,697,874	6,527,549	5,776,777	(750,772)
SALES TAX	583,073	579,573	574,773	579,573	4,800
TIF DISTRICTS	2,266,409	2,608,586	2,716,535	2,467,629	(248,907)
REDEVELOPMENT FUND	841,380	910,893	1,000,905	1,080,193	79,288
OTHER	<u>824,644</u>	<u>819,519</u>	<u>814,394</u>	<u>809,269</u>	<u>(5,125)</u>
	8,810,485	11,616,445	11,634,156	10,713,441	(920,715)
ABATEMENTS-TAXABLE					
TIF DISTRICTS	973,061	946,660	519,010	698,635	179,625
SALES TAX	331,093	319,844	333,593	321,094	(12,499)
REDEVELOPMENT FUND	441,868	441,868	441,868	441,868	0
PARKING	122,500	171,312	167,750	164,188	(3,562)
OTHER	0	0	0	0	0
	1,868,522	1,879,684	1,462,221	1,625,785	163,564
INTEREST	0	0	0	0	0
TOTAL	<u>\$17,013,902</u>	<u>\$19,042,779</u>	<u>\$17,962,697</u>	<u>\$17,188,481</u>	<u>(\$774,216)</u>

Budget Analysis

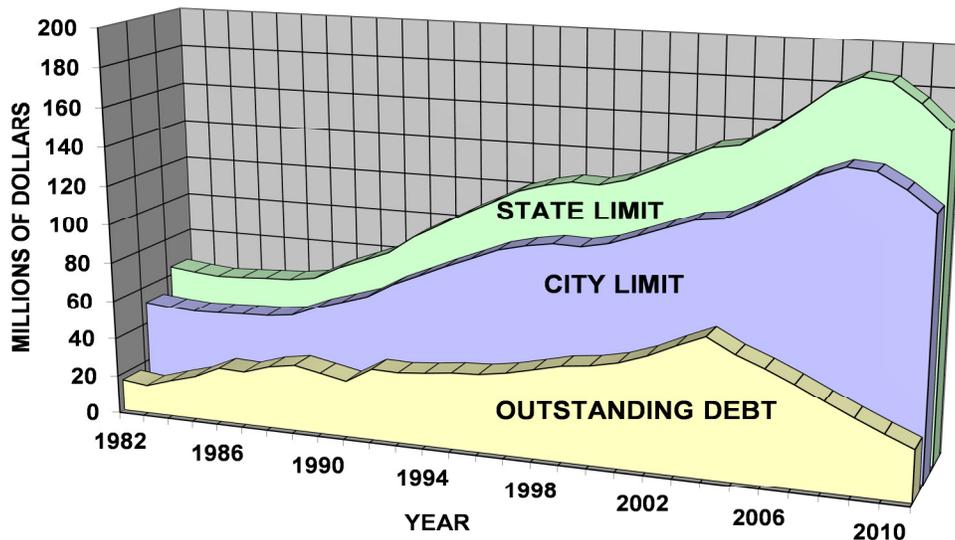
- The last sale was held in 2012, \$10.485 million bond issue for refunding six outstanding series of bonds for 2002 and 2003 for road and water system improvements and tax increment finance districts. The estimated total net present value debt savings will be approximately \$540,000 during the life of the bond.
- For the \$75 million water program, the City has issued \$30.9 million in the traditional marketplace. In addition, the City is participating in a State (IEPA) loan program for eligible

Debt Management

mitigation activities that is anticipated to produce \$15 million in savings over traditional financing. To date, the State has approved \$23.1 million in twenty year 2.5% loans, \$8.5 million in twenty year 1.25% loans, and \$7.5 million in twenty year 0.0% loans, a total of \$39.1 million. In addition, the City has received \$5.4 million in ARRA stimulus grants. The State total is \$44.5 million. The total borrowing is \$70.0 million. With the grant funds, the total program is \$75.4 million.

- There is no plan to issue long term debt in 2013.

CITY OF ROCKFORD, ILLINOIS LONG TERM DEBT OUTSTANDING YEARS FROM 1982



The State has a limit of 8.625% of debt to equalized assessed valuation (EAV) for non-home rule units. Applying this percentage to the City, the limit would be \$149.8 million (2012 EAV). The City Council has adopted a more stringent policy, 20% less than the State limit that allows only \$119.9 million, 6.9% of EAV.

Five Year Financial Forecast

As part of the annual development of the five year capital improvements program, the City also prepares a bond issue schedule. While currently no plans exist for the 2014-2018 period, the City may recognize a need in the future during this period and sell bonds. Actual size and issuance depends upon the development of the annual capital improvements program. As existing street debt is retired, the debt service tax rate should continue to decrease.

Debt Management

DEBT SERVICE FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$17,620	\$15,947	\$14,730	\$13,217	\$10,534
Expenditures	<u>17,620</u>	<u>15,947</u>	<u>14,730</u>	<u>13,217</u>	<u>10,534</u>
Excess (Deficit)	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
Beginning Balance	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>
Ending Balance	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>	<u>2,500</u>
GO Bond Issues	0	0	0	0	0
Water Issues	0	0	0	0	0
Alternate Issues	0	0	0	0	0
Tax Rate (Cents)	24.8	16.8	11.7	6.1	0.9

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
DEBT MANAGEMENT FUNDS**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	BUDGET CHANGE 12-13
78783 GO Bond Principal	9,015,000	12,923,221	7,538,546	12,859,335	(63,886)
78833 GO Bond Interest	5,807,906	5,039,476	2,939,694	4,329,146	(710,330)
TOTAL OTHER	14,822,906	17,962,697	10,478,240	17,188,481	(774,216)
TOTAL DEBT MANAGEMENT	14,822,906	17,962,697	10,478,240	17,188,481	(774,216)

Off-Track Betting Special Projects Fund

Mission Statement

It is the mission of the Off-Track Betting (OTB) Fund to finance projects from off-track betting receipts, as determined by the Mayor and Council.

Primary Functions → The primary function of the OTB Fund is to account for OTB revenues and to allocate those revenues for special projects.

Fund Highlights → The State Legislature approved a horse racing bill in December 1986 that included a provision for race tracks to operate off-track betting parlors in Illinois. A facility was opened in Rockford on November 18, 1987. The City and the County each receives one percent of the handle.

The City utilizes receipts for financing nonrecurring projects, the Rockford arts and property tax relief. Past undertakings have included property tax relief, contribution to the arts, certain road projects and fire station construction.

As part of its City Hall renovation project, the City issued three General Obligation Certificate issues (1990 - \$1,355,000; 1991 - \$4,000,000; and 1992 - \$5,050,000) totaling \$10,405,000. Debt service, 1990-2008, was scheduled to be derived from four revenue streams: the East Side TIF District, off-track betting income, redevelopment sales taxes and existing property taxes.

For the last three remaining debt service payments, 2005 - \$269,298, 2006 - \$403,040, 2007 - \$453,780, the amounts to come from this fund were reduced by \$200,000 for 2005 and \$250,000 annually for 2006 and 2007, a total of \$700,000. Were this not done, this fund would have been negative until 2026. The funding source for the difference was interest earnings and Redevelopment Fund.

Off-Track Betting Special Projects Fund

Budget Summary

OTB PROJECTS BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
TRANSFER TO REDEVELOP	\$0	\$0	\$50,200	\$75,000	\$24,800
ARTS	85,000	25,000	0	0	0
TOTAL	<u>\$85,000</u>	<u>\$25,000</u>	<u>\$50,200</u>	<u>\$75,000</u>	<u>\$24,800</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
OTB RECEIPTS	\$98,229	\$98,310	\$90,000	\$95,000	\$5,000
INTEREST INCOME	0	0	0	700	700
TOTAL	<u>\$98,229</u>	<u>\$98,310</u>	<u>\$90,000</u>	<u>\$95,700</u>	<u>\$5,700</u>

Budget Analysis

- Income budgeted at \$95,700 was increased to historical actual.
- A \$75,000 transfer to redevelopment is budgeted to pay back debt service paid from the redevelopment fund in previous years.

Five Year Financial Forecast

The 2014-2018 five year forecast assumes no revenue growth and expenditure of revenue for Redevelopment Fund reimbursement. With debt service completed in 2007, the fund's deficit should be eliminated in 2012. Future excess funds will reimburse the Redevelopment Fund for past advances, \$700,000, made in 2005 – 2007 with the reimbursement complete by 2020.

OTB SPECIAL PROJECTS FUND 2014-2018 FINANCIAL FORECAST (IN 000'S)

	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>2018</u>
Revenues	\$96	\$96	\$96	\$101	\$101
Expenditures	100	100	100	100	100
Excess (Deficit)	(4)	(4)	(4)	1	1
Beginning Balance	57	53	50	46	46
Ending Balance	<u>\$53</u>	<u>\$50</u>	<u>\$46</u>	<u>\$46</u>	<u>\$47</u>

**CITY OF ROCKFORD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS
OTB SPECIAL PROJECTS FUND**

	2011 ACTUAL	2012 BUDGET	7 MO ACTUAL	2013 BUDGET	CHANGE 13-12
76709 Loans and Grants	25,000	50,200	25,000	75,000	24,800
TOTAL OTHER	25,000	50,200	25,000	75,000	24,800
TOTAL OTB SPECIAL PROJECTS	25,000	50,200	25,000	75,000	24,800

Risk Management Fund

Mission Statement

It is the mission of the Risk Management Fund to provide for costs associated with insurance, legal claims, risk management, and judgments.

Primary Functions → The primary function of the Risk Management Fund is to account for insurance expenses, losses to City owned property, liability claims, and risk management activities.

Fund Highlights →

Implemented in 1996 to comply with Governmental Accounting Standards Board guidelines, the Risk Management Internal Service Fund pays for all insurance, claim settlements, and accidental property losses for the City. Not unlike a premium from an insurance company, departments are assessed a service charge that is based on a cost recovery basis. The annual service charge covers all risk management services received for that year including claim and loss management, insurance premiums, accidental loss of equipment, and the defense and settlement of claims.

The Risk Management Fund transfers risk exposure to outside companies through purchased insurance. This coverage includes liability, surety, fidelity, and property protection. Approximately 64 percent of insurance coverage is directly attributable to non-general fund departments and grant-funded programs. Those City activities not covered through purchased insurance, such as the operation of fire, police, and public works vehicles, are covered by the City's self-insurance program in which funds are set aside to cover losses and claims.

Governmental accounting standards require the City to record anticipated liabilities from operations. The frequency and amounts of the liabilities can vary significantly from year to year. In 2011, \$550,000 was paid for a wrongful arrest case, \$115,000 for the first installment of a settlement of an auto accident case, and \$50,000 in an excessive force case.

Risk Management Fund

Budget Summary

RISK MANAGEMENT FUND BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
CONTRACTUAL	\$846,784	\$1,590,608	\$1,466,500	\$1,736,950	\$270,450
OTHER	329,118	303,200	419,500	451,700	32,200
TOTAL	<u>\$1,175,902</u>	<u>\$1,893,808</u>	<u>\$1,886,000</u>	<u>\$2,188,650</u>	<u>\$302,650</u>
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PROPERTY TAXES					
JUDGMENTS	1,008,520	1,077,980	1,287,000	1,386,000	99,000
SERVICE CHARGES	0	0	485,450	724,320	238,870
PROPERTY DAMAGE REIMBURSEMENT	27,211	170,055	115,000	115,000	0
MISCELLANEOUS	7,479	24,806	0	0	0
INTEREST INCOME	3,615	11,244	0	0	0
TOTAL	<u>1,046,825</u>	<u>1,248,035</u>	<u>1,887,450</u>	<u>2,225,320</u>	<u>337,870</u>

Budget Analysis

- Policy expense increases \$67,500 to reflect projected increases in premiums. Efforts to expand property coverage and secure a lower overall property premium rate will continue and affect premium expenses moving forward.
- Claims against the City increase \$200,000 as a result of increased claim commitments.

Five Year Financial Forecast

The five-year forecast assumes that primarily small claims will be paid out of this fund with the exception of one to two legal settlements annually. In addition, it is assumed that large claims that cannot be supported by the fund balance will be paid through the issuance of judgment bonds or through structured settlements, because larger claims are not reasonably foreseeable.

Risk Management Fund 2014-2018 Financial Forecast (In 000's)

	2014	2015	2016	2017	2018
Revenues	\$2,225	\$2,232	\$2,238	\$2,245	\$2,252
Expenses	1,718	1,770	1,825	1,881	1,941
Excess (Deficit)	507	462	413	364	311
Beginning Balance	(1,000)	(493)	(31)	382	746
Ending Balance	(\$493)	(\$31)	\$382	\$746	\$1,057
Property Tax Rates	6.5	6.5	6.3	6.2	6.1

**RISK MANAGEMENT FUND
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH YTD	2013 BUDGET	BUDGET CHANGE 13-12
72211 Printing	-	-	1,105	-	-
72212 Postage	-	-	56	-	-
72214 Travel	-	-	4,880	-	-
72218 Service Contracts	166	1,500	-	1,500	-
72246 Risk-Administration	37,191	35,000	-	38,000	3,000
72247 Risk-Policies	448,181	560,000	398,645	627,450	67,450
72248 Risk-Claims	786,624	570,000	512,653	770,000	200,000
72249 Risk-City Losses	138,905	200,000	139,205	200,000	-
72281 Legal	179,541	100,000	101,426	100,000	-
TOTAL CONTRACTUAL	1,590,608	1,466,500	1,157,970	1,736,950	270,450
77725 Purch. Serv-Gen.	303,200	419,500	244,708	451,700	32,200
TOTAL OTHER	303,200	419,500	244,708	451,700	32,200
TOTAL RISK MANAGEMENT	1,893,808	1,886,000	1,402,678	2,188,650	302,650

Workforce Investment Board

Mission Statement

It is the mission of the Workforce Investment Board is to create a competitive, skilled and educated workforce by providing a system for the Citizens of Boone and Winnebago Counties to gain meaningful employment responsive to the needs of business.

Primary Functions → The primary function of the Workforce Investment Board is to plan and oversee the local workforce development system, negotiate local performance measures with the State, coordinate with economic development agencies and develop employer linkages, and promote the participation of the private sector in the workforce investment system.

Fund Highlights

The City is the designated grant recipient for the federal Workforce Investment Act (WIA) funds for Boone and Winnebago counties. The WIA requires the establishment of a local Workforce Investment Board. This board and the City entered into an intergovernmental agreement in June 2004 that identifies the City as the employer of record and the mechanism for hiring Workforce Investment Board staff.

Budget Summary

WORKFORCE INVESTMENT BOARD BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
PERSONNEL	\$508,507	\$516,081	\$520,211	\$533,960	\$13,749
CONTRACTUAL	\$0	\$0	\$1,630	\$1,990	\$360
TOTAL	\$508,507	\$516,081	\$521,841	\$535,950	\$14,109
STAFFING REVIEW	2009 <u>6.00</u>	2011 <u>6.00</u>	2012 <u>6.00</u>	2013 <u>6.00</u>	INCREASE (DECREASE) 0.00
TOTAL					
FUNDING SOURCE	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE (DECREASE)
FEDERAL	\$508,507	\$516,081	\$521,841	\$535,950	\$14,109
	\$508,507	\$516,081	\$521,841	\$535,950	\$14,109

Budget Analysis

- Salaries increase \$10,400 due to a 3% increase for non-union employees.
- A 2% wage increase is budgeted for all department staff for a total cost of \$3,900.
- All costs associated with Workforce Investment Board are reimbursed by federal funds.

**WORKFORCE INVESTMENT BOARD
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	BUDGET CHANGE 13-12
71112 Salaries Permanent	345,197	345,197	199,733	355,555	10,358
71126 Merit Pay	-	-	-	-	-
71251 IMRF	69,462	71,904	40,883	75,804	3,900
71253 Unemployment	1,073	1,080	947	1,080	-
71262 Worker's Comp	797	968	559	1,031	63
71263 Health Insurance	99,084	101,062	56,721	100,490	(572)
71264 Life Insurance	468	-	264	-	-
TOTAL PERSONNEL	516,081	520,211	299,107	533,960	13,749
71264 Risk Management	-	1,630	951	1,990	360
TOTAL CONTRACTUAL	-	1,630	951	1,990	360
TOTAL WORKFORCE INVESTMENT BOARD	516,081	521,841	300,058	535,950	14,109

Rockford Metropolitan Agency for Planning

Mission Statement

RMAP primary mission is to perform and carry out a continuing, cooperative, comprehensive transportation planning process for the Rockford Urbanized Area in accordance with applicable Federal laws, policies and procedures, and with the cooperation and assistance of its members and the U.S. Department of Transportation.

Budget Summary

RMAP BUDGET SUMMARY					
APPROPRIATION	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE <u>(DECREASE)</u>
PERSONNEL	\$547,291	\$598,029	\$563,400	\$683,212	\$119,812
CONTRACTUAL	423,865	814,516	188,070	188,940	870
SUPPLIES	5,145	16,685	9,269	5,558	(3,711)
OTHER	0	9,200	12,600	12,600	0
CAPITAL	<u>31,626</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL	<u>\$1,007,927</u>	<u>\$1,438,430</u>	<u>\$773,339</u>	<u>\$890,310</u>	<u>\$116,971</u>
STAFFING REVIEW					
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>INCREASE (DECREASE)</u>
TOTAL	6.00	6.00	6.00	6.00	0.0
FUNDING SOURCE					
	2010 <u>ACTUAL</u>	2011 <u>ACTUAL</u>	2012 <u>BUDGET</u>	2013 <u>BUDGET</u>	INCREASE <u>(DECREASE)</u>
CURRENT FUNDS					
FEDERAL	\$165,334	\$275,151	\$594,377	\$651,782	\$57,405
STATE	713,828	827,195	0	189,208	189,208
LOCAL MATCH	85,400	173,403	66,034	92,302	26,268
CITY PORTION	166,809	82,361	82,560	76,292	(6,268)
GRANTS	<u>0</u>	<u>0</u>	<u>0</u>	<u>600,000</u>	<u>600,000</u>
TOTAL	<u>\$1,131,371</u>	<u>\$1,358,110</u>	<u>\$742,971</u>	<u>\$1,609,584</u>	<u>\$866,613</u>

Budget Analysis

- Salaries increase \$75,100 due to the addition of a planner/engineer position as well as a 3% increase for non-union employees.
- IMRF increase \$17,900 and Health Insurance increase \$16,100 in correlation with the additional position.
- A 2% wage increase is budgeted for all department staff for a total cost of \$9,200.

Capital Assets

No capital purchases are budgeted for 2013.

Rockford Metropolitan Agency for Planning

Personnel Review

ROCKFORD METROPOLITAN AGENCY FOR PLANNING				
BENEFITS AND SALARIES		2012	2013	INCREASE/ (DECREASE)
SALARY		<u>BUDGET</u>	<u>BUDGET</u>	
PERMANENT		\$383,676	\$458,807	\$75,131
MERIT PAY		0	0	0
SALARY ADJUSTMENT		0	9,176	9,176
TOTAL SALARIES		<u>\$383,676</u>	<u>\$467,983</u>	<u>\$84,307</u>
BENEFITS				
ILLINOIS MUNICIPAL RETIREMENT		\$79,920	\$97,818	\$17,898
UNEMPLOYMENT TAX		1,080	1,260	180
WORKMEN'S COMPENSATION		1,074	1,331	257
HEALTH INSURANCE		84,942	101,062	16,120
LIFE INSURANCE		468	546	78
RETIREE HEALTH		8,424	8,424	0
PARKING BENEFITS		3,816	4,788	972
TOTAL BENEFITS		<u>\$179,724</u>	<u>\$215,229</u>	<u>\$35,505</u>
TOTAL COMPENSATION		<u>\$563,400</u>	<u>\$683,212</u>	<u>\$119,812</u>
POSITION TITLE	POSTION <u>RANGE</u>	2012 <u>EMPLOYEES</u>	2013 <u>EMPLOYEES</u>	INCREASE/ (DECREASE)
DIRECTOR	E-11	1.00	1.00	0.00
TRANSP PLANNER II	E-8	1.00	1.00	0.00
TRANSP PLANNER I	E-8	1.00	1.00	0.00
TRANSP ECONOMIST	E-8	1.00	1.00	0.00
TRANSP PLANNER/ENGINEER	E-8	1.00	1.00	0.00
TRANSP PLANNER/ENGINEER	E-8	0.00	1.00	1.00
SENIOR ADMIN ASSISTANT	E-6	1.00	1.00	0.00
TOTAL PERSONNEL		<u>6.00</u>	<u>7.00</u>	<u>1.00</u>

**ROCKFORD AREA METROPOLITAN AGENCY FOR PLANNING
NEXT YEAR/CURRENT YEAR BUDGET ANALYSIS**

	2011 ACTUAL	2012 BUDGET	7 MONTH ACTUAL	2013 BUDGET	CHANGE 13-12
71112 Permanent	391,694	383,676	237,756	458,807	75,131
71113 Temporary	31,488	-	32,352	-	-
71122 Overtime	872	-	332	-	-
71129 Salary Adjustment	-	-	-	9,176	9,176
71251 IMRF	79,177	79,920	49,070	97,818	17,898
71253 Unemployment	1,086	1,080	1,177	1,260	180
71262 Worker's Comp	907	1,074	667	1,331	257
71263 Health Insurance	81,454	84,942	52,274	101,062	16,120
71264 Life Insurance	468	468	264	546	78
71265 Retiree Health	8,003	8,424	4,914	8,424	-
71271 Parking	2,880	3,816	2,226	4,788	972
TOTAL PERSONNEL	598,029	563,400	381,032	683,212	119,812
72203 Wireless	-	1,380	240	1,380	-
72211 Printing	7,673	10,000	1,724	10,000	-
72212 Postage	198	1,000	62	1,000	-
72213 Telephone	4,322	4,120	1,067	3,000	(1,120)
72214 Travel	11,900	8,000	3,056	12,000	4,000
72215 Dues	4,545	12,000	4,297	8,000	(4,000)
72216 Subscriptions	296	1,000	58	1,000	-
72217 Advertising	100	1,000	50	1,000	-
72218 Service Contracts	26,171	75,000	8,843	55,000	(20,000)
72219 Other Contractual	661,142	-	454,684	-	-
72231 Building Utilities	5,408	-	2,099	-	-
72259 Janitorial	13,763	-	8,029	-	-
72263 Microcomputer	7,200	14,340	8,365	34,680	20,340
72267 Risk Management	-	1,630	951	2,430	800
72272 Building Rental	48,000	48,000	9,600	48,000	-
72282 Auditing	1,450	600	-	1,450	850
72290 Education	22,348	10,000	2,130	10,000	-
TOTAL CONTRACTUAL SERVICES	814,516	188,070	505,255	188,940	870
75525 Food	475	769	313	558	(211)
75560 General Office	6,009	5,000	1,998	5,000	-
75570 Computer Noncapital	10,201	3,500	2,757	-	(3,500)
TOTAL SUPPLIES	16,685	9,269	5,068	5,558	(3,711)
77725 POS General Fund	9,200	12,600	-	12,600	-
TOTAL OTHER	9,200	12,600	-	12,600	-
79920 Office Equipment	0	-	6,163	-	-
TOTAL CAPITAL	-	-	6,163	-	-
TOTAL RMAP	1,438,430	773,339	897,518	890,310	116,971